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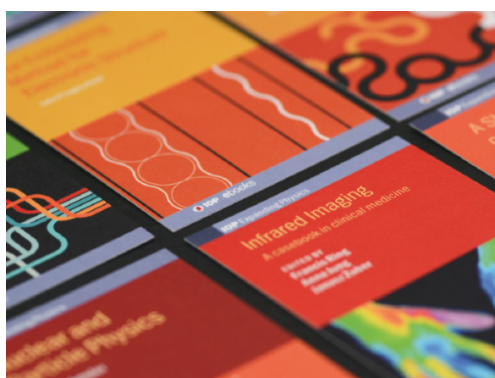
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Landau-Stark states in finite lattices and edge-induced Bloch oscillations

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Abstract – We consider the dynamics of a charged particle in a finite along the x -direction square lattice in the presence of a normal to the lattice plane magnetic field and an in-plane electric field aligned with the y -axis. For a vanishing magnetic field this dynamics would be common Bloch oscillations where the particle oscillates in the y -direction with an amplitude inverse proportional to the electric field. We show that a non-zero magnetic field crucially modifies this dynamics. Namely, the new Bloch oscillations consist of time intervals where the particle moves with constant velocity in the x -direction intermitted by intervals where it is accelerated or decelerated along the lattice edges. The analysis is done in terms of the Landau-Stark states which are eigenstates of a quantum particle in a two-dimensional lattice subject to (real or synthetic) electric and magnetic fields.

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Introduction. – This work brings together two topics that nowadays attract much attention in physics of cold atoms and photonic crystals — non-dissipative Bloch oscillations and edge states in the lattices with topological properties. The phenomenon of Bloch oscillations has been intensively studied with cold atoms in an optical lattice since 1996 [1–5] and with light in photonic crystals since 1999 [6–10]. Currently experimentalists use these systems to study topological effects [11,12]. Perhaps the most exciting property of topological systems is the existence of edge states that may carry non-vanishing current. The problem of detecting these states is addressed, for example, in refs. [13,14].

In this work we analyze the dynamical response of a finite-size topological system to a static force. As model we choose the solid-state paradigm of topological systems — a charge particle in the square lattice subjected to a magnetic field. We shall show that inclusion of an electric field results in specific Bloch oscillations of the particle which are exclusively due to the edge states. It should be mentioned from the very beginning that, although we formally consider a solid-state system, experimental realizations of the discussed Bloch oscillations are more feasible in optical lattices or photonic crystals. Two main advantages of these systems as compared to electrons in a solid crystal are the absence of relaxation processes and

the possibility of measuring wave-packet dynamics *in situ*. Clearly, for charge neutral particles the electric and magnetic fields are synthetic fields [13,15–17].

In the next section we introduce notations and recall essentials of Bloch oscillations (more precisely, cyclotron-Bloch oscillations) for the quantum particle in infinite two-dimensional lattices. New effects due to the edge states are discussed in the section entitled “Finite lattices”. We use in parallel and link together two different approaches: the traditional approach of magnetic bands and the new approach of Landau-Stark states. The main results are summarized in the concluding section of the paper.

Infinite lattices. – Using the Landau gauge $\mathbf{A} = B(0, x)$ the tight-binding Hamiltonian of a charged particle in crossing magnetic and electric fields reads

$$\begin{aligned}
 (\hat{H}\psi)_{l,m} = & -\frac{J}{2}(\psi_{l+1,m} + \psi_{l-1,m}) \\
 & -\frac{J}{2}(e^{i2\pi\alpha l}\psi_{l,m+1} + e^{-i2\pi\alpha l}\psi_{l,m-1}) \\
 & + edFm\psi_{l,m},
 \end{aligned}
 \tag{1}$$

where d is the lattice period, e the charge, $l = x/d$ and $m = y/d$ label the lattice sites, $\alpha = eBd^2/hc$ the Peierls phase, J the hopping matrix element, and F the electric field which is aligned with the y -axis of the lattice. (Notice that the tight-binding approximation is justified only

if $|\alpha| \ll 1$, which will be the case analyzed in the paper.) We are interested in the dynamics of a localized wave packet induced by the electric field. If there were no magnetic field, this dynamics would be Bloch oscillations of the packet with amplitude $\sim J/F$ and the frequency

$$\omega_B = F. \quad (2)$$

(In eq. (2) and subsequent equations we set the charge, the lattice period, and Planck's constant to unity.) For $\alpha \neq 0$, however, the packet does not oscillate but moves in the x -direction with the drift velocity

$$v^* = F/2\pi\alpha. \quad (3)$$

One can prove this result by using either of two alternative approaches.

The first approach uses eigenstates of the Hamiltonian (1) which are termed the Landau-Stark states. For the considered orientation of the electric field one finds the Landau-Stark states by using the substitution

$$\Psi_{l,m} = \frac{e^{i\kappa l}}{\sqrt{L_x}} e^{i\kappa l} b_m e^{-i2\pi\alpha l m}, \quad (4)$$

which results in the following equation for the amplitudes b_m :

$$-\frac{J}{2}(b_{m+1} + b_{m-1}) - J \cos(2\pi\alpha m - \kappa) b_m + Fm = E b_m. \quad (5)$$

In the limit of large F the spectrum of (5) is a ladder of energy bands, $E_n(\kappa) \approx Fn - J \cos(\kappa - 2\pi\alpha n)$. In the opposite limit of small F the bands overlap and arrange into the pattern that consists of straight lines with the slope given in eq. (3) [18]. Eigenstates associated with this linear dispersion relation are the so-called transporting states. A localized wave packet constructed from the transporting states propagates in the x -direction with constant velocity (3) without changing its shape. It should be mentioned that the transporting states exist only if the electric field is smaller than the critical

$$F_{cr} = 2\pi\alpha J \equiv \omega_c, \quad (6)$$

where ω_c has the meaning of the cyclotron frequency. In the opposite case $F > F_{cr}$ the dynamics of any localized packet is an asymmetric ballistic spreading with no directed transport.

To deduce eq. (3) by using the magnetic-band picture we present the electric field as the time-dependent component of the vector potential. Then, using the substitution

$$\Phi_{l,m} = \frac{e^{i\kappa' m}}{\sqrt{L_y}} b_l, \quad (7)$$

we end up with the driven Harper equation [19],

$$i\dot{b}_l = -\frac{J}{2}(b_{l+1} + b_{l-1}) - J \cos(2\pi\alpha l + \kappa') b_l, \quad (8)$$

where $\kappa' = \kappa - Ft$ (the so-called Bloch acceleration theorem). If $F = 0$ eq. (8) reduces to the celebrated Harper equation [20]. As known, for a rational $\alpha = r/q$ the

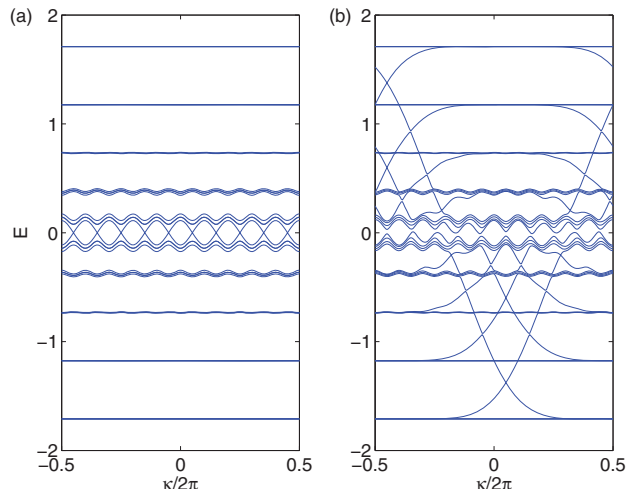


Fig. 1: (Colour on-line) Energy spectrum of the system (1) for $F = 0$ and periodic (a) and Dirichlet (b) boundary conditions. The system parameters are $\alpha = 1/10$, $J = 1$, the lattice size is $L_x = 40$ and $L_y = \infty$.

spectrum of the Harper Hamiltonian consists of q magnetic bands. For the purpose of future comparison fig. 1(a) shows magnetic bands for $\alpha = 1/10$. Notice that the low-energy bands are practically flat and can be approximated in the effective mass approximation by degenerate Landau levels $E_n = -2J + \omega_c(n + 1/2)$, where ω_c is the cyclotron frequency defined in eq. (6). If $F \neq 0$ the quasimomentum κ' in eq. (8) changes in time which leads to inter-band transitions. Then the condition $F < F_{cr}$ ($F > F_{cr}$) corresponds to adiabatic (non-adiabatic) regimes of the driven Harper with respect to the inter-band Landau-Zener tunneling. It is easy to prove that in the adiabatic regime the cosine potential in the right-hand side of eq. (8) can support localized states that are transported with the drift velocity (3).

Finite lattices. – It was shown in the previous section that for infinite lattices the magnetic field converts Bloch oscillations of the quantum particle into uniform motion in the x -direction. This result also holds for finite lattices with periodic boundary conditions. However, this is not the case for finite lattices with Dirichlet boundary conditions. As known, for open boundaries and $F = 0$ the Hamiltonian (1) supports edge states with energies inside the gaps, see fig. 1(b). We shall show that the presence of edge states recovers familiar Bloch oscillations in the sense that the particle oscillates in the y -direction over the distance $\sim J/F$.

Semiclassical approach. It is instructive to begin with the classical analysis where the Hamiltonian (1) is substituted by its classical counterpart

$$H_{cl} = -J \cos(p_x) - J \cos(p_y + 2\pi\alpha x) + V(x) + Fy \quad (9)$$

(here $V(x)$ is the box potential). The typical trajectory of the system (9) is shown in fig. 2(a). For $F = 0$ the

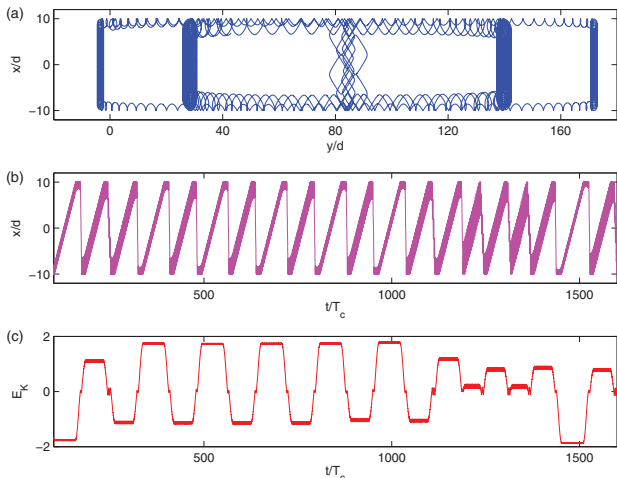


Fig. 2: (Colour on-line) Classical trajectory in the x - y plane (a), and coordinate x and kinetic energy E_K as functions of time ((b), (c)). The Peierls phase is $\alpha = 1/10$, the electric field is $F = 0.02$, the initial kinetic energy is $E_K = -2J + \omega_c/2$. The time is measured in units of the cyclotron period $T_c = 2\pi/\omega_c$. In (a) the trajectory is shown only for the time interval $400T_c$.

low-energy dynamics of the system (9) is cyclotron oscillations where the particle moves along circular orbit with the cyclotron frequency. If $F \neq 0$ the center of the orbits shifts in the x -direction with the drift velocity (3) until the particle hits the right wall of the box potential. From this moment it moves along the wall where it is accelerated by the electric field. After approximately one half of the Bloch period the kinetic energy takes value $E_K \approx 0$ and the particle is scattered to the opposite wall where it is decelerated by the electric field to lower energies. The other possibility is that the kinetic energy continues to grow, that for $E_K > 0$ means deceleration of a particle with negative mass. As the result of deceleration the trajectory eventually detaches the left wall and the process is repeated. Thus we meet a new type of Bloch oscillations where the particle may be accelerated only at the edges. It should be mentioned that the discussed classical Bloch oscillations are actually chaotic and a small change in the initial condition results in a different trajectory. However, globally the dynamics remains the same—it consists of time intervals $T_v \approx L_x/v^*$, where the particle moves across the sample, intermitted by time intervals where it is accelerated (decelerated) along the edges, see fig. 2(b), (c).

Landau-Stark states. We proceed with the quantum analysis. Similarly to the case of periodic boundary conditions one can use either Landau-Stark states or magnetic-band pictures to understand the quantum dynamics. Examples of the Landau-Stark states, which were obtained by direct diagonalization of the Hamiltonian (1) with index l restricted to the interval $-L_x/2 < l \leq L_x/2$, are given in fig. 3(a), (b). A characteristic spatial structure, which carries features of classical trajectories, is noticed. We mention that it suffices to find only L_x Landau-Stark states in the fundamental energy interval

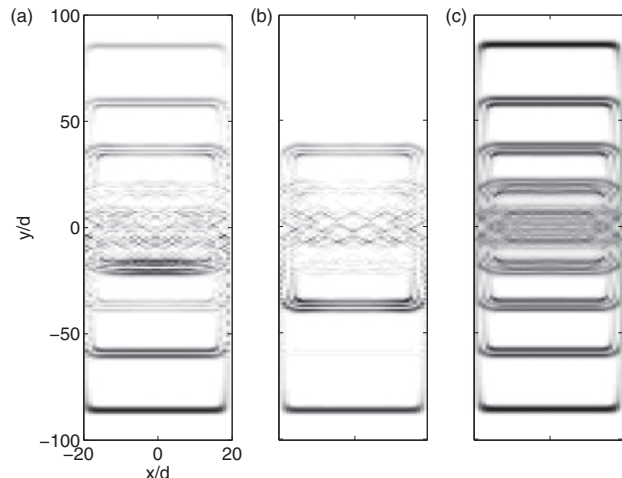


Fig. 3: Examples of the Landau-Stark states ((a), (b)) and spatial density (12) (c) for $F = 0.02$, $\alpha = 1/10$, $J = 1$, and $L_x = 40$.

$|E| \leq F/2$. Then the other Landau-Stark states can be obtained by translating these states in the y -direction and imprinting a certain phase. This result follows from the following simple theorem. Let $\Psi_{l,m}$ be an eigenstate of the Hamiltonian (1) with the energy E . Then the state

$$\tilde{\Psi}_{l,m} = \Psi_{l,m-n} e^{i2\pi\alpha nl} \quad (10)$$

is also an eigenstate of (1) with the energy $\tilde{E} = E + Fn$. Thus every Landau-Stark state can be labeled by the ladder index n , $-\infty < n < \infty$, and the transverse index ν , $1 \leq \nu \leq L_x$. (If $L_x \rightarrow \infty$ the discrete index ν transforms into the quasimomentum κ in the dispersion relation for energy bands of the extended Landau-Stark states in infinite lattices.)

As mentioned above, the classical counterpart of the Hamiltonian (1) is a chaotic system. On the quantum level this is manifested in the high sensitivity of eigenvalues and eigenstates to variation of the system parameters. This sensitivity is exemplified in fig. 4 which shows the spectrum of evolution operator over the Bloch period $T_B = 2\pi/F$ as a function of F . Obviously, Landau-Stark states are eigenstates of this operator,

$$\hat{U}\Psi^{(\nu,n)} = \exp(-iE_\nu T_B)\Psi^{(\nu,n)}, \quad \hat{U} = \exp(-i\hat{H}T_B), \quad (11)$$

where we drop the ladder index n for the energy because $FT_B = 2\pi$. It is seen in fig. 4 that eigenphases of the evolution operator form “level spaghetti” which is typical for quantum chaotic systems. Moreover, the distribution of the spacings between nearest-neighbor levels, that is the simplest test for quantum non-integrability [21], is found to coincide with the Wigner-Dyson distribution for random matrices, see the inset in fig. 4.

Although fine features of individual Landau-Stark states are sensitive to the variation of the system parameters, their global structure is stable. As one of possible global

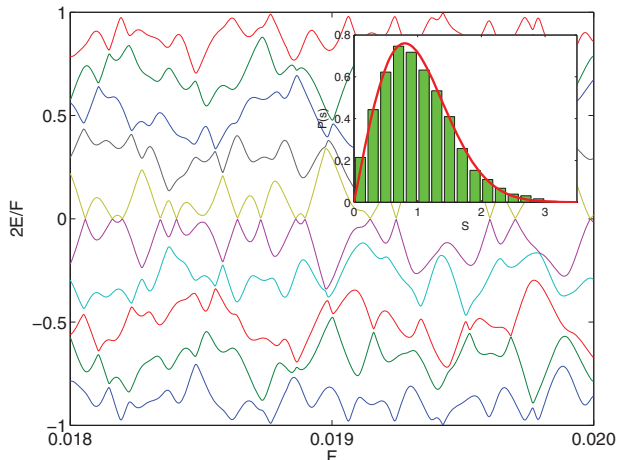


Fig. 4: (Colour on-line) Energy levels of the Landau-Stark states as functions of F in the fundamental energy interval $|E| \leq F/2$. The inset shows the distribution of the level spacing $s \sim E_{\nu+1} - E_{\nu}$ as compared with the Wigner-Dyson distribution. Parameters are $\alpha = 1/10$, $J = 1$, and $L_x = 10$.

characteristics we consider the spatial density

$$\rho_{l,m}^{(n)} = \frac{1}{L_x} \sum_{\nu=1}^{L_x} |\Psi_{l,m}^{(\nu,n)}|^2. \quad (12)$$

The density (12) is shown in fig. 3(c). Remarkably, this figure reproduces the magnetic-bands structure of fig. 1(a).

Knowing the Landau-Stark states we can predict dynamics of a localized packet. As follows from the global structure of these states, a narrow wave packet can move in the y -direction only along edges while inside the sample the y -coordinate is restricted to certain values which are approximately given by $y_i = E_i/F$ (here E_i are energies of the magnetic bands). Numerical simulations of the wave-packet dynamics confirm this conclusion. Figure 5(a) shows the initial wave packet which is constructed from transporting states of the infinite lattice. Figures 5(b), (c) show the snapshots of the time evolution for $t = 200T_B$ and $t = 400T_B$, respectively¹. An interesting feature of the wave-packet dynamics is the proliferation of a number of copies of the initial wave packet, so that in the course of time each magnetic band supports in average L_x/q packets.

Magnetic-band picture. Using the magnetic-band picture the above wave-packet dynamics can be viewed as inter-magnetic-band Landau-Zener tunneling in the presence of edge states. To discuss this phenomenon let us consider a system of non-interacting fermions with the Fermi energy just above the ground magnetic band. If there were no edge states (the case of periodic boundary conditions) depletion of the ground band would be exponential in time with the increment β decreasing exponentially when F decreases. The presence of edge states which connect magnetic bands fundamentally modifies this result. Now

¹See the supplementary real-time movie [fig6.avi](#).

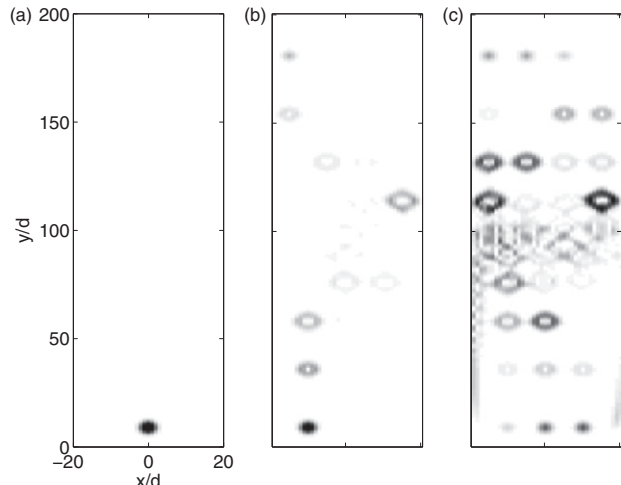


Fig. 5: Time evolution of a localized wave packet. Initial wave packet (a) and populations of the lattice sites at $t = 200T_B$ (b) and $t = 400T_B$ (c) are shown as a gray-scaled map.

depletion of the ground band is linear in time with the rate

$$\beta = v^*/L_x \sim F. \quad (13)$$

This result can be easily understood by using the classical approach. In fact, classically the considered quantum state corresponds to an ensemble of particles with the energy $E = -2J + \omega_c/2$ uniformly distributed over the sample. When the electric field is switched on all particles start to move to the right edge of the sample with the drift velocity, where they get accelerated and, hence, gain the energy. As soon as the last particle reaches the right edge, the ground magnetic band becomes completely depleted.

Next we show that eq. (8), which we simulate to obtain the rate of inter-magnetic-band transitions quantum mechanically, can be actually used to construct the Landau-Stark states. To do this we first calculate the evolution operator over the Bloch period for the amplitude b_l ,

$$\hat{U}_{1D} = \widehat{\exp} \left(-\frac{i}{\hbar} \int_0^{T_B} \hat{H}_{1D}(t) dt \right). \quad (14)$$

In this equation $\hat{H}_{1D}(t)$ is the Hamiltonian for the one-dimensional Schrödinger equation (8), which is parametrized by the quasimomentum κ . Let us denote by $\mathbf{b}_\nu(\kappa)$ the eigenstates of the operator (14). Notice that the energy bands of this operator are flat, *i.e.*, $E_\nu(\kappa) = E_\nu$. Using the solution $\mathbf{b}_\nu(\kappa)$ we construct the two-dimensional states

$$\Phi_{l,m}^{(\nu,\kappa)} = \frac{1}{\sqrt{L_y}} e^{i\kappa m} b_l^{(\nu)}(\kappa), \quad (15)$$

which are eigenstates of the two-dimensional evolution operator (11). Finally, the Landau-Stark states are obtained by using the Fourier transformation

$$\Psi^{(\nu,n)} = \frac{1}{2\pi} \int \Phi^{(\nu,\kappa)} e^{-in\kappa} d\kappa. \quad (16)$$

We used this approach to find the level statistics of the Landau-Stark states.

Conclusions. – We analyzed Landau-Stark states of a charged particle in a strip-like lattice of the width L_x in the case where the electric field F is aligned with the y -axis. These states are shown to be a hybrid of the bulk states of the system associated with q magnetic bands ($\alpha = r/q$) and the edge states. In the quasimomentum representation the edge states connect magnetic bands directly and this path fundamentally modifies the Landau-Zener result: depletion of the ground band is now linear in time with the rate proportional to F . As a consequence, the Landau-Stark states extend in the y -direction over the distance approximately $4J/F$, thus recovering the scaling law for the localization length of the Wannier-Stark states ($\alpha = 0$).

The structure of Landau-Stark states determines the characteristic features of Bloch oscillations of a localized wave packet. These oscillations consist of time intervals where the particle moves across the sample intermitted by intervals where it is accelerated or decelerated along the edges. We also found that in the course of time the initial packet splits into several packets which cross the sample independently but interfere during the acceleration phase.

In the work we also analyzed the classical dynamics of the system which was found to be chaotic. This explains the high sensitivity of the Landau-Stark states to the variation of the system parameters, in particular, to the electric field.

To conclude the paper we briefly comment on other topological systems like the Haldane [22] and Haldane-like [23] models, which do not include a uniform magnetic field. As follows from the above analysis, the only condition for the discussed type of Bloch oscillations is the existence of edge states which fill the gaps between the energy bands of the bulk states. Haldane-like models satisfy this condition. Thus the enhanced inter-band Landau-Zener tunneling takes place in these systems as well. As a consequence of the enhanced tunneling, the bulk states associated with different energy bands become strongly coupled, which is reflected in the characteristic structure of the Landau-Stark states similar to that shown in fig. 3. However, since the Haldane Hamiltonian has no well-defined classical counterpart, we cannot conjecture about the chaotic or regular nature of the Landau-Stark states in the Haldane model. It is an open question whether the Wigner-Dyson statistics for the energy spectrum of Landau-Stark states is a general result or a particular property of our model with uniform magnetic field.

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