# Updated measurement of the branching fraction of $D_s^+ \rightarrow \tau^+ \nu_{\tau}$ via $\tau^+ \rightarrow \pi^+ \bar{\nu}_{\tau}$

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This paper reports the study of  $D_s^+ \to \tau^+ \nu$  via  $\tau^+ \to \pi^+ \bar{\nu}_{\tau}$  using a boosted decision tree method, with 7.33 fb<sup>-1</sup> of  $e^+e^-$  collision data collected by the BESIII detector at center-of-mass energies between 4.128 and 4.226 GeV. The branching fraction of  $D_s^+ \to \tau^+ \nu_{\tau}$  is determined to be  $(5.44 \pm 0.17_{\text{stat}} \pm 0.13_{\text{syst}})\%$ . The product of the  $D_s^+$  decay constant  $f_{D_s^+}$  and the Cabibbo-Kobayashi-Maskawa matrix element  $|V_{cs}|$  is  $f_{D_s^+}|V_{cs}| = (248.3 \pm 3.9_{\text{stat}} \pm 3.1_{\text{syst}} \pm 1.0_{\text{input}})$  MeV. Combining with the  $|V_{cs}|$  value obtained from the Standard Model global fit or the  $f_{D_s^+}$  from the lattice quantum chromodynamics, we determine  $|V_{cs}| = 0.993 \pm 0.015_{\text{stat}} \pm 0.012_{\text{syst}} \pm 0.004_{\text{input}}$  and  $f_{D_s^+} = (255.0 \pm 4.0_{\text{stat}} \pm 3.2_{\text{syst}} \pm 1.0_{\text{input}})$  MeV. The first uncertainty is statistical, the second one is systematic and the third one is due to the input parameters, mainly the lifetime of  $D_s^+$ . All results obtained in this work supersede the BESIII previous results based on 6.32 fb<sup>-1</sup> of  $e^+e^-$  collision data taken at center-of-mass energies between 4.178 and 4.226 GeV.

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#### I. INTRODUCTION

In the leptonic decay  $D_s^+ \rightarrow \ell^+ \nu_\ell$ , the charm quark (c) and antistrange quark ( $\bar{s}$ ) annihilate through a virtual W boson to a charged and neutral lepton pair. According to the Standard Model (SM) and ignoring radiative

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Published by the American Physical Society under the terms of the Creative Commons Attribution 4.0 International license. Further distribution of this work must maintain attribution to the author(s) and the published article's title, journal citation, and DOI. Funded by SCOAP<sup>3</sup>. corrections, the partial decay width of  $D_s^+ \rightarrow \ell^+ \nu_\ell$  can be written as [1]

$$\Gamma_{D_{s}^{+} \to \ell^{+} \nu_{\ell}} = \frac{\mathcal{B}_{D_{s}^{+} \to \ell^{+} \nu_{\ell}}}{\tau_{D_{s}^{+}}}$$
$$= \frac{G_{F}^{2}}{8\pi} f_{D_{s}^{+}}^{2} |V_{cs}|^{2} m_{\ell}^{2} m_{D_{s}^{+}} \left(1 - \frac{m_{\ell}^{2}}{m_{D_{s}^{+}}^{2}}\right)^{2}, \quad (1)$$

where  $\mathcal{B}_{D_s^+ \to \ell^+ \nu_{\ell}}$  is the branching fraction of  $D_s^+ \to \ell^+ \nu_{\ell}$ ,  $\tau_{D_s^+}$  is the lifetime of  $D_s^+$ ,  $m_{D_s^+}$  is the mass of  $D_s^+$ ,  $m_{\ell}$  is the mass of the lepton  $\ell^+$ ,  $G_F$  is the Fermi coupling constant,  $f_{D_s^+}$  is the  $D_s^+$  decay constant describing strong effect between quarks, and  $|V_{cs}|$  is the  $c \to s$  Cabibbo-Kobayashi-Maskawa (CKM) matrix element describing the weak effect between quarks. Measurement of the branching fraction of  $D_s^+ \to \ell^+ \nu_{\ell}$  can help us to determine  $f_{D_s^+}$  when taking the  $|V_{cs}|$  from the SM global fit as input, thereby testing various theoretical predictions, especially those from lattice quantum chromodynamics (LQCD) [2–11]. Conversely, one can determine  $|V_{cs}|$  by taking the LQCD calculation of  $f_{D_s^+}$  as input, thereby providing a stricter test of the CKM matrix unitarity.

In addition, the ratio of the  $D_s^+ \rightarrow \tau^+ \nu_{\tau}$  and  $D_s^+ \rightarrow \mu^+ \nu_{\mu}$ partial decay widths is defined as

$$R = \frac{\Gamma_{D_s^+ \to \tau^+ \nu_\tau}}{\Gamma_{D_s^+ \to \mu^+ \nu_\mu}} = \frac{m_\tau^2 \left(1 - \frac{m_\tau^2}{m_{D_s^+}^2}\right)^2}{m_\mu^2 \left(1 - \frac{m_\mu^2}{m_{D_s^+}^2}\right)^2},$$
(2)

where  $f_{D_s^+}$  and  $|V_{cs}|$  have been canceled. The SM calculation gives a very precise prediction of  $R = 9.75 \pm 0.01$ . Any significant deviation from this value would imply new physics beyond the SM.

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The measurements of the branching fraction of  $D_s^+ \rightarrow$  $\tau^+ \nu_{\tau}$  have been reported by CLEO [12–14], BABAR [15], Belle [16] and BESIII [17-21]. Among them, BESIII reported the measurements of the branching fraction of  $D_s^+ \to \tau^+ \nu_{\tau}$  via  $\tau^+ \to \pi^+ \bar{\nu}_{\tau}$  [17],  $\tau^+ \to \pi^+ \pi^0 \bar{\nu}_{\tau}$  [18], and  $\tau^+ \to e^+ \bar{\nu}_{\tau} \nu_e$  [19], (as well as  $\tau^+ \to \mu^+ \bar{\nu}_{\tau} \nu_{\mu}$ ) [20] using 6.32 (7.33) fb<sup>-1</sup> of  $e^+e^-$  collision data collected at centerof-mass energies  $(E_{cm})$  between 4.178 (4.128) and 4.226 GeV, as well as the measurement via  $\tau^+ \rightarrow \pi^+ \bar{\nu}_{\tau}$ using 0.48 fb<sup>-1</sup> of  $e^+e^-$  collision data at  $E_{\rm cm} = 4.008$  GeV [22]. This paper presents an updated measurement of the branching fraction of  $D_s^+ \to \tau^+ \nu_{\tau}$  via  $\tau^+ \to \pi^+ \bar{\nu}_{\tau}$  with the boosted decision tree (BDT) [23] method where the BDT output score is used to extract the signal yield. This analysis is based on 7.33 fb<sup>-1</sup> of  $e^+e^-$  collision data taken at  $E_{\rm cm} = 4.128, \ 4.157, \ 4.178, \ 4.189, \ 4.199, \ 4.209, \ 4.219,$ and 4.226 GeV [24]. The integrated luminosities for these subsamples are 0.402, 0.409, 3.189, 0.570, 0.526, 0.572, 0.569, and 1.092 fb<sup>-1</sup> [25], respectively, with an uncertainty of 1% dominated by systematic uncertainty. Compared to Ref. [17], the datasets at the former two energy points are newly added, the  $D_s^* \to \pi^0 D_s$  chain is used, and the range of the missing mass square of the missing neutrinos of the signal candidates is extended from [-0.2, 0.2] to [-0.2, 0.6] GeV<sup>2</sup>/c<sup>4</sup>. The reported results in this work supersede the previous results reported in Ref. [17]. Throughout this paper, charge conjugate modes are always implied.

### **II. BESIII EXPERIMENT AND DATASETS**

The BESIII detector [26] records symmetric  $e^+e^$ collisions provided by the BEPCII storage ring [27] in the center-of-mass energy range from 2.0 to 4.95 GeV, with a peak luminosity of  $1 \times 10^{33}$  cm<sup>-2</sup> s<sup>-1</sup> achieved at  $\sqrt{s} = 3.77$  GeV. BESIII has collected large data samples in this energy region [26]. The cylindrical core of the BESIII detector covers 93% of the full solid angle and consists of a helium-based multilayer drift chamber (MDC), a plastic scintillator time-of-flight system (TOF), and a CsI(Tl) electromagnetic calorimeter (EMC), which are all enclosed in a superconducting solenoidal magnet providing a 1.0 T magnetic field [28]. The solenoid is supported by an octagonal flux-return yoke with resistive plate counter muon identification modules interleaved with steel. The charged particle momentum resolution at 1 GeV/c is 0.5%, and the specific ionization energy loss dE/dx resolution is 6% for electrons from Bhabha scattering. The EMC measures photon energies with a resolution of 2.5% (5%) at 1 GeV in the barrel (end-cap) region. The time resolution in the TOF barrel region is 68 ps. The endcap TOF system was upgraded in 2015 using multigap resistive plate chamber technology, providing a time resolution of 60 ps [29].

Simulated data samples produced with a GEANT4-based [30] Monte Carlo (MC) package, which includes the geometric description of the BESIII detector and the detector response, are used to determine detection efficiencies and to estimate backgrounds. The simulation models the beam energy spread and initial-state radiation (ISR) in the  $e^+e^$ annihilations with the KKMC generator [31]. The inclusive MC sample includes the production of open charm processes, the ISR production of vector charmonium(like) states, and the continuum processes incorporated in KKMC [31]. All particle decays are modeled with EvtGen [32] using branching fractions either taken from the Particle Data Group (PDG) [33], when available, or otherwise estimated with Lundcharm [34]. Final-state radiation from charged final state particles is incorporated using the PHOTOS package [35]. The input cross section line shape of  $e^+e^- \rightarrow D_s^{*\pm}D_s^{\mp}$  is based on the cross section measurement in the energy range from threshold to 4.7 GeV.

#### **III. BRANCHING FRACTION MEASUREMENT**

At  $E_{\rm cm}$  between 4.128 and 4.226 GeV, the  $D_s$  mesons are produced dominantly by the  $e^+e^- \rightarrow D_s^{s\pm}D_s^{\mp}$  reaction. Therefore, the double-tag (DT) technique [36] is employed in our selection of  $D_s^+ \rightarrow \tau^+ \nu_\tau$  via  $\tau^+ \rightarrow \pi^+ \bar{\nu}_\tau$  decays. In this method, a single-tag (ST) event is defined in which a  $D_s^-$  meson is fully reconstructed via any of thirteen hadronic decay modes and a further DT event is selected by reconstructing the transition  $\gamma(\pi^0)$  from the  $D_s^*$  decay and the  $\pi^+$  from the  $D_s^+ \rightarrow \tau^+ \nu_\tau$  decay via  $\tau^+ \rightarrow \pi^+ \bar{\nu}_\tau$ . The  $D_s^-$  reconstructed in the ST event can be directly from the reaction  $e^+e^- \rightarrow D_s^{*+}D_s^-$  (direct tag) or indirectly from the decay of the  $D_s^{--}$  in the conjugate mode (indirect tag). Both direct and indirect tag events are used in further analysis.

For a specific tag mode *i*, the ST yield is given by

$$N_{\rm ST}^i = 2N_{D_{\rm s}^{*\pm}D_{\rm s}^{\mp}}\mathcal{B}_{\rm ST}^i\epsilon_{\rm ST}^i,\tag{3}$$

where  $N_{D_s^{+\pm}D_s^{\mp}}$  is the number of the  $D_s^{\pm}D_s^{\mp}$  meson pairs produced in the data sample,  $\mathcal{B}_{ST}^i$  is the branching fraction of the tag mode, and  $\epsilon_{ST}^i$  is the efficiency for reconstruction of this mode. The factor of 2 accounts for the summation of direct and indirect tags.

The DT event is formed adding the transition  $\gamma(\pi^0)$  from the  $D_s^*$  decay and the  $\pi^+$  from  $\tau^+$  in the  $D_s^+ \to \tau^+ \nu_{\tau}$  to an ST event. The DT yield is given by

$$N_{\mathrm{DT}}^{\tau\nu,i} = 2N_{D_s^{*\pm}D_s^{\mp}}\mathcal{B}_{\mathrm{ST}}^i\mathcal{B}(D_s^+ \to \tau^+\nu_\tau)\epsilon_{\mathrm{DT}}^{\tau\nu,i},\qquad(4)$$

where the efficiency  $\epsilon_{\text{DT}}^{\tau\nu,i}$  includes  $\mathcal{B}(D_s^{*+} \to \gamma(\pi^0)D_s^+)$ , but not the branching fraction  $\mathcal{B}(\tau^+ \to \pi^+\bar{\nu}_{\tau})$ .

The branching fraction of the signal decay of  $D_s^+ \rightarrow \tau^+ \nu_{\tau}$  is determined by

$$\mathcal{B}(D_s^+ \to \tau^+ \nu_{\tau}) = \frac{N_{\rm DT}^{\tau\nu}}{\sum_i N_{\rm ST}^i (\epsilon_{\rm DT}^{\tau\nu,i} / \epsilon_{\rm ST}^i) \mathcal{B}(\tau^+ \to \pi^+ \bar{\nu}_{\tau})}.$$
 (5)

The systematic uncertainties associated with the ST analysis are largely canceled out in the ratios of  $N_{\text{DT}}^{\tau\nu}/N_{\text{ST}}^{i}$  and  $\epsilon_{\text{DT}}^{\tau\nu,i}/\epsilon_{\text{ST}}^{i}$ . However, there may be a residual uncertainty arising from potential variations in ST reconstruction efficiencies, called tag bias, as discussed in Sec. IV B.

## A. Selection of $D_s^-$ candidates in ST events

Thirteen hadronic decay channels shown in Table II are used as the tag modes, where the intermediate particles are reconstructed as  $\pi^0 \rightarrow \gamma\gamma$ ,  $K_S^0 \rightarrow \pi^+\pi^-$ ,  $\eta \rightarrow \gamma\gamma$ ,  $\eta_{3\pi} \rightarrow \pi^+\pi^-\pi^0$ ,  $\rho^{-(0)} \rightarrow \pi^{0(+)}\pi^-$ ,  $\eta'_{\pi\pi\eta} \rightarrow \pi^+\pi^-\eta$ , and  $\eta'_{\gamma\rho} \rightarrow \gamma\rho^0$ . These modes are selected after performing the full analysis procedure on simulated data samples, with the aim of maximizing the signal sensitivity while introducing minimum bias on the measurement.

The selection criteria for  $D_s^-$  daughters and the reconstruction procedures are the same as those described in Refs. [18,37]. Tracks must be within the fiducial region ( $|\cos \theta| < 0.93$ , where  $\theta$  is the polar angle defined with respect to the z axis, which is the symmetry axis of the MDC) and originate within 1 cm (10 cm) of the interaction point in the plane transverse to the beam direction (in the beam direction). This requirement on the primary vertex is not applied for the decays of  $K_S^0 \to \pi^+ \pi^-$ , for which the distances of the closest approach of the two charged pions to the interaction point are required to be less than 20 cm along the MDC axis. In addition, the charged-pion pair is constrained to have a common vertex with a loose fit-quality requirement of  $\chi^2 < 200$  and the invariant mass of the  $\pi^+\pi^-$  combination is required to be within (0.486, 0.510) GeV/ $c^2$ .

The  $K/\pi$  particle identification (PID) is performed by using the TOF and dE/dx information. Each charged track is assigned as a pion or kaon if the corresponding hypothesis has a higher likelihood. No PID is performed on the charged pions from the intermediate decay  $K_S^0 \rightarrow \pi^+\pi^-$ . In addition, the reconstructed momentum for any charged or neutral pion is required to be greater than 0.1 GeV/*c* to suppress events from  $D^* \rightarrow D\pi$  decays.

Photon candidates are chosen from EMC showers unassociated with any charged track [26]. The shower must start between 0 and 700 ns after a beam crossing to suppress electronic noise and showers unrelated to the event. When forming  $\pi^0$  and  $\eta$  candidates, the showers must have an energy greater than 25 MeV if they are detected in the barrel EMC and 50 MeV for the end-cap EMC. The  $\pi^0$ and  $\eta$  candidates are formed by photon pairs with invariant masses lying within the intervals (0.115, 0.150) GeV/ $c^2$ and (0.500, 0.570) GeV/ $c^2$ , respectively. To improve momentum resolution and suppress background, a kinematic fit is imposed on each pair of selected photons to constrain its invariant mass to the known  $\pi^0$  or  $\eta$  mass [33]. The  $\chi^2$  of this kinematic fit is required to be less than 20.

For the tag modes  $D_s^- \rightarrow \pi^- \eta$  and  $D_s^- \rightarrow \rho^- \eta$ , the  $\eta$  candidates are also formed with the  $\pi^+ \pi^- \pi^0$  combinations with invariant masses within the interval  $(0.530, 0.570) \text{ GeV}/c^2$ . The  $\eta'$  candidates are formed from  $\pi^+ \pi^- \eta$  and  $\gamma \rho^0$  combinations with invariant masses lying within the intervals  $(0.946, 0.970) \text{ GeV}/c^2$  and  $(0.940, 0.976) \text{ GeV}/c^2$ , respectively. In addition, the minimum energy of the  $\gamma$  from  $\eta' \rightarrow \gamma \rho^0$  decay must be greater than 0.1 GeV. The  $\rho^0$  and  $\rho^+$  candidates are reconstructed from  $\pi^+ \pi^-$  and  $\pi^+ \pi^0$  combinations with invariant masses within the interval  $(0.570, 0.970) \text{ GeV}/c^2$ .

Once the ST event is reconstructed, the recoil mass against the  $D_s^-$  tag is calculated as  $M_{\rm rec}^2 c^4 = (E_{\rm cm} - \sqrt{|\vec{p}_{D_s^-}|^2 c^2 + m_{D_s^-}^2 c^4})^2 - |\vec{p}_{D_s^-}|^2 c^2$  in the center-of-mass system of the initial  $e^+e^-$ , where  $\vec{p}_{D_s^-}$  is the three-momentum of the reconstructed  $D_s^-$ , and  $m_{D_s^-}$  is the nominal  $D_s^-$  mass [33]. Figure 1 shows the  $M_{\rm rec}$  distribution for  $D_s^- \to K^- K^+ \pi^-$  tagged decays in the data collected at  $E_{\rm cm} = 4.178$  GeV. All  $e^+e^- \to D_s^{*\pm}D_s^{\mp}$  events accumulate near  $m_{D_s^*} = 2.1122$  GeV/ $c^2$  [33], with the direct tag events populating the central peak and the indirect tag events distributed more broadly. The fraction of both direct and indirect tag events is approximately half.

To select  $e^+e^- \rightarrow D_s^{*\pm}D_s^{\mp}$ , the  $M_{\rm rec}$  of the tagged  $D_s^-$  in ST events is required to satisfy the  $E_{\rm cm}$ -dependent requirement listed in Table I. This requirement retains most of the  $D_s^-$  mesons from  $e^+e^- \rightarrow D_s^{*\pm}D_s^{\mp}$ , and maintain roughly constant tag efficiencies for different  $E_{\rm cm}$  datasets. The tails of the indirect tag events exhibit a wider extension. A tight



FIG. 1. The  $M_{\rm rec}$  distribution of the candidates for  $D_s^- \rightarrow K^- K^+ \pi^-$  in the data collected at  $E_{\rm cm} = 4.178$  GeV and MC-simulated background. The dashed vertical lines show the ST signal region. The black points with error bars are data, and the solid-filled histograms show the direct tag events (blue), the indirect tag events (red) and simulated backgrounds derived from the inclusive MC sample (gray).

TABLE I.	The requirement on $M_{\rm rec}$ for the ST candidates at	t
each dataset	collected at different $E_{\rm cm}$ .	

$E_{\rm cm}~({\rm GeV})$	$M_{\rm rec}~({\rm GeV}/c^2)$
4.128	(2.060, 2.155)
4.157	(2.054, 2.175)
4.178	(2.050, 2.195)
4.189	(2.048, 2.205)
4.199	(2.046, 2.215)
4.209	(2.044, 2.225)
4.219	(2.042, 2.235)
4.226	(2.040, 2.220)

requirement is applied to the data collected at  $E_{\rm cm} = 4.226$  GeV, as its energy surpasses the threshold for the production of  $D_s^* D_s^*$ . When multiple reconstructed candidates are found for a given  $D_s^-$  tag mode and electric charge, only the one with the  $M_{\rm rec}$  closest to the nominal  $D_s^{*+}$  mass [33] is kept for further analysis.

Figure 2 shows the  $M_{tag}$  distributions of the ST events selected from the data collected at  $E_{\rm cm} = 4.178 \text{ GeV}$  as example. The ST yield for each tag mode is determined from an unbinned maximum likelihood fit to the corresponding  $M_{\text{tag}}$  distribution in the range of  $1.90 < M_{\text{tag}} < 2.03 \,\text{GeV}/c^2$ , where  $M_{\text{tag}}$  is the mass of the reconstructed  $D_s^-$  candidate in ST event. The signal shapes are derived from MC simulation, obtained by the Gaussian kernel estimation method [38], and convolved with a Gaussian function to account for the resolution difference between data and MC simulation. For the tag modes  $D_s^- \to K^- K^+ \pi^-, D_s^- \to K_s^0 K^-$  and  $D_s^- \to$  $\pi^-\eta'_{\pi\pi\eta}$ , the peaking backgrounds from  $D^- \to K^+\pi^-\pi^-$ ,  $D^- \to K_s^0 \pi^-$ , and  $D_s^- \to \pi^- \pi^+ \pi^- \eta$  are described by individual simulated shapes convolved with the same Gaussian function used in the signal shape, and the sizes of the  $D_s^- \rightarrow$  $K^-K^+\pi^-$  and  $D_s^- \to K_s^0K^-$  are free while the size of the  $D_s^- \to \pi^- \eta'_{\pi\pi\eta}$  is fixed based on MC simulation. The nonpeaking background is modeled using a Chebyshev polynomial function of order 1 to 3, which has been validated by analyzing the inclusive sample.

The fit results are also shown on Fig. 2 for the data collected at  $E_{\rm cm} = 4.178$  GeV. The obtained ST yields from data for various tag modes and data samples within the  $M_{\rm tag}$  window are shown in Table II. Additionally, in Table III, the ST efficiencies for various tag modes are illustrated, obtained through the analysis of the inclusive MC sample within the  $M_{\rm tag}$  window.

# **B.** Selection of transition $\gamma(\pi^0)$ from $D_s^*$

In the presence of tagged  $D_s^-$ , the  $D_s^{*+} \rightarrow D_s^+ \gamma(\pi^0)$  transition is distinguished from combinatorial backgrounds by a kinematic variable:

$$\Delta E = E_{\rm cm} - E_{\rm tag} - E_{\rm miss} - E_{\gamma(\pi^0)}.$$
 (6)

Here  $E_{\text{miss}} = \sqrt{|\vec{p}_{\text{miss}}|^2 c^2 + m_{D_s^+}^2 c^4}$  and  $\vec{p}_{\text{miss}} = -\vec{p}_{D_s^-} - \vec{p}_{\gamma(\pi^0)}$  are the missing energy and momentum of the recoiling system of the transition  $\gamma(\pi^0)$  and the tagged  $D_s^-$ , respectively. In case there are several  $\gamma(\pi^0)$  candidates, the one giving the smallest  $|\Delta E|$  is kept.

In the  $D_s^{*+}$  rest frame, the energy of the transition photon has a monochromatic value of  $(m_{D^*}^2 c^4 - m_D^2 c^4)/$  $(2m_{D_s^*}c^2) = 0.1389$  GeV. The four-momenta of the  $D_s^*$ candidate are calculated under indirect and direct  $D_s^-$  tag hypotheses, which are  $p_{D_s^{*+}} = p_{e^+e^-} - p_{D_s^-}$  and  $p_{D_s^{*-}} =$  $p_{\gamma} + p_{D_s^-}$ , respectively. Here,  $p_{e^+e^-}$  is the initial fourmomentum of the  $e^+e^-$  system. The combination giving closest mass to the nominal  $D_s^*$  mass is selected. To further suppress background, the energy of the transition photon in the  $D_s^{*+}$  rest frame is required to be within 0.114 <  $E_{\gamma}$  < 0.149 GeV for both cases. This criterion is optimized by maximizing figure of merit defined as  $S/\sqrt{S+B}$ , where S and B are the signal and background event yields from inclusive MC sample. The photon selection efficiency is about 85%. No similar energy requirement is imposed for  $D_s^* \to \pi^0 D_s$ .

# C. Selection of $D_s^+ \rightarrow \tau^+ \nu_{\tau}$

The  $D_s^+ \rightarrow \tau^+ \nu_{\tau}$  signal candidates are reconstructed via  $\tau^+ \rightarrow \pi^+ \bar{\nu}_{\tau}$  in events containing the transition  $\gamma(\pi^0)$  and the tagged  $D_s^-$ . We require only one additional track that is not used in the tag reconstruction ( $N_{\text{extra}}^{\text{char}} = 0$ ) and no additional  $\pi^0$  candidates can be formed ( $N_{\text{extra}}^{\pi^0} = 0$ ). The particle candidate of the signal must have the opposite sign charge to the tagged  $D_s^-$  and satisfy the pion PID criteria described in Sec. III A.

To suppress background events associated with unreconstructed or misreconstructed particles such as electrons that are misidentified as pions, photons from  $\pi^0$  and  $\eta$  decays and fake photons misidentified from showers produced by  $K_{I}^{0}$ , the following three additional variables are selected. The first one is the ratio of the energy deposited in the EMC over the MDC momentum of the charged pion from  $\tau^+$  decays, labeled as EOP. Because the electron deposits most of its energy in the EMC, the EOP of the  $\pi^+$  candidate has to be less than 0.9 to suppress background events with misreconstructed electrons. The second one is the maximum energy of extra photons in events, labeled as  $E_{neu}^{max}$ . The  $E_{neu}^{max}$  must be less than 0.3 GeV to ensure that there is no extra energetic photon in the selected events. The third one is  $\cos \theta_{\rm miss}$ , where  $\theta_{\rm miss}$  is the polar angle of  $\vec{p}_{{\rm miss},\nu} = -\vec{p}_{D_s^-} - \vec{p}_{\gamma(\pi^0)} \vec{p}_{\pi^+}$  in the  $e^+e^-$  center-of-mass frame. To suppress background from  $e^+e^- \rightarrow q\bar{q}(q=u,d,s)$  where no particle is missed, the value of  $|\cos \theta_{\rm miss}|$  is required to be less than 0.9 to restrict  $\vec{p}_{\text{miss},\nu}$  to point into the fiducial volume of the **BESIII** detector.

The presence of neutrinos in the final states is inferred from the event missing invariant mass squared,



FIG. 2. Fits to the  $M_{\text{tag}}$  distributions of the candidates for various ST modes from the data collected at  $E_{\text{cm}} = 4.178$  GeV. The points with error bars are data, while the black-solid curves represent the total fits, the red solid-filled histograms are the fitted signal shapes and the blue solid-filled histograms are the fitted background shapes. The magenta solid-filled histograms for the  $D_s^+ \rightarrow K^+ K^- \pi^+$ ,  $K_S^0 K^-$  and  $\pi^- \eta'_{\pi\pi\eta}$  tag modes are the fitted peaking background shapes of  $D^- \rightarrow K^+ \pi^- \pi^-$ ,  $D^- \rightarrow K_S^0 \pi^-$ , and  $D_s^- \rightarrow \pi^- \pi^+ \pi^- \eta$ . For each fit, the  $\chi^2/\text{NDOF}$  ranges from 0.8 to 1.3, where NDOF is the number of degrees of freedom.

 $M_{\rm miss}^2 = E_{{\rm miss},\nu}^2 - |\vec{p}_{{\rm miss},\nu}c|^2$ , where  $E_{{\rm miss},\nu} = E_{\rm cm} - \sqrt{|\vec{p}_{D_s^-}c|^2 + m_{D_s^-}^2c^4} - E_{\gamma(\pi^0)} - E_{\pi^+}}$  is calculated in the  $e^+e^-$  center-of-mass frame. The selected candidates must satisfy  $-0.2 < M_{\rm miss}^2 < 0.6 \ {\rm GeV}^2/c^4$  in order to suppress background from  $e^+e^- \rightarrow q\bar{q} \ (q = u, d, s)$  at higher masses as shown in the top left plot of Fig. 3.

# **D.** Multivariate analysis

Although the  $M_{\text{miss}}^2$  is the kinematic variable that provides the best discrimination between signal and backgrounds, the search sensitivity can be further improved by incorporating additional kinematic and topological information from the selected events using a multivariate analysis technique known as boosted decision tree (BDT) [23].

	$E_{\rm cm}~({\rm GeV})$								
Tag mode	4.128	4.157	4.178	4.189	4.199	4.209	4.219	4.226	
$\overline{K^+K^-\pi^-}$	$11.6 \pm 0.2$	$18.2 \pm 0.2$	$146.2 \pm 0.7$	$25.1 \pm 0.3$	$22.9\pm0.3$	$23.9 \pm 0.3$	$20.8 \pm 0.3$	$31.4 \pm 0.4$	
$K^+K^-\pi^-\pi^0$	$4.0\pm0.4$	$6.4 \pm 0.4$	$49.8\pm1.1$	$8.8\pm0.5$	$8.0\pm0.5$	$7.7\pm0.5$	$6.9\pm0.5$	$10.7\pm0.6$	
$\pi^+\pi^-\pi^-$	$2.5\pm0.2$	$5.0\pm0.4$	$41.1\pm1.0$	$7.1\pm0.5$	$6.3\pm0.5$	$6.4\pm0.5$	$4.9\pm0.5$	$8.0 \pm 1.2$	
$K_{S}^{0}K^{-}$	$2.5\pm0.1$	$4.2\pm0.1$	$32.2\pm0.3$	$5.6\pm0.1$	$5.1\pm0.1$	$5.0\pm0.1$	$4.3\pm0.1$	$6.9\pm0.1$	
$K_{S}^{0}K^{-}\pi^{0}$	$0.9\pm0.1$	$1.5\pm0.1$	$12.8\pm0.4$	$2.2\pm0.2$	$2.6\pm0.0$	$2.0\pm0.0$	$1.5\pm0.2$	$2.7\pm0.2$	
$K^{-}\pi^{+}\pi^{-}$	$1.8\pm0.3$	$2.2\pm0.2$	$18.5\pm0.7$	$3.6\pm0.4$	$2.9\pm0.1$	$2.6\pm0.2$	$2.4\pm0.3$	$5.2\pm0.5$	
$K^{0}_{S}K^{+}\pi^{-}\pi^{-}$	$1.3\pm0.1$	$1.9\pm0.1$	$16.8\pm0.3$	$2.9\pm0.1$	$2.7\pm0.1$	$2.4\pm0.1$	$2.1\pm0.1$	$3.2\pm0.1$	
$K_{S}^{0}K^{-}\pi^{+}\pi^{-}$	$0.8\pm0.1$	$1.0 \pm 0.1$	$9.0\pm0.3$	$1.5\pm0.1$	$1.6\pm0.2$	$1.4\pm0.2$	$1.3\pm0.2$	$1.6\pm0.2$	
$\pi \tilde{\eta}$	$1.6 \pm 0.1$	$2.7\pm0.2$	$20.6\pm1.0$	$3.2\pm0.2$	$3.2\pm0.2$	$3.4\pm0.2$	$2.6\pm0.2$	$4.5\pm0.5$	
$\pi^-\eta'_{\pi\pi\eta}$	$0.8\pm0.0$	$1.3\pm0.1$	$10.2\pm0.0$	$1.8\pm0.1$	$1.5\pm0.1$	$1.6\pm0.1$	$1.4 \pm 0.1$	$2.2\pm0.1$	
$\pi^-\eta'_{\gamma ho}$	$2.0\pm0.2$	$2.9\pm0.3$	$25.8\pm0.8$	$4.0\pm0.2$	$3.6\pm0.3$	$4.3\pm0.4$	$3.9\pm0.4$	$5.0\pm0.4$	
$\rho^{-}\eta^{\prime\prime}$	$3.4\pm0.4$	$5.8\pm0.6$	$42.0\pm2.2$	$6.0\pm0.7$	$7.1\pm0.5$	$6.6\pm0.7$	$5.0\pm0.5$	$9.7\pm1.3$	
$\rho^-\eta_{3\pi}$	$1.0\pm0.2$	$1.4\pm0.3$	$10.6\pm1.0$	$1.8\pm0.3$	$1.7\pm0.6$	$2.4\pm0.6$	$2.5\pm1.4$	$2.8\pm0.3$	
SUM	$34.2\pm0.8$	$54.6\pm1.0$	$435.8\pm3.3$	$73.7\pm1.2$	$69.1\pm1.2$	$69.8 \pm 1.3$	$59.5\pm1.8$	$94.1\pm2.2$	

TABLE II. The ST yields  $(N_{ST})$  for each tag mode and data sample, in units of 10<sup>3</sup>. "SUM" denotes the total ST yield summed over tag modes. The uncertainties are statistical only.

TABLE III. The ST efficiencies ( $\epsilon_{ST}$  in %) for each tag mode and data sample. The uncertainties are statistical only. Efficiencies do not include the branching fractions of the intermediate decays  $K_S^0 \rightarrow \pi^+\pi^-$ ,  $\pi^0 \rightarrow \gamma\gamma$ ,  $\eta \rightarrow \gamma\gamma$ ,  $\eta \rightarrow \gamma\gamma$ ,  $\eta_{3\pi} \rightarrow \pi^+\pi^-\pi^0$ ,  $\eta'_{\pi\pi\eta} \rightarrow \pi^+\pi^-\eta$ ,  $\eta'_{\gamma\rho} \rightarrow \gamma\rho^0$ , and  $\rho \rightarrow \pi\pi$ .

	$E_{\rm cm}~({\rm GeV})$							
Tag mode	4.128	4.157	4.178	4.189	4.199	4.209	4.219	4.226
$K^{+}K^{-}\pi^{-}$	$44.9 \pm 0.1$	$44.6 \pm 0.1$	$43.7 \pm 0.1$	$43.8 \pm 0.1$	$43.9 \pm 0.1$	$43.6 \pm 0.1$	$43.2 \pm 0.1$	43.6 ± 0.1
$K^+K^-\pi^-\pi^0$	$13.6\pm0.2$	$13.7\pm0.1$	$13.9\pm0.1$	$14.0\pm0.1$	$14.1\pm0.1$	$14.1\pm0.1$	$14.1\pm0.1$	$14.2\pm0.1$
$\pi^+\pi^-\pi^-$	$59.5\pm0.6$	$60.1\pm0.5$	$57.8\pm0.2$	$56.6\pm0.4$	$57.2\pm0.4$	$56.1\pm0.4$	$55.5\pm0.5$	$57.3\pm0.5$
$K_{S}^{0}K^{-}$	$49.6\pm0.2$	$49.6\pm0.2$	$49.6\pm0.1$	$49.5\pm0.2$	$49.4\pm0.2$	$48.9\pm0.2$	$48.9\pm0.2$	$49.4\pm0.1$
$K_{S}^{0}K^{-}\pi^{0}$	$19.3\pm0.4$	$19.0\pm0.3$	$19.5\pm0.1$	$19.4\pm0.3$	$20.0\pm0.3$	$19.1\pm0.3$	$19.7\pm0.3$	$19.8\pm0.3$
$K^{-}\pi^{+}\pi^{-}$	$51.6\pm1.1$	$51.0\pm0.8$	$50.6\pm0.3$	$51.9\pm0.7$	$50.9\pm0.7$	$50.1\pm0.7$	$48.1\pm0.9$	$50.3\pm0.7$
$K^{0}_{S}K^{+}\pi^{-}\pi^{-}$	$22.7\pm0.2$	$23.0\pm0.2$	$23.6\pm0.1$	$23.7\pm0.1$	$23.8\pm0.2$	$23.5\pm0.1$	$23.5\pm0.2$	$23.9\pm0.1$
$K_{S}^{0}K^{-}\pi^{+}\pi^{-}$	$20.3\pm0.4$	$20.3\pm0.3$	$21.4\pm0.1$	$21.1\pm0.3$	$21.4\pm0.3$	$21.0\pm0.3$	$21.0\pm0.3$	$21.4\pm0.3$
$\pi \ddot{\eta}$	$51.6\pm0.6$	$51.6\pm0.4$	$51.7\pm0.2$	$51.1\pm0.4$	$51.4\pm0.4$	$51.1\pm0.4$	$50.4\pm0.5$	$51.0 \pm 0.4$
$\pi^-\eta'_{\pi\pi\eta}$	$26.1\pm0.5$	$25.6\pm0.3$	$25.5\pm0.1$	$25.1\pm0.3$	$24.8\pm0.3$	$25.2\pm0.3$	$25.1\pm0.4$	$25.5\pm0.3$
$\pi^-\eta'_{\gamma ho}$	$34.5\pm0.5$	$34.5\pm0.3$	$33.8\pm0.1$	$33.5\pm0.3$	$34.3\pm0.3$	$33.9\pm0.3$	$32.8\pm0.4$	$34.5\pm0.3$
$\rho^{-}\eta^{\prime}$	$21.7\pm0.3$	$21.2\pm0.2$	$20.9\pm0.1$	$21.4\pm0.2$	$20.9\pm0.2$	$20.8\pm0.2$	$20.5\pm0.3$	$20.6\pm0.2$
$\rho^-\eta_{3\pi}$	$9.8\pm0.3$	$9.9\pm0.2$	$9.9\pm0.1$	$9.9\pm0.2$	$9.5\pm0.2$	$9.4\pm0.2$	$9.4\pm0.3$	9.9 ± 0.2

The BDT is trained to distinguish the signal from the sum of the expected background processes. The selection of BDT input variables is based on maximizing the separation power while avoiding variables that do not significantly improve performance. Starting with  $M_{\rm miss}^2$  as the initial variable, additional variables are sequentially tested, and the one giving the most significant improvement in separation is kept. This process is repeated until the additional variables do not further improve the performance. The final set includes the variables of  $M_{\rm tag}$  and  $m_{\rm BC}^{\rm tag}$ from the tag side,  $\theta_{\rm miss}^{\gamma}$ ,  $\cos \theta_{\rm miss}$ , and  $M_{\rm miss}^2$  from the neutrino,  $E_{\gamma(\pi^0)}$  from the transition  $\gamma(\pi^0)$ ,  $E_{\gamma}^{\rm sum}$  representing the summed energy of extra photons in the event, and  $\cos \theta_{\pi^+}$  and  $\vec{p}_{\pi^+}$  of the  $\pi^+$  from the signal side. Here,  $m_{\rm BC}^{\rm tag} = \sqrt{E_{\rm beam}^2 - |\vec{p}_{D_s^-}|^2 c^2}$  is the beam-constrained mass of the  $D_s^-$  candidate in the ST event, in which  $E_{\rm beam}$  denotes the beam energy and  $\theta_{\rm miss}^{\gamma}$  refers to the opening angle between  $\vec{p}_{{\rm miss},\nu}$  and the most energetic photon.

Figure 3 shows the comparisons of the input variables of the BDT between data and MC simulation. It can be seen that the data and MC simulation are in an overall good agreement. Observable data-MC discrepancies in distributions of input variables will be considered as one source of systematic uncertainties as detailed in Sec. IV C.



FIG. 3. Distributions of various input variables of the BDT. The black points with error bars are data, the red solid-filled histogram shows the signal, the blue solid-filled histogram is the  $D_s^+ \rightarrow \mu^+ \nu_{\mu}$  background, the yellow solid-filled histogram is the other  $\tau^+$  decays background, the cyan solid-filled histogram is the  $e^+e^- \rightarrow \tau^+\tau^-/q\bar{q}(q = u, d, s)$  background, the magenta solid-filled histogram is the  $D_s^+ \rightarrow \mu^+ \nu_{\mu}$  background, the gray solid-filled histogram is the  $D_s^+ \rightarrow \pi^+ \tau^-/q\bar{q}(q = u, d, s)$  background, the gray solid-filled histogram is the  $D_s^+ \rightarrow \eta \pi^+$  background and the gray solid-filled histogram is the remaining background. The legend in the first figure is applicable to all figures.

The TMVA [39] framework is used to train the BDT. The values for the hyperparameters are determined by seeking the configuration that offers the best separation between signal and background in a coarsely binned multidimensional parameter space defined by the hyperparameters. This is followed by fine-grained one-dimensional scans of individual hyperparameters to ensure an unbiased training and evaluation of the BDT using the complete set of simulated MC events, the MC events are divided into two equal-sized samples, namely A with even event number and B with odd event number. The performance of the BDT trained on sample A (B) is evaluated using sample B (A) to avoid using the same events for both training and evaluation of a particular BDT. The real data is also divided into two parts with even and odd event number, and half of the data is analyzed using the BDT trained on sample A, and the other half using the BDT trained on sample B. Finally, the output distributions of the BDT trained on samples A and B are merged for both the data and simulated events.

#### E. Background composition and modeling

After the final selection discussed in Sec. III C, the fractions of remaining background components determined from MC simulations are as follows:  $(38.78 \pm 0.10)\%$  for  $D_s^+ \rightarrow \mu^+ \nu_{\mu}$ ,  $(15.31 \pm 0.06)\%$  for other  $\tau$  decays,  $(9.33 \pm 0.05)\%$  for  $e^+e^- \rightarrow q\bar{q}$ ,  $(3.95 \pm 0.03)\%$  for  $e^+e^- \rightarrow \tau^+\tau^-$ ,  $(2.28 \pm 0.02)\%$  for  $D_s^+ \rightarrow \eta\pi^+$ ,  $(2.73 \pm 0.03)\%$  for  $D_s^+ \rightarrow K_L^0 K^+$ ,  $(4.16 \pm 0.03)\%$  for  $D_s^+ \rightarrow K^0 \pi^+$  and there is approximately 23% of the background that consists of mixed components, primarily originating from the open-charm processes. Candidates for  $D_s^+ \rightarrow \tau^+ \nu_{\tau}$  with  $\tau^+ \rightarrow \rho^+ \bar{\nu}_{\tau}$  and  $\tau^+ \rightarrow \mu^+ \nu_{\mu} \bar{\nu}_{\tau}$  have been used in the previous BESIII analyses [18,20] and they will be considered as backgrounds in this measurement.

Four control regions are defined, orthogonal to the signal region, to validate the modeling of the major backgrounds: (1)  $D_s^+ \rightarrow \mu^+ \nu_{\mu}$  as  $\mu\nu$  control region, (2) other  $\tau^+$  decay as  $\tau_{\text{other}}$  control region, (3)  $e^+e^- \rightarrow \tau^+\tau^-/q\bar{q}(q=u,d,s)$  as  $qq\tau\tau$  control region, and (4)  $D_s^+ \rightarrow \eta\pi^+$  as  $\eta\pi$  control

TABLE IV. Definitions of the control regions, where all other selection criteria are imposed except for the corresponding requirements to be shown. The  $M_{tag}$  signal and sideband regions are defined to be within and outside  $\pm 3\sigma$  around the nominal  $D_s$  mass, respectively. See details of  $M_{tag}$  signal regions in Ref. [37]. The  $\mu^+$  selection is performed by using the dE/dx, TOF and EMC information and requires the muon hypothesis to be greater than the pion hypothesis. The inclusive MC yield is estimated by analyzing an inclusive MC sample corresponding to 10 times luminosity of data.

	Process						
Requirement	$D_s^+  o \mu^+  u_\mu$	Other $\tau^+$ decay	$e^+e^- \to \tau^+\tau^-/q\bar{q}(q=u,d,s)$	s) $D_s^+ \to \eta \pi^+$			
M <sub>tag</sub>	Signal region	Signal region	Sideband region	Signal region			
$M_{\rm miss}^2$ (GeV <sup>2</sup> /c <sup>4</sup> )	$\in (-0.2, 0.2)$	$\in$ (0.6, 1.2)	$\in (0.6, 1.2)$	$\in (0.2, 0.4)$			
$E_{\rm neu}^{\rm max}$ (GeV)	< 0.3	< 0.4	>0.1	>0.3			
$\mu^+$ selection	Yes						
Data yield	4725	8734	230984	4466			
Inclusive MC yield	47180	83781	2162763	40428			
Purity (%)	68.0	55.7	63.4	69.2			

region. These control regions are used to check the background modeling, and the selection criteria are summarized in Table IV. Although the proportion of  $D_s^+ \rightarrow \eta \pi^+$  background is small, it forms a peak in  $M_{\text{miss}}^2$  of our signal region. Nevertheless, a control region can be defined to check its yield and shape. The control region of the  $D_s^+ \rightarrow$  $\eta \pi^+$  background is chosen by using the maximum energy of extra photons. Unlike this process, we do not define the control region of the  $D_s^+ \rightarrow K^0 \pi^+$  background, since this background is dominated by  $D_s^+ \rightarrow K_L^0 \pi^+$ .

Good data-MC consistencies can be seen in the comparisons of the BDT output scores for the four background sources between data and MC simulation in different control regions.

#### F. Fit to data

The signal yield of  $D_s^+ \rightarrow \tau^+ (\rightarrow \pi^+ \bar{\nu}_\tau) \nu_\tau$  is extracted from a maximum likelihood fit to the distribution of the BDT output scores for the data combined from all energy points. In the fit, the signal and background shapes are modeled with the simulated shapes derived from MC simulations and included as RooHistPdf objects [40] in the fit, with both yields floated.

Figure 4 shows the result of the fit to the distribution of the BDT score in the signal region. From the fit, we obtain  $2411 \pm 75 \ D_s^+ \rightarrow \tau^+ (\rightarrow \pi^+ \bar{\nu}_\tau) \nu_\tau$  events.

Our analysis procedure, including the extraction of ST and DT yields, has been validated by analyzing 40 full simulation samples separately, in which the average of the measured branching fractions is consistent with the branching fraction in the full simulation sample. Each simulated sample has comparable luminosity of data. To further examine the stability of the BDT fit method, we generate 10000 pseudodatasets with the observed data BDT distribution using the bootstrap method [40,41]. We fit to these pseudodatasets individually. The pull of the fitted yield  $p(N_{sig})$  is defined as

$$p(N_{\rm sig}) = \frac{N_{\rm sig}^{\rm pseudo} - N_{\rm sig}^{\rm real}}{\sigma_N^{\rm pseudo}},$$
(7)

where  $N_{\rm sig}^{\rm real}$  is the fitted yield of real data,  $N_{\rm sig}^{\rm pseudo}$  and  $\sigma_N^{\rm pseudo}$  are the fitted yield and its statistical uncertainty of the pseudodatasets, respectively. The distribution of the  $p(N_{\rm sig})$  values is fitted with a Gaussian function. The mean and width values are obtained to be  $-0.018 \pm 0.010$  and  $1.010 \pm 0.007$ , respectively. These imply no bias of the BDT fit method.

#### G. Branching fraction result

The DT efficiencies are shown in Table V. These efficiencies have been corrected by factors which take into account the data-MC efficiency differences for the



FIG. 4. Fit result on the BDT score of the candidate events in data. The black points are data. The red solid-filled and blue solid-filled histograms represent the fitted signal and background shapes, respectively. The black-solid curve represents the total fit. The pull distribution of the fit result, derived with RooPullVar [40], is shown at the bottom.

TABLE V. The DT efficiencies ( $\epsilon_{\text{DT}}$  in %) of  $D_s^+ \to \tau^+(\to \pi^+ \bar{\nu}_{\tau})\nu_{\tau}$  for each tag mode and data sample. The uncertainties are statistical only. These efficiencies do not include the branching fractions of the intermediate decays of  $K_s^0 \to \pi^+ \pi^-$ ,  $\pi^0 \to \gamma\gamma$ ,  $\eta \to \gamma\gamma$ ,  $\eta_{3\pi} \to \pi^+ \pi^- \pi^0$ ,  $\eta'_{\pi\pi\eta} \to \pi^+ \pi^- \eta$ ,  $\eta'_{\gamma\rho} \to \gamma\rho^0$  and  $\rho \to \pi\pi$ .

	$E_{\rm cm}~({\rm GeV})$								
Tag mode	4.128	4.157	4.178	4.189	4.199	4.209	4.219	4.226	
$\overline{K^+K^-\pi^-}$	$19.9 \pm 0.1$	$19.4 \pm 0.1$	$18.4 \pm 0.1$	$18.2 \pm 0.1$	$18.2 \pm 0.1$	$17.2 \pm 0.1$	$17.1 \pm 0.1$	$17.0 \pm 0.1$	
$K^+K^-\pi^-\pi^0$	$5.9\pm0.1$	$6.2 \pm 0.1$	$6.0 \pm 0.1$	$5.9\pm0.1$	$5.8\pm0.1$	$5.6 \pm 0.1$	$5.5\pm0.1$	$5.7\pm0.1$	
$\pi^+\pi^-\pi^-$	$29.8\pm0.1$	$29.2\pm0.1$	$27.4\pm0.1$	$27.1\pm0.2$	$26.3\pm0.2$	$25.2\pm0.2$	$25.1\pm0.2$	$25.8\pm0.2$	
$K_{S}^{0}K^{-}$	$24.7\pm0.1$	$24.1\pm0.1$	$23.4\pm0.1$	$23.0\pm0.2$	$22.5\pm0.1$	$21.6\pm0.1$	$20.9\pm0.1$	$21.9\pm0.1$	
$K_{s}^{0}K^{-}\pi^{0}$	$10.0\pm0.1$	$9.9\pm0.1$	$9.6 \pm 0.1$	$9.7\pm0.1$	$9.4 \pm 0.1$	$8.9\pm0.1$	$8.8 \pm 0.1$	$9.1\pm0.1$	
$K^{-}\pi^{+}\pi^{-}$	$25.3\pm0.1$	$24.7\pm0.1$	$23.6\pm0.1$	$23.1\pm0.2$	$22.5\pm0.1$	$21.5\pm0.1$	$21.2\pm0.1$	$21.9\pm0.1$	
$K^{0}_{S}K^{+}\pi^{-}\pi^{-}$	$10.0\pm0.1$	$10.1\pm0.1$	$10.2\pm0.1$	$9.9\pm0.1$	$9.8\pm0.1$	$9.4 \pm 0.1$	$9.0\pm0.1$	$9.5\pm0.1$	
$K_{s}^{0}K^{-}\pi^{+}\pi^{-}$	$8.6 \pm 0.1$	$8.8 \pm 0.1$	$8.7\pm0.1$	$8.5\pm0.1$	$8.6 \pm 0.1$	$8.2 \pm 0.1$	$7.9\pm0.1$	$8.3\pm0.1$	
$\pi^{-\eta}$	$27.5\pm0.1$	$27.1\pm0.1$	$25.8\pm0.1$	$25.3\pm0.2$	$25.2\pm0.2$	$24.2\pm0.2$	$23.9\pm0.2$	$24.3\pm0.2$	
$\pi^-\eta'_{\pi\pi n}$	$13.4\pm0.1$	$13.4\pm0.1$	$12.7\pm0.1$	$12.3\pm0.1$	$12.4\pm0.1$	$11.7\pm0.1$	$11.4\pm0.1$	$12.1\pm0.1$	
$\pi^-\eta'_{\gamma\rho}$	$17.8\pm0.1$	$17.5\pm0.1$	$16.6\pm0.1$	$16.3\pm0.1$	$16.3\pm0.1$	$15.5\pm0.1$	$15.4\pm0.1$	$15.7\pm0.1$	
$\rho^{-}\eta^{-}$	$12.6\pm0.1$	$12.3\pm0.1$	$11.9\pm0.1$	$11.5\pm0.1$	$11.5\pm0.1$	$11.0\pm0.1$	$11.1\pm0.1$	$11.1\pm0.1$	
$\rho^-\eta_{3\pi}$	$5.6\pm0.1$	$5.4\pm0.1$	$5.3\pm0.1$	$5.1\pm0.1$	$5.2\pm0.1$	$4.9\pm0.1$	$4.8\pm0.1$	$4.9\pm0.1$	

requirements of  $\pi^+$  tracking and PID,  $E_{\text{neu}}^{\text{max}}$ ,  $N_{\text{extra}}^{\text{charge}}$ ,  $N_{\text{extra}}^{\pi^0}$ ,  $E_{\gamma}$ , EOP,  $\cos \theta_{\text{miss}}$  and *best*  $\gamma(\pi^0)$  selection as described in Sec. IV. The individual correction factors are listed in Table VI.

The branching fraction of  $D_s^+ \rightarrow \tau^+ \nu_{\tau}$  is determined to be  $\mathcal{B}(D_s^+ \rightarrow \tau^+ \nu_{\tau}) = (5.44 \pm 0.17)\%$ , where the uncertainty is statistical only and the branching fraction of  $\tau^+ \rightarrow \pi^+ \bar{\nu}_{\tau}$  has been set to be 10.82% [33].

# **IV. SYSTEMATIC UNCERTAINTIES**

The sources of systematic uncertainties in the measurement of the branching fraction are divided into three categories. The first one is from the ST analysis procedure, the second one is from DT analysis procedure, and the last one is from the fit to the BDT output score.

#### A. Uncertainties from ST analysis procedure

The uncertainty of the fits to the  $M_{tag}$  spectra is estimated by varying the signal and background shapes and repeating the fit for both data and inclusive MC sample. The nominal signal shape is chosen as the one after requiring the angles between each reconstructed and generated track to be less than 15°. The alternative signal shape is obtained by varying the matching angle by  $\pm 5^{\circ}$ . The background shape is changed from nominal one to a third-order Chebychev polynomial. The relative change of the ST yields in data over the ST efficiencies is considered as the systematic uncertainty. Moreover, an additional uncertainty due to the background fluctuation of the fitted ST yields is included. The quadrature sum of these three terms, 0.52%, is assigned as the associated systematic uncertainty.

Because of different reconstruction environments in the inclusive and signal MC samples, the ST efficiencies determined by the inclusive MC sample may be different from those by the signal MC sample. This may lead to incomplete cancellation of the systematic uncertainties associated with the ST selection, referred to as "tag bias." Inclusive and signal MC efficiencies are compared and the tracking and PID efficiencies for kaons and pions are studied for different track multiplicities. The resulting STaverage offsets are assigned as the systematic uncertainties from tag bias.

#### B. Uncertainties from DT analysis procedure

The systematic uncertainties associated with DT event reconstruction and efficiency determination are considered as four parts: the tracks and neutrals reconstruction and identification, the signal MC sample sizes, the input branching fractions to the  $\mathcal{B}(D_s^+ \to \tau^+(\to \pi^+ \bar{\nu}_\tau)\nu_\tau)$  determination, and the basic event selections.

The systematic uncertainty in the  $\gamma(\pi^0)$  selection is estimated by using a control sample of  $J/\psi \rightarrow \pi^+\pi^-\pi^0$ decays [42], and the corresponding systematic uncertainty is assigned as 1%. The systematic uncertainties of  $\pi^+$ tracking and PID are studied with control samples of light hadron processes produced in  $e^+e^-$  collisions as summarized in Table VI. Small data-MC differences are found, as shown in Table VI. To compensate these differences, we correct the effective efficiency by these factors. After corrections, the residual statistical uncertainty is assigned as individual systematic uncertainty.

The uncertainty due to the limited sizes of the MC samples, which is used for the determination of the DT efficiencies, is 0.19%. The systematic uncertainties associated with the input branching fractions,  $\mathcal{B}(D_s^{*+} \rightarrow \gamma(\pi^0)D_s^+)$  and  $\mathcal{B}(\tau^+ \rightarrow \pi^+\bar{\nu}_{\tau})$ , are examined by varying individual nominal values by  $\pm 1\sigma$  [33]. Combining these

Source	Control sample	Correction factor
$\pi^+$ tracking	$e^+e^-  ightarrow K^+K^-\pi^+\pi^-$	$1.0033 \pm 0.0035$
$\pi^+$ PID	$e^+e^- \to K^+K^-\pi^+\pi^-(\pi^0)$ and $\pi^+\pi^-\pi^+\pi^-(\pi^0)$	$0.9890 \pm 0.0032$
$E_{\text{neu}}^{\text{max}}, N_{\text{extra}}^{\text{char}}, N_{\text{extra}}^{\pi^0}$	$D_s^+ \to K^+ K^- \pi^+$ and $D_s^+ \to K_s^0 K^+$	$0.9918 \pm 0.0041$
$E_{\gamma}$ requirement	$D_s^+ \to K^+ K^- \pi^+$ and $D_s^+ \to K_s^0 K^+$	$1.0066 \pm 0.0046$
EOP requirement	$D_s^+ \to K^+ K^- \pi^+$ and $D_s^+ \to K_s^0 \pi^+$	$0.9994 \pm 0.0014$
$\cos \theta_{\rm miss}$ requirement	$D_s^+ \to K^+ K^- \pi^+$	$1.0130 \pm 0.0083$
Best $\gamma(\pi^0)$ selection	$D_s^+ \to K^+ \bar{K}^- \pi^+$ and $D_s^+ \to K_S^0 K^+$	$1.0035 \pm 0.0018$

TABLE VI. The used control samples and correction factors for different MC mismodeling sources. The uncertainties associated with correction factors arise from the statistical fluctuations in both the data and MC simulation.

two effects in quadrature gives a total systematic uncertainty of 0.52%.

The systematic uncertainties of the requirements of  $E_{\text{neu}}^{\text{max}}$ ,  $N_{\text{extra}}^{\text{char}}$ ,  $N_{\text{extra}}^{\pi^0}$ ,  $E_{\gamma}$ , EOP,  $|\cos \theta_{\text{miss}}|$ , and *best*  $\gamma(\pi^0)$  selection are studied with control samples of DT hadronic decays tagged by the same tag modes as in nominal analysis. The differences between data and simulation are corrected using factors from Table VI and the residual statistical uncertainties are assigned as systematic uncertainties for each source.

### C. Uncertainty associated with BDT output score

The systematic uncertainties associated with the fit to BDT output score are considered in three aspects.

To examine the effect of the unobserved decays of  $D_s^+ \rightarrow \gamma \mu^+ \nu_\mu$  and  $D_s^+ \rightarrow \pi^+ \pi^0$ , an alternative fit is performed, where these two decay components are added one by one. The yields of these decays are fixed to the corresponding experimental upper limits,  $\mathcal{B}(D_s^+ \rightarrow \gamma \mu^+ \nu_\mu) < 1.3 \times 10^{-4}$  and  $\mathcal{B}(D_s^+ \rightarrow \pi^+ \pi^0) < 3.4 \times 10^{-4}$  [33]. Here we simply assume  $\mathcal{B}(D_s^+ \rightarrow \gamma \mu^+ \nu_\mu) = \mathcal{B}(D_s^+ \rightarrow \gamma e^+ \nu_e)$  based on lepton flavor universality. Eventually, their impact on  $\mathcal{B}(D_s^+ \rightarrow \tau^+ \nu_{\tau})$  is found to be negligible.

The branching fractions and the cross sections of the main background sources, as mentioned in Sec. III D, are varied within 1 standard deviation given in Ref. [33]. The quadratic sum of the relative changes of the remeasured branching fractions for each source, 1.50%, is assigned as a systematic uncertainty.

Small data-MC differences in the input variables have been observed. To estimate their effect on the branching fraction measurement, we reweight all simulated variables to match individual data distributions iteratively. The quadratic sum of the relative changes of the fitted signal yield for each source, 0.69%, is assigned as the systematic uncertainty.

By adding all systematic uncertainties in quadrature, as summarized in Table VII, the total systematic uncertainty in the branching fraction measurement is determined to be 2.41%.

#### V. RESULTS

With the result for  $\mathcal{B}(D_s^+ \to \tau^+ \nu_{\tau})$  obtained in this study, we determine

$$f_{D_s^+}|V_{cs}| = (248.3 \pm 3.9_{\text{stat}} \pm 3.0_{\text{syst}} \pm 1.0_{\text{input}}) \text{ MeV},$$

where the third uncertainty is from the external inputs of  $m_{\ell}$ ,  $m_{D_{\tau}^+}$ , and  $\tau_{D_{\tau}^+}$  [33].

By taking  $|V_{cs}| = 0.97349 \pm 0.00016$  given by the SM [33] global fit as an input, we obtain

$$f_{D_{*}^{+}} = (255.0 \pm 4.0_{\text{stat}} \pm 3.1_{\text{syst}} \pm 1.0_{\text{input}}) \text{ MeV},$$

which is in agreement with the LQCD predictions [43]. Conversely, by taking the LQCD calculation of  $f_{D_s^+} = 249.9 \pm 0.5$  MeV [43] as an input, we determine

$$|V_{cs}| = 0.993 \pm 0.015_{\text{stat}} \pm 0.012_{\text{syst}} \pm 0.004_{\text{input}},$$

TABLE VII. Relative systematic uncertainties in the branching fraction measurement.

Source	Uncertainty (%)
ST yield	0.52
Tag bias	0.41
$\pi^+$ tracking	0.35
$\pi^+$ PID	0.32
$\gamma(\pi^0)$ reconstruction	1.00
MC sample size	0.19
Input branching fractions	0.52
Basic event selections	1.06
$M_{\rm miss}^2$ range	Negligible
$D_s^+ \rightarrow \gamma \mu^+ \nu_\mu$ background	Negligible
$D_s^+ \rightarrow \pi^+ \pi^0$ background	Negligible
Background estimate	1.50
Input shape for BDT	0.69
Total	2.41

TABLE VIII. Comparison of the branching fractions and the corresponding products of  $f_{D_s^+}|V_{cs}|$  from various experiments. "Weighted" are obtained by combining with considering the correlated effects. "Average" are obtained by combining both statistical and systematic uncertainties, but not the third uncertainties, which are dominated by the uncertainty of the  $D_s^+$  lifetime. The uncertainty of the "Average" of  $\mathcal{B}$  and the first uncertainty of the "Average" of  $f_{D_s^+}|V_{cs}|$  are the combined values of their statistical and systematic uncertainties, respectively, and the second uncertainty of the "Average" of  $f_{D_s^+}|V_{cs}|$  due to the uncertainty of the quoted  $D_s^+$  lifetime. The result reported in the article are in bold.

Experiment	$E_{\rm cm}~({\rm GeV})$	Mode	$ au^+$ decay	B (%)	$f_{D_s^+} V_{cs} $ (MeV)
This work	4.128-4.226	$D_s^{\pm} D_s^{*\mp}$	$\pi^+ ar{ u}_{ au}$	$5.44 \pm 0.17 \pm 0.13$	$248.3 \pm 3.9 \pm 3.1 \pm 1.0$
BESIII [20]	4.128-4.226	$D_s^{\pm} D_s^{*\mp}$	$\mu^+ \bar{\nu}_{\tau} \nu_{\mu}$	$5.37 \pm 0.17 \pm 0.15$	$246.7 \pm 3.9 \pm 3.6 \pm 1.0$
BESIII [19]	4.178-4.226	$D_s^{\pm} D_s^{*\mp}$	$e^+ ar{ u}_{ au}  u_e$	$5.27 \pm 0.10 \pm 0.13$	$244.4 \pm 2.3 \pm 2.9 \pm 1.0$
BESIII [18]	4.178-4.226	$D_s^{\pm} D_s^{*\mp}$	$\pi^+\pi^0ar u_ au$	$5.30 \pm 0.25 \pm 0.20$	$245.1 \pm 5.8 \pm 4.7 \pm 1.0$
BESIII [17]	4.178-4.226	$D_s^{\pm} D_s^{*\mp}$	$\pi^+ ar u_ au$	$5.21 \pm 0.25 \pm 0.17$	$243.0 \pm 5.8 \pm 4.0 \pm 1.0$
Weighted <sup>a</sup>				$5.33 \pm 0.07 \pm 0.08$	$245.7 \pm 1.7 \pm 1.8 \pm 1.0$
BESIII [22]	4.008	$D_s^+ D_s^-$	$\pi^+ ar u_ au$	$3.28 \pm 1.83 \pm 0.37$	$192.8 \pm 44.2 \pm 10.9 \pm 0.8$
CLEO [12]	4.170	$D_s^{\pm} D_s^{*\mp}$	$e^+ ar{ u}_ au  u_e$	$5.30 \pm 0.47 \pm 0.22$	$245.1 \pm 10.9 \pm 5.1 \pm 1.0$
CLEO [13]	4.170	$D_s^{\pm}D_s^{*\mp}$	$\pi^+ ar{ u}_{ au}$	$6.42 \pm 0.81 \pm 0.18$	$269.7 \pm 17.2 \pm 3.8 \pm 1.1$
CLEO [14]	4.170	$D_s^{\pm} D_s^{*\mp}$	$ ho^+ ar u_ au$	$5.52 \pm 0.57 \pm 0.21$	$250.1 \pm 13.0 \pm 4.8 \pm 1.0$
BABAR [15]	10.56	$DKX\gamma D_s^-$	$e^+ \bar{ u}_{ au}  u_e, \mu^+ \bar{ u}_{ au}  u_\mu$	$4.96 \pm 0.37 \pm 0.57$	$237.1 \pm 8.9 \pm 13.7 \pm 1.0$
Belle [16]	10.56	$DKX\gamma D_s^-$	$\pi^+ ar u_ au, e^+ ar u_ au  u_e, \mu^+ ar u_ au  u_\mu$	$5.70 \pm 0.21 \substack{+0.31 \\ -0.30}$	$254.1 \pm 4.7 \pm 7.0 \pm 1.0$
Average				$5.37\pm0.10$	$246.6 \pm 2.2 \pm 1.0$

<sup>a</sup>It excludes "BESIII [17]."

which agrees well with the result given by the SM [33] global fit.

Using the method described in [44] which takes into account the correlation of systematic uncertainties, we obtain the average branching fraction to be  $\mathcal{B}(D_s^+ \to \tau^+ \nu_{\tau}) =$  $(5.33 \pm 0.07_{stat} \pm 0.08_{syst})\%$  by combining the BESIII measurements of the branching fractions of  $D_s^+ \rightarrow \tau^+ \nu_{\tau}$ measured via  $\tau^+ \to \pi^+ \pi^0 \bar{\nu}_{\tau}$  [18],  $\tau^+ \to e^+ \bar{\nu}_{\tau} \nu_e$  [19],  $\tau^+ \to$  $\mu^+ \bar{\nu}_{\tau} \nu_{\mu}$  [20], and that via  $\tau^+ \to \pi^+ \bar{\nu}_{\tau}$  from this study. Here the uncertainties from the ST yield, the  $\pi^+$  tracking and PID, the soft  $\gamma(\pi^0)$  reconstruction, the *best*  $\gamma(\pi^0)$  selection, and the tag bias are taken to be correlated. Additional common uncertainties come from  $\tau_{D_s^+}$ ,  $m_{D_s^+}$  and  $m_{\tau}$  for  $f_{D_s^+}$  and  $|V_{cs}|$ , while all the other uncertainties are independent. This gives  $f_{D_s^+} = (252.4 \pm 1.7_{\text{stat}} \pm 1.8_{\text{syst}} \pm 1.0_{\text{input}}) \text{ MeV} \text{ and } |V_{cs}| =$  $0.983\pm0.007_{stat}\pm0.007_{syst}\pm0.004_{input}.$  Combining the world average of  $\mathcal{B}(D_s^+ \to \mu^+ \nu_{\mu}) = (5.43 \pm 0.15)\%$  [33], we obtain  $R = \Gamma(D_s^+ \rightarrow \tau^+ \nu_\tau) / \Gamma(D_s^+ \rightarrow \mu^+ \nu_\mu) = 9.81 \pm 0.33$ .

Averaging the branching fractions of  $D_s^+ \rightarrow \tau^+ \nu_\tau$ measured by CLEO [12–14], *BABAR* [15], Belle [16], BESIII [18–20,22] and from this study, we obtain the average branching fraction to be  $\mathcal{B}(D_s^+ \rightarrow \tau^+ \nu_\tau) =$  $(5.37 \pm 0.10)\%$ . This gives  $f_{D_s^+} = (253.3 \pm 2.3_{\text{stat,syst}} \pm$  $1.0_{\text{input}})$  MeV,  $|V_{cs}| = 0.987 \pm 0.009_{\text{stat,syst}} \pm 0.004_{\text{input}}$ and  $R = \Gamma(D_s^+ \rightarrow \tau^+ \nu_\tau)/\Gamma(D_s^+ \rightarrow \mu^+ \nu_\mu) = 9.89 \pm 0.33$ .

## **VI. SUMMARY**

Using 7.33 fb<sup>-1</sup> of  $e^+e^-$  collision data taken at  $E_{\rm cm}$  between 4.128 and 4.226 GeV, we report the updated study

of  $D_s^+ \rightarrow \tau^+ \nu_\tau$  via  $\tau^+ \rightarrow \pi^+ \bar{\nu}_\tau$ , where the candidates are maximally separated from the background distribution using a BDT. The branching fraction of  $D_s^+ \rightarrow \tau^+ \nu_\tau$  is determined to be  $(5.44 \pm 0.17_{\text{stat}} \pm 0.13_{\text{syst}})\%$ . This result is consistent with the previous measurements by CLEO [12–14], *BABAR* [15], Belle [16], and BESIII [18–20]. In particular, it supersedes the previous BESIII result of  $(5.21 \pm 0.25_{\text{stat}} \pm 0.17_{\text{syst}})\%$  published in Ref. [17], which was measured via  $\tau^+ \rightarrow \pi^+ \bar{\nu}_\tau$  in a narrower  $M_{\text{miss}}^2$  range by analyzing 6.32 fb<sup>-1</sup> of  $e^+e^-$  collision data taken at  $E_{\text{cm}}$ between 4.178 and 4.226 GeV. Table VIII shows the comparison of  $\mathcal{B}(D_s^+ \rightarrow \tau^+ \nu_\tau)$  and  $f_{D_s^+}|V_{cs}|$  obtained in this study and the previous measurements.

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