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# Phase diagram of the Kohn-Luttinger superconducting state for bilayer graphene

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**Abstract.** The effect of Coulomb interaction between Dirac fermions on the formation of the Kohn-Luttinger superconducting state in bilayer doped graphene is studied disregarding of the effect of the van der Waals potential of the substrate and impurities. The phase diagram determining the boundaries of superconductive domains with different types of symmetry of the order parameter is built using the extended Hubbard model in the Born weak-coupling approximation with allowance for the intratomic, interatomic, and interlayer Coulomb interactions between electrons. It is shown that the Kohn-Luttinger polarization contributions up to the second order of perturbation theory in the Coulomb interaction inclusively and an account for the long-range intraplane Coulomb interactions significantly affect the competition between the superconducting phases with the f-, p + ip-, and d + id-wave symmetries of the order parameter. It is demonstrated that the account for the interlayer Coulomb interaction enhances the critical temperature of the transition to the superconducting phase.

### 1 Introduction

In recent years, there has been an increased interest in the possibility of the development of the Cooper instability in graphene under appropriate experimental conditions. Although so far this possibility has not been confirmed, it was experimentally shown [1–6] that graphene becomes superconducting when it is in contact with ordinary superconductors. The fact that short graphene samples placed between superconducting contacts can be used to construct Josephson junctions indicates that Cooper pairs can propagate coherently in graphene. This fact stimulated theoretical studies on possible implementation of the superconducting phase in an idealized monolayer and bilayer graphene where the authors did not take into account the effect of nonmagnetic impurities and van der Waals potential of the substrate.

Along with the numerous studies of this problem using the electron-phonon mechanism [7-11], pairing mechanisms caused by electron correlations [12-15], and other exotic superconductivity mechanisms [16,17], some authors widely discuss the possibility of the development of Cooper instability in the above-mentioned systems using the Kohn-Luttinger mechanism [18], which suggests the emergence of superconducting pairing in the systems with the purely repulsive interaction [19-23].

As it was shown in reference [24], the Cooper instability can occur in an idealized graphene monolayer due to the strong anisotropy of the Fermi contour for Van Hove filling  $n_{VH}$ , which, in fact, originates from the Kohn-Luttinger mechanism. According to the results obtained in reference [24], this Cooper instability in graphene evolves predominantly in the *d*-wave channel and can be responsible for the critical superconducting transition temperatures up to  $T_c \sim 10$  K, depending on the proximity of the chemical potential level to the Van Hove singularity. The theoretical analysis of the competition between the ferromagnetic and superconducting instabilities showed [25] that the tendency to superconductivity due to strong modulation of the effective interaction along the Fermi contour, i.e., due to electron-electron interactions alone, prevails. In this case, the superconducting instability evolves predominantly in the f-wave channel.

The competition between the Kohn-Luttinger superconducting phase and the spin density wave phase at the Van Hove filling and near it in the graphene monolayer was analyzed in references [26,27] using the functional renormalization group method. It was found that superconductivity with the d + id-wave symmetry of the order parameter prevails in a large domain near the Van Hove

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singularity, and a change in the calculated parameters may lead to a transition to the phase of the spin density wave. According to [27], far away from the Van Hove singularity, the long-range Coulomb interactions change the form of the d + id-wave function of a Cooper pair and can facilitate superconductivity with the f-wave symmetry of the order parameter. The competition between the superconducting phases with different symmetry types in the wide electron density range  $1 < n \leq n_{VH}$  in the graphene monolayer was studied in references [28,29]. It was demonstrated that at intermediate electron densities the long-range Coulomb interactions facilitate implementation of superconductivity with the *f*-wave symmetry of the order parameter, while at approaching the Van Hove singularity, the superconducting pairing with the d + idsymmetry type evolves [28,29].

The possibility of the Kohn-Luttinger superconductivity implementation was analyzed also for bilayer graphene [30-33]. In accordance with the results obtained in reference [34], in the case of bilayer graphene, ferromagnetic instability in the vicinity of the Van Hove singularities dominates over the Kohn-Luttinger superconductivity. It should be noted, however, that in these calculations only the Coulomb repulsion of electrons on one site was taken into account. The Coulomb interaction screening function in the bilayer was calculated earlier in reference [35] in the random phase approximation (RPA) in the doped and undoped regimes. It was established that the static polarization operator of the doped bilayer contains the Kohn anomaly much larger than in the case of a monolayer or 2D electron gas. It is well-known that the singular part of the polarization operator or the Kohn anomaly [36,37] facilitates effective attraction between two particles, ensuring a contribution that always exceeds the repulsive contribution associated with the regular part of the polarization operator for the orbital angular momenta  $l \neq 0$  of the pair [18]. Thus, we can expect that the superconducting transition temperature  $T_c$  in the idealized bilayer may exceed the corresponding value for the graphene monolayer.

In addition, it was shown in earlier publications [38,39] that the value of  $T_c$  can be increased via the Kohn-Luttinger mechanism even for low carrier concentrations if we consider the spin-polarized two-band situation or a multilayer system. In this case, the role of the pairing spins "up" is played by electrons of the first band (layer), while the role of the screening spins "down" is played by electrons of the second band (layer). Coupling between the electrons of the two bands occurs via the interband (interlayer) Coulomb interaction. As a result, the following excitonic tight mechanism becomes possible: electrons of one sort form a Cooper pair by polarizing electrons of another sort [38,39]. This mechanism of the interaction is also effective in quasi-two-dimensional systems.

In this work, we investigate the Kohn-Luttinger Cooper instability in an idealized graphene bilayer using the Born weak-coupling approximation. We calculate the phase diagram, which reflects the competition between the superconducting phases with different types of the sym-



**Fig. 1.** Crystalline structure of the graphene bilayer. Carbon atoms  $A_1$  and  $B_1$  in the lower layer are shown by red and black circles; carbon atoms  $A_2$  and  $B_2$  in the upper layer are shown by black and green circles. Intraplane electron hoppings are marked by  $t_1$  and  $t_2$ ;  $\gamma_1$ ,  $\gamma_3$  and  $\gamma_4$  show the interplanar hoppings.

metry of the order parameter, taking into account the second-order contributions in the Coulomb repulsion to the effective interaction of electrons in the Cooper channel. We analyze modification of the phase diagram with allowance for the Coulomb repulsion between electrons of the same, of the nearest, and of the next-to-nearest carbon atoms in a single layer, as well as the interlayer Coulomb interactions. We demonstrate the importance of taking into account the Coulomb repulsion of electrons on different crystal lattice sites and in different layers of bilayer graphene. The account of Coulomb repulsion changes the phase diagram of the superconducting state and, under certain conditions, increases the critical temperature.

### 2 Theoretical model

Let us consider an idealized graphene bilayer, assuming that two single layers are arranged in accordance with the AB type, i.e., one layer is rotated on 60° relative to the other single layer [40,41]. We choose the arrangement of the sublattices in the layers in such a way that the sites from different layers located one above another belong to the sublattices  $A_1$  and  $A_2$  respectively, while the remaining sites belong to the sublattices  $B_1$  and  $B_2$  (Fig. 1). In the Shubin-Vonsovsky (extended Hubbard) model [42], the Hamiltonian for the graphene bilayer which takes into account electron hoppings between the nearest and nextto-nearest atoms, as well as the Coulomb repulsion between electrons of the same and of the adjacent atoms and the interlayer Coulomb interaction of electrons, in the Wannier representation has the form:

$$\hat{H} = \hat{H}_{0} + \hat{H}_{int}, \qquad (1)$$

$$\hat{H}_{0} = (\varepsilon - \mu) \left( \sum_{if\sigma} \hat{n}_{if\sigma}^{A} + \sum_{ig\sigma} \hat{n}_{ig\sigma}^{B} \right) - t_{1} \sum_{f\delta\sigma} \left( a_{1f\sigma}^{\dagger} b_{1,f+\delta,\sigma} + a_{2f\sigma}^{\dagger} b_{2,f-\delta,\sigma} + \text{h.c.} \right) - t_{2} \sum_{i\sigma} \left( \sum_{\langle\langle fm \rangle\rangle} a_{if\sigma}^{\dagger} a_{im\sigma} + \sum_{\langle\langle gn \rangle\rangle} b_{ig\sigma}^{\dagger} b_{in\sigma} + \text{h.c.} \right) - \gamma_{1} \sum_{f\sigma} \left( a_{1f\sigma}^{\dagger} a_{2f\sigma} + \text{h.c.} \right) - \gamma_{3} \sum_{g\delta\sigma} \left( b_{1g\sigma}^{\dagger} b_{2,g+\delta,\sigma} + \text{h.c.} \right) - \gamma_{4} \sum_{f\delta\sigma} \left( a_{1f\sigma}^{\dagger} b_{2,f-\delta,\sigma} + a_{2f\sigma}^{\dagger} b_{1,f+\delta,\sigma} + \text{h.c.} \right), \qquad (2)$$

$$\hat{H}_{int} = U\left(\sum_{if} \hat{n}_{if\uparrow}^A \hat{n}_{if\downarrow}^A + \sum_{ig} \hat{n}_{ig\uparrow}^B \hat{n}_{ig\downarrow}^B\right) + V_1 \sum_{f\delta\sigma\sigma'} \left(\hat{n}_{1f\sigma}^A \hat{n}_{1,f+\delta,\sigma'}^B + \hat{n}_{2f\sigma}^A \hat{n}_{2,f-\delta,\sigma'}^B\right) + \frac{V_2}{2} \sum_{i\sigma\sigma'} \left(\sum_{\langle\langle fm\rangle\rangle} \hat{n}_{if\sigma}^A \hat{n}_{im\sigma'}^A + \sum_{\langle\langle gn\rangle\rangle} \hat{n}_{ig\sigma}^B \hat{n}_{in\sigma'}^B\right) + G_1 \sum_{f\sigma\sigma'} \hat{n}_{1f\sigma}^A \hat{n}_{2f\sigma'}^A + G_3 \sum_{g\delta\sigma\sigma'} \hat{n}_{1g\sigma}^B \hat{n}_{2,g+\delta,\sigma'}^B + G_4 \sum_{f\delta\sigma\sigma'} \left(\hat{n}_{1f\sigma}^A \hat{n}_{2,f-\delta,\sigma'}^B + \hat{n}_{2f\sigma}^A \hat{n}_{1,f+\delta,\sigma'}^B\right).$$
(3)

In equations (1)–(3), the operators  $a_{1f\sigma}^{\dagger}(a_{1f\sigma})$  create (annihilate) an electron with the spin projection  $\sigma = \pm 1/2$ at site f of the sublattice  $A_1$ ;  $\hat{n}_{1f\sigma}^A = a_{1f\sigma}^{\dagger} a_{1f\sigma}$  denotes the operators of the numbers of fermions at the f site of the sublattice  $A_1$  (analogous notations are used for the sublattices  $A_2$ ,  $B_1$ , and  $B_2$ ). Vector  $\delta(-\delta)$  connects the nearest atoms of the hexagonal lattice of the lower (upper) layer. Index i = 1, 2 in Hamiltonian (1) denotes the number of layer. We assume that the one-site energies are identical  $(\varepsilon_{Ai} = \varepsilon_{Bi} = \varepsilon)$  and the position of the chemical potential  $\mu$  and number of carriers n in the graphene bilayer can be controlled by a gate electric field. In the Hamiltonian,  $t_1$  is the hopping integral between the neighboring atoms (hoppings between different sublattices),  $t_2$ is the hopping integral between the next-to-nearest neighboring atoms (hoppings in the same sublattice), U is the parameter of Coulomb repulsion between electrons of the same atom with the opposite spin projections (Hubbard repulsion), and  $V_1$  and  $V_2$  are the Coulomb interactions between electrons of the nearest and the next-to-nearest carbon atoms in a single layer. The symbol  $\langle \langle \rangle \rangle$  indicates that summation is made only over next-to-nearest neighbors; the symbols  $\gamma_1$ ,  $\gamma_3$ ,  $\gamma_4$  denote the parameters of the interlayer electron hoppings (Fig. 1), and  $G_1$ ,  $G_3$  and  $G_4$  are the interlayer Coulomb interactions between electrons.

Hamiltonian  $H_0$  can be diagonalized using the Bogolyubov transformation

$$\alpha_{i\boldsymbol{k}\sigma} = w_{i1}(\boldsymbol{k})a_{1\boldsymbol{k}\sigma} + w_{i2}(\boldsymbol{k})a_{2\boldsymbol{k}\sigma} + w_{i3}(\boldsymbol{k})b_{1\boldsymbol{k}\sigma} + w_{i4}(\boldsymbol{k})b_{2\boldsymbol{k}\sigma}, \quad i = 1, 2, 3, 4, \quad (4)$$

and acquires the form

$$\hat{H}_0 = \sum_{i=1}^4 \sum_{\boldsymbol{k}\sigma} E_{i\boldsymbol{k}} \alpha^{\dagger}_{i\boldsymbol{k}\sigma} \alpha_{i\boldsymbol{k}\sigma}.$$
 (5)

Since the results of ab initio calculations for graphite [43,44] showed a very small value of the interlayer hopping parameter  $\gamma_4$ , hereinafter we assume that  $\gamma_4 = 0$ . Then, the four-band energy spectrum of the graphene bilayer is described by the expressions

$$E_{i\boldsymbol{k}} = \varepsilon \pm \sqrt{A_{\boldsymbol{k}} \pm \sqrt{B_{\boldsymbol{k}}} - t_2 f_{\boldsymbol{k}}}, \qquad (6)$$

$$A_{\boldsymbol{k}} = \frac{1}{4} \Big( 2a^2 + 4|b_{\boldsymbol{k}}|^2 + 2|d_{\boldsymbol{k}}|^2 \Big), \\
B_{\boldsymbol{k}} = \frac{1}{4} \Big( |d_{\boldsymbol{k}}|^2 \left( |d_{\boldsymbol{k}}|^2 - 2a^2 + 4|b_{\boldsymbol{k}}|^2 \right) + a^4 + 4a^2 |b_{\boldsymbol{k}}|^2 \\
+ 4ab_{\boldsymbol{k}}^2 d_{\boldsymbol{k}} + 4ab_{\boldsymbol{k}}^{*2} d_{\boldsymbol{k}}^* \Big), \\
a = \gamma_1, \quad b_{\boldsymbol{k}} = t_1 u_{\boldsymbol{k}}, \quad d_{\boldsymbol{k}} = \gamma_3 u_{\boldsymbol{k}},$$

where the following notation has been introduced:

$$f_{\mathbf{k}} = 2\cos(\sqrt{3}k_y) + 4\cos\left(\frac{\sqrt{3}}{2}k_y\right)\cos\left(\frac{3}{2}k_x\right), \quad (7)$$

$$u_{\mathbf{k}} = \sum_{\delta} e^{i\mathbf{k}\delta} = e^{-ik_x} + 2e^{\frac{i}{2}k_x} \cos\left(\frac{\sqrt{3}}{2}k_y\right),\tag{8}$$

$$|u_{\boldsymbol{k}}| = \sqrt{3 + f_{\boldsymbol{k}}}.\tag{9}$$

In this paper, the conditions for the implementation of the Kohn-Luttinger superconductivity are analyzed by considering the situation when upon doping of the graphene bilayer the chemical potential falls into the two upper energy bands  $E_{1k}$  and  $E_{2k}$  (Fig. 2a). Then, if  $\gamma_1 \neq 0$  and the inequality  $\mu > \gamma_1$  is valid, the Fermi contour will consist of two lines (Fig. 2b) in the vicinity of each Dirac point for the electron densities  $1 < n < n_{VH}$ , where *n* is the electron density calculated per atoms of one layer.

The coefficients of the Bogolyubov transformation can be found from the system of homogeneous equations

$$\begin{pmatrix} x_{i} & a & b_{k}^{*} & 0\\ a & x_{i} & 0 & b_{k}\\ b_{k} & 0 & x_{i} & d_{k}^{*}\\ 0 & b_{k}^{*} & d_{k} & x_{i} \end{pmatrix} \begin{pmatrix} w_{i1}\\ w_{i2}\\ w_{i3}\\ w_{i4} \end{pmatrix} = 0,$$
(10)

where  $x_i = E_{ik} - \varepsilon + t_2 f_k$ .

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Fig. 2. (a) Energy structure of the graphene bilayer near the Dirac points and (b) formation of the multisheet Fermi contour at  $t_2 = 0$ ,  $\gamma_1 = 0.12$ ,  $\gamma_3 = 0.1$ , and  $\mu = 0.7$  (all the parameters are given in units of  $|t_1|$ ).

In the Bogolyubov representation, interaction operator (3) is defined by the following expression which includes the operators  $\alpha_{1k\sigma}$ ,  $\alpha_{2k\sigma}$ ,  $\alpha_{3k\sigma}$  and  $\alpha_{4k\sigma}$ 

$$\hat{H}_{int} = \frac{1}{N} \sum_{\substack{ijlm\sigma\\ \boldsymbol{k}pqs}} \Gamma_{ij;lm}^{||}(\boldsymbol{k}, \boldsymbol{p}|\boldsymbol{q}, \boldsymbol{s}) \alpha_{i\boldsymbol{k}\sigma}^{\dagger} \alpha_{j\boldsymbol{p}\sigma}^{\dagger} \alpha_{l\boldsymbol{q}\sigma} \alpha_{m\boldsymbol{s}\sigma}$$

$$\times \Delta(\boldsymbol{k} + \boldsymbol{p} - \boldsymbol{q} - \boldsymbol{s}) + \frac{1}{N} \sum_{\substack{ijlm\\ \boldsymbol{k}pqs}} \Gamma_{ij;lm}^{\perp}(\boldsymbol{k}, \boldsymbol{p}|\boldsymbol{q}, \boldsymbol{s})$$

$$\times \alpha_{i\boldsymbol{k}\uparrow}^{\dagger} \alpha_{j\boldsymbol{p}\downarrow}^{\dagger} \alpha_{l\boldsymbol{q}\downarrow} \alpha_{m\boldsymbol{s}\uparrow} \Delta(\boldsymbol{k} + \boldsymbol{p} - \boldsymbol{q} - \boldsymbol{s}), \qquad (11)$$

where  $\Delta(x)$  is the delta-function and  $\Gamma_{ij;lm}^{||}(\boldsymbol{k}, \boldsymbol{p}|\boldsymbol{q}, \boldsymbol{s})$  and  $\Gamma_{ij;lm}^{\perp}(\boldsymbol{k}, \boldsymbol{p}|\boldsymbol{q}, \boldsymbol{s})$  are the initial amplitudes. The quantity

$$\begin{split} \Gamma_{ij;lm}^{||}(\boldsymbol{k},\boldsymbol{p}|\boldsymbol{q},\boldsymbol{s}) &= \frac{1}{2} \Big( V_{ij;lm}(\boldsymbol{k},\boldsymbol{p}|\boldsymbol{q},\boldsymbol{s}) + V_{ji;ml}(\boldsymbol{p},\boldsymbol{k}|\boldsymbol{s},\boldsymbol{q}) \\ &+ G_{ij;lm}^{(1)}(\boldsymbol{k},\boldsymbol{p}|\boldsymbol{q},\boldsymbol{s}) + G_{ji;ml}^{(1)}(\boldsymbol{p},\boldsymbol{k}|\boldsymbol{s},\boldsymbol{q}) \\ &+ G_{ij;lm}^{(3)}(\boldsymbol{k},\boldsymbol{p}|\boldsymbol{q},\boldsymbol{s}) + G_{ji;ml}^{(3)}(\boldsymbol{p},\boldsymbol{k}|\boldsymbol{s},\boldsymbol{q}) \\ &+ G_{ij;lm}^{(4)}(\boldsymbol{k},\boldsymbol{p}|\boldsymbol{q},\boldsymbol{s}) + G_{ji;ml}^{(4)}(\boldsymbol{p},\boldsymbol{k}|\boldsymbol{s},\boldsymbol{q}) \Big) \end{split}$$

$$V_{ij;lm}(\mathbf{k}, \mathbf{p} | \mathbf{q}, \mathbf{s}) = V_1 \Big( u_{\mathbf{q}-\mathbf{p}} w_{i1}(\mathbf{k}) w_{j3}(\mathbf{p}) w_{l3}^*(\mathbf{q}) w_{m1}^*(\mathbf{s}) \\ + u_{\mathbf{q}-\mathbf{p}}^* w_{i2}(\mathbf{k}) w_{j4}(\mathbf{p}) w_{l4}^*(\mathbf{q}) w_{m2}^*(\mathbf{s}) \Big) \\ + \frac{V_2}{2} \sum_{r=1}^4 f_{\mathbf{q}-\mathbf{p}} w_{ir}(\mathbf{k}) w_{jr}(\mathbf{p}) \\ \times w_{lr}^*(\mathbf{q}) w_{mr}^*(\mathbf{s}),$$
(13)

$$G_{ij;lm}^{(1)}(\boldsymbol{k},\boldsymbol{p}|\boldsymbol{q},\boldsymbol{s}) = G_1 w_{i1}(\boldsymbol{k}) w_{j2}(\boldsymbol{p}) w_{l2}^*(\boldsymbol{q}) w_{m1}^*(\boldsymbol{s}), \quad (14)$$

$$G_{ij;lm}^{(3)}(\boldsymbol{k}, \boldsymbol{p}|\boldsymbol{q}, \boldsymbol{s}) = G_3 u_{\boldsymbol{q}-\boldsymbol{p}} w_{i3}(\boldsymbol{k}) w_{j4}(\boldsymbol{p}) w_{l4}^*(\boldsymbol{q}) w_{m3}^*(\boldsymbol{s}),$$
(15)

$$G_{ij;lm}^{(4)}(\boldsymbol{k},\boldsymbol{p}|\boldsymbol{q},\boldsymbol{s}) = G_4 \Big( u_{\boldsymbol{q}-\boldsymbol{p}}^* w_{i1}(\boldsymbol{k}) w_{j4}(\boldsymbol{p}) w_{l4}^*(\boldsymbol{q}) w_{m1}^*(\boldsymbol{s}) \\ + u_{\boldsymbol{q}-\boldsymbol{p}} w_{i2}(\boldsymbol{k}) w_{j3}(\boldsymbol{p}) w_{l3}^*(\boldsymbol{q}) w_{m2}^*(\boldsymbol{s}) \Big)$$
(16)

describes the intensity of the interaction of fermions with parallel spin projections, while the quantity

$$\begin{split} \Gamma_{ij;lm}^{\perp}(\boldsymbol{k},\boldsymbol{p}|\boldsymbol{q},\boldsymbol{s}) &= U_{ij;lm}(\boldsymbol{k},\boldsymbol{p}|\boldsymbol{q},\boldsymbol{s}) \\ &+ V_{ij;lm}(\boldsymbol{k},\boldsymbol{p}|\boldsymbol{q},\boldsymbol{s}) + V_{ji;ml}(\boldsymbol{p},\boldsymbol{k}|\boldsymbol{s},\boldsymbol{q}) \\ &+ G_{ij;lm}^{(1)}(\boldsymbol{k},\boldsymbol{p}|\boldsymbol{q},\boldsymbol{s}) + G_{ji;ml}^{(1)}(\boldsymbol{p},\boldsymbol{k}|\boldsymbol{s},\boldsymbol{q}) \\ &+ G_{ij;lm}^{(3)}(\boldsymbol{k},\boldsymbol{p}|\boldsymbol{q},\boldsymbol{s}) + G_{ji;ml}^{(3)}(\boldsymbol{p},\boldsymbol{k}|\boldsymbol{s},\boldsymbol{q}) \\ &+ G_{ij;lm}^{(4)}(\boldsymbol{k},\boldsymbol{p}|\boldsymbol{q},\boldsymbol{s}) + G_{ji;ml}^{(4)}(\boldsymbol{p},\boldsymbol{k}|\boldsymbol{s},\boldsymbol{q}), \end{split}$$
(17)

$$U_{ij;lm}(\boldsymbol{k},\boldsymbol{p}|\boldsymbol{q},\boldsymbol{s}) = U \sum_{r=1}^{4} w_{ir}(\boldsymbol{k}) w_{jr}(\boldsymbol{p}) w_{lr}^{*}(\boldsymbol{q}) w_{mr}^{*}(\boldsymbol{s})$$
(18)

corresponds to the interaction of Fermi quasi-particles with antiparallel spin projections. Indices i, j, l, m denote the number of the band and can acquire the values 1, 2, 3, or 4.

## **3** Effective interaction and equation for the superconducting order parameter

In this paper, we use the Born weak-coupling approximation, in which the hierarchy of model parameters has the form

$$W > U > V_1 > V_2 > G_1 > G_3, G_4, \tag{19}$$

where W is the bandwidth in the graphene bilayer (6). In the calculation of the scattering amplitude in the Cooper channel, the condition (19) allows us to limit the consideration to only the second-order diagrams in the effective interaction of two electrons with opposite values of the momentum and spin and use the quantity  $\tilde{\Gamma}(\mathbf{p}, \mathbf{k})$  for it. Graphically, this quantity is determined by the sum of the



**Fig. 3.** First- and second-order diagrams for the effective interaction of electrons in the graphene bilayer. Solid lines with light (dark) arrows correspond to Green's functions for electrons with spin projections  $+\frac{1}{2}(-\frac{1}{2})$  and energies corresponding to graphene energy bands  $E_i$ ,  $E_j$ ,  $E_l$ , and  $E_m$ . Subscripts i and j can acquire the values of 1 or 2 and subscripts l and m can acquire the values of 1, 2, 3, or 4. Momenta  $q_1$  and  $q_2$  are defined by (24).

diagrams shown in Figure 3. Solid lines with light (dark) arrows correspond to Green's functions for the electrons with opposite spin projections  $+\frac{1}{2}$   $(-\frac{1}{2})$ . It is well known that the possibility of Cooper pairing is determined by the characteristics of the energy spectrum near the Fermi level and by the effective interaction of electrons located near the Fermi surface [45]. Assuming that the chemical potential in doped bilayer graphene falls into the two upper energy bands  $E_{1k}$  and  $E_{2k}$  (Fig. 2a) and analyzing the conditions for the occurrence of Kohn-Luttinger superconductivity, we can consider the situation in which the initial and final momenta of electrons in the Cooper channel also belong to the two upper bands. Hence, indices *i* and *j* in the Kohn-Luttinger diagrams will acquire the values of 1 or 2 (Fig. 3).

The first diagram in Figure 3 corresponds to the initial interaction of two electrons in the Cooper channel. The next (Kohn-Luttinger) diagrams in Figure 3 describe second-order scattering processes  $\delta \widetilde{\Gamma}(\boldsymbol{p}, \boldsymbol{k})$  and take into account the polarization effects of the filled Fermi sphere. Two solid lines without arrows in these diagrams indicate the summation over both spin projections. Wavy lines correspond to the initial interaction. Scattering of electrons with identical spin projections corresponds only to the intersite contribution. If the electrons with different spin projections interact, then the scattering amplitude is determined by the sum of the Hubbard and intersite repulsions. Thus, in the presence of the short-range Coulomb interaction alone, the correction  $\delta \Gamma(\boldsymbol{p}, \boldsymbol{k})$  to the effective interaction is determined by the last diagram only. If the Coulomb interaction of electrons at neighboring lattice sites of graphene is taken into account, all the diagrams in Figure 3 contribute to the renormalized amplitude.

After the introduction of the analytical expressions for the diagrams, the effective interaction acquires the form

$$\widetilde{\Gamma}(\boldsymbol{p},\boldsymbol{k}) = \widetilde{\Gamma}_0(\boldsymbol{p},\boldsymbol{k}) + \delta\widetilde{\Gamma}(\boldsymbol{p},\boldsymbol{k}), \qquad (20)$$

$$\Gamma_0(\boldsymbol{p}, \boldsymbol{k}) = \Gamma_{ii;jj}^{\perp}(\boldsymbol{p}, -\boldsymbol{p}| - \boldsymbol{k}, \boldsymbol{k}), \qquad (21)$$

$$\delta \tilde{\Gamma}(\boldsymbol{p}, \boldsymbol{k}) = \frac{1}{N} \sum_{l,m,\boldsymbol{p}_{1}} \Gamma_{il;jm}^{\perp}(\boldsymbol{p}, \boldsymbol{q}_{2} | -\boldsymbol{k}, \boldsymbol{p}_{1}) \\ \times \Gamma_{mi;lj}^{\perp}(\boldsymbol{p}_{1}, -\boldsymbol{p} | \boldsymbol{q}_{2}, \boldsymbol{k}) \chi_{l,m}(\boldsymbol{q}_{2}, \boldsymbol{p}_{1}) \\ + \frac{2}{N} \sum_{l,m,\boldsymbol{p}_{1}} \left\{ \Gamma_{im;lj}^{\perp}(\boldsymbol{p}, \boldsymbol{p}_{1} | \boldsymbol{q}_{1}, \boldsymbol{k}) \\ \times \left[ \Gamma_{li;mj}^{||}(\boldsymbol{q}_{1}, -\boldsymbol{p} | \boldsymbol{p}_{1}, -\boldsymbol{k}) \\ -\Gamma_{li;jm}^{||}(\boldsymbol{q}_{1}, -\boldsymbol{p} | -\boldsymbol{k}, \boldsymbol{p}_{1}) \right] \\ + \Gamma_{li;jm}^{\perp}(\boldsymbol{q}_{1}, -\boldsymbol{p} | -\boldsymbol{k}, \boldsymbol{p}_{1}) \\ \times \left[ \Gamma_{im;jl}^{||}(\boldsymbol{p}, \boldsymbol{p}_{1} | \boldsymbol{k}, \boldsymbol{q}_{1}) - \Gamma_{im;lj}^{||}(\boldsymbol{p}, \boldsymbol{p}_{1} | \boldsymbol{q}_{1}, \boldsymbol{k}) \right] \right\} \\ \times \chi_{l,m}(\boldsymbol{q}_{1}, \boldsymbol{p}_{1}), \qquad (22)$$

Here, we introduced the following notations for the generalized susceptibilities:

$$\chi_{l,m}(\boldsymbol{k},\boldsymbol{p}) = \frac{f(E_{l\boldsymbol{k}}) - f(E_{m\boldsymbol{p}})}{E_{m\boldsymbol{p}} - E_{l\boldsymbol{k}}},$$
(23)

where  $f(x) = (\exp(\frac{x-\mu}{T}) + 1)^{-1}$  is the Fermi-Dirac distribution function and the energies  $E_{ik}$  are defined by the expressions (6). For the sake of compactness, we have introduced the notations for the combinations of the momenta:

$$q_1 = p_1 + p - k, \qquad q_2 = p_1 - p - k.$$
 (24)

Knowing the renormalized expression for the effective interaction, we can pass to the analysis of the conditions for the emergence of superconductivity in the system under investigation. It is well-known [45] that the emergence of the Cooper instability can be established from the analysis of the homogeneous part of the Bethe-Salpeter equation. In this case, the dependence of the scattering amplitude  $\Gamma(\mathbf{p}, \mathbf{k})$  on momentum  $\mathbf{k}$  can be factorized, which yields the integral Gor'kov equation for the superconducting order parameter  $\Delta(\mathbf{p})$ . After the integration over the isoenergetic contours, we can reduce the problem of the Cooper instability to the eigenvalue problem [22,46–49]

$$\frac{3\sqrt{3}}{8\pi^2} \oint\limits_{\varepsilon_{\boldsymbol{q}}=\mu} \frac{d\hat{\boldsymbol{q}}}{v_F(\hat{\boldsymbol{q}})} \widetilde{\Gamma}(\hat{\boldsymbol{p}}, \hat{\boldsymbol{q}}) \Delta(\hat{\boldsymbol{q}}) = \lambda \Delta(\hat{\boldsymbol{p}}), \qquad (25)$$

where the superconducting order parameter  $\Delta(\hat{q})$  plays the role of the eigenvector and the eigenvalues  $\lambda$  satisfy the relation  $\lambda^{-1} \simeq \ln(T_c/W)$ . In this case, the momenta  $\hat{p}$ and  $\hat{q}$  lie on the Fermi surface and  $v_F(\hat{q})$  is the Fermi velocity. Equation (25) is solved in accordance with the common scheme described in references [23,28]. The integration is fulfilled with the allowance for the fact that the Fermi contour near each Dirac point consists of two lines (Fig. 2b).

#### 4 Results and discussion

Let us consider the phase diagram of the superconducting state of the graphene bilayer and the modifications of this diagram in the different regimes obtained by solving equation (25). When building the phase diagram, we divided the multisheet Fermi contour into 180 intervals and the Brillouin zone of the graphene bilayer, into  $5 \times 10^4$ cells. It was established that the chosen method of division is sufficient for the correct description of the dependence of the effective coupling constant  $\lambda$  on the electron density n [28]. Based on the obtained dependences  $\lambda(n)$  for different values of the intersite  $V_1$  and interplane  $G_1, G_3$  and  $G_4$  Coulomb interactions, we built the phase diagrams of the Shubin-Vonsovsky model for bilayer graphene, which reflect the competition between the superconducting phases with different types of the symmetry of the order parameter.

So far, there has been no agreement regarding the values of parameters of the intra- and interplanar Coulomb interactions in the graphene bilayer. The ab initio calculations for graphite [50] showed that the value of Hubbard repulsion is U = 8.0 eV, which is consistent with the estimation made in reference [51] and contradicts the intuitively expected small value of U and weak-coupling limit U < W (it is known [52] that  $t_1 \approx 2.8$  eV). The authors of [50] calculated the parameters of Coulomb repulsion between electrons of the nearest and the next-to-nearest carbon atoms:  $V_1 = 3.9 \text{ eV}$  and  $V_2 = 2.4 \text{ eV}$ , respectively. At the same time, the other authors (see, for example, [53]) consider these parameters to be much smaller. The authors of [15] mentioned that the estimation of the parameters of Coulomb interaction, including the Hubbard repulsion, in the graphene bilayer strongly depends on the calculation scheme which is used. In our calculation, we apply the parameter hierarchy (19), which allows us to use the Born weak-coupling approximation. For interlayer hopping parameters  $\gamma_1$  and  $\gamma_3$ , we use the values similar to those determined in references [43,44] for graphite.

First, let us consider the limiting case when the bilayer energy spectrum is described by the only one hopping parameter  $(t_1 \neq 0, t_2 = \gamma_1 = \gamma_3 = 0)$ . The Hubbard repulsion is also taken into account U = 2 (hereinafter, all the parameters are given in units of  $|t_1|$ ). The Coulomb repulsion between electrons  $(V_1 \neq 0)$  of the neighboring carbon atoms in the same layer is taken into account as well. At the same time, the interlayer Coulomb interactions are not taken into account  $(G_1 = G_3 = G_4 = 0)$ . Thus, in the chosen regime, the graphene bilayer consists of two isolated monolayers. The phase diagram of the superconducting state shown as a function of the variables " $n - V_1$ " for this case is presented in Figure 4a. It can be seen that the phase diagram comprises three regions. At low electron densities n, the ground state of the system corresponds to the superconductivity with the d+id-wave symmetry of the order parameter, which is described by the 2D representation  $E_2$ , the contribution to which is determined by the harmonics

$$g_m^{(d+id)}(\phi) = \frac{1}{\sqrt{\pi}} \left( A \sin(2m+2)\phi + B \cos(2m+2)\phi \right),$$



Fig. 4. Phase diagram of the superconducting state of the graphene bilayer shown as a function of the variables " $n - V_1$ " at  $t_2 = 0$ ,  $\gamma_1 = \gamma_3 = 0$ , U = 2,  $G_1 = G_3 = G_4 = 0$  for (a)  $V_2 = 0$  and (b)  $V_2 = 0.6V_1$  (all the parameters are in units of  $|t_1|$ ). For all the points on the same thin blue line, the value of  $|\lambda|$  is constant and marked with the corresponding number.

where subscripts m run over the values for which the coefficients (2m+2) are not multiples of 3. At the intermediate electron densities, the superconducting f-wave pairing is implemented, the contribution to which is determined by the harmonics  $g_m^{(f_1)}(\phi) = \frac{1}{\sqrt{\pi}} \sin(6m+3)\phi$ (here  $m \in [0,\infty)$ ), while the (here  $m \in [0, \infty)$ ), while the contribution of the harmon-ics  $g_m^{(f_2)}(\phi) = \frac{1}{\sqrt{\pi}} \cos(6m+3)\phi$  is absent. At the large values of n, the domain of the superconducting d+id-wave pairing occurs [26]. With the increase of the parameter  $V_1$ of the intersite Coulomb interaction, in the region of small values of n, the d + id-wave pairing is suppressed and the pairing with the *f*-wave symmetry of the order parameter is implemented. Thin blue lines in Figure 4 are the lines of the equal values of the effective coupling constant  $|\lambda|$ . It can be seen that in this case in the proximity of the Van Hove filling  $n_{VH}$  (solid curve in Fig. 5) the effective coupling constant attains the values  $|\lambda| = 0.1$ .

It should be noted that to avoid the summation of the parquet diagrams [54-56], we do not analyze here the electron density regions that are very close to the

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Fig. 5. Modification of the dependence of the density of electron states of the graphene bilayer from electron density calculated for atoms in the same layer with respect to electron hoppings to the next-to-nearest neighboring atoms.

Van Hove singularity in the density of electron states of bilayer graphene (Fig. 5). For this reason, the boundaries between different domains of the implementation of the Kohn-Luttinger superconducting pairing, as well as the lines of the equal value of  $|\lambda|$  that are very close to the Van Hove singularity are indicated in the phase diagram by the dashed lines.

Thus, in the numerical calculation for the graphene bilayer for the chosen parameters, we made the limiting transition to the results obtained by us previously for the graphene monolayer [23,28].

Let us consider the modification of the phase diagram for the isolated graphene single layers with regard to the long-range intraplane Coulomb interactions between electrons  $V_2$ . It can be seen in Figure 4b for the fixed ratio between the parameters of the long-range Coulomb interactions  $V_2 = 0.6V_1$  that when  $V_2$  is taken into account, the phase diagram changes qualitatively. This change involves the suppression of a large domain of the superconducting state with the *f*-wave symmetry at the intermediate electron densities and the implementation of the superconducting pairing with the p+ip-wave symmetry of the order parameter. In addition, when  $V_2$  is taken into account, the effective coupling constant increases to the value  $|\lambda| = 0.3$ .

Now, let us consider the modification of the phase diagram of the superconducting state with respect to the interplanar interactions. When the interlayer electron hoppings  $\gamma_1 = 0.12$  and  $\gamma_3 = 0.1$  are taken into account while the other parameters being the same as in Figure 4, the phase diagram of the graphene bilayer remains nearly unchanged.

Inclusion of the Coulomb interaction  $G_1$  in the consideration slightly shifts the boundaries of the  $f_1$ -wave and d + id-wave pairing in the phase diagram in Figure 4 and does not affect the absolute values of  $\lambda$ . Figure 6 shows the effect of taking into account the interlayer Coulomb interactions  $G_3$  and  $G_4$ . Figure 6a shows the phase diagram of the Shubin-Vonsovsky model for the graphene bilayer for the set of parameters  $t_2 = 0$ ,  $\gamma_1 = 0.12$ ,  $\gamma_3 = 0.1$ , U = 2



Fig. 6. Phase diagram of the superconducting state of the graphene bilayer shown as a function of the variables " $n - V_1$ " for  $t_2 = 0$ ,  $\gamma_1 = 0.12$ ,  $\gamma_3 = 0.1$ , U = 2,  $G_1 = 0.5V_1$ ,  $G_3 = G_4 = 0.4V_1$ , at (a)  $V_2 = 0$  and (b)  $V_2 = 0.6V_1$  (all the parameters are given in units of  $|t_1|$ ). Thin blue curves are the lines of the constant values of  $|\lambda|$ .

and  $V_2 = 0$  for the chosen ratios between the interlayer and intersite Coulomb interactions  $G_1 = 0.5V_1, G_3 = G_4 =$  $0.4V_1$ , according to the hierarchy of the parameters (19). The calculation shows that the separate increase of the parameters  $G_3$  and  $G_4$  suppresses the d + id-wave pairing and, at the same time, broadens the f-wave pairing region at small electron densities. The superconducting d+id-phase is suppressed the most effectively by enhancing the parameter  $G_4$  of the interlayer Coulomb interaction. When the interactions  $G_3$  and  $G_4$  are simultaneously taken into account (Fig. 6a), then along with the intensive suppression of the superconducting d + id-wave pairing at small electron densities and the implementation of the superconductivity with the f-wave symmetry of the order parameter, the growth of the absolute values of effective coupling constant  $\lambda$  is also observed.

Figure 6b depicts the phase diagram of the graphene bilayer calculated for the same parameters as in Figure 6a but with respect to the long-range intraplane Coulomb repulsion between electrons  $V_2$ . Comparison of Figures 6b and 4b shows that the account for  $G_3 \neq 0$  and  $G_4 \neq 0$ 



Fig. 7. Phase diagram of the superconducting state of the graphene bilayer shown as a function of the variables " $n - V_1$ " at  $t_2 = 0.1$ ,  $\gamma_1 = 0.12$ ,  $\gamma_3 = 0.1$ , U = 2,  $G_1 = 0.5V_1$ ,  $G_3 = G_4 = 0.4V_1$  (all the parameters are in units of  $|t_1|$ ). Thin blue curves are the lines of the constant values of  $|\lambda|$ .

leads to the strong competition between the d + id-wave and p + ip-wave pairings with the significant suppression of the p+ip-wave pairing in the region of the intermediate electron densities. In this case, in the remaining region of the p + ip-wave pairing,  $|\lambda_{p+ip}|$  slightly exceeds  $|\lambda_f|$ .

The account for electron hoppings to the next-tonearest carbon atoms  $t_2$  does not qualitatively affect the competition between the superconducting phases (Fig. 6). Figure 7 depicts the phase diagram of the graphene bilayer obtained for the parameters  $t_2 = 0.1, \gamma_1 = 0.12, \gamma_3 =$  $0.1, U = 2, G_1 = 0.5V_1$  and  $G_3 = G_4 = 0.4V_1$ . Such a behavior of the system is explained by the fact that the switching on of the hoppings  $t_2 > 0$  or  $t_2 < 0$  for the graphene bilayer, similarly to the case of the monolayer investigated by us in references [23,28], does not significantly modify the density of electron states in the carrier concentration regions between the Dirac point and both points  $n_{VH}$  (Fig. 5). However, it can be seen in Figure 7 that the account for the hoppings  $t_2$  leads to an increase of the effective interaction in the absolute values and, consequently, to the higher superconducting transition temperatures in an idealized graphene bilayer.

It should be noted that the Kohn-Luttinger superconductivity in the graphene monolayer and bilayer never develops near the Dirac points. The calculations show that in the vicinity of these points, where the linear approximation for the energy spectrum of the graphene monolayer and the parabolic approximation for the spectrum of the graphene bilayer work pretty well, the density of states is very low and the effective coupling constant  $|\lambda| < 10^{-2}$ . The higher values of  $|\lambda|$ , which are indicative of the development of the Cooper instability, arise at the electron densities n > 1.15. However, at such densities, the energy spectrum of the bilayer along the direction KM of the Brillouin zone (Fig. 2b) already significantly differs from the Dirac approximation.

### 5 Conclusions

We have analyzed the conditions for the emergence of the superconducting Kohn-Luttinger pairing in a semimetal with the Dirac spectrum using as an example an idealized graphene bilayer, disregarding the van der Waals potential of the substrate and both magnetic and nonmagnetic impurities. The electronic structure of the graphene bilayer is described using the tight binding method in the Shubin-Vonsovsky model taking into account not only the Coulomb repulsion of electrons of the same carbon atom, but also the intersite and interlayer Coulomb interactions. It was shown also that in such a system, the Kohn-Luttinger polarization contributions lead to the effective attraction between electrons in the Cooper channel. The constructed superconducting phase diagram of the system determines the Cooper pairing domains with the different types of the symmetry of the order parameter, depending on the intersite Coulomb interactions and the electron densities. The analysis of the phase diagram showed that the inclusion of the Kohn-Luttinger renormalizations up to the second order of perturbation theory inclusively and the allowance for the long-range Coulomb interactions  $V_1$ and  $V_2$  determine, to a considerable extent, the competition between the superconducting phases with the *f*-wave, p+ip-wave, and d+id-wave types of the symmetry of the order parameter. They also lead to a significant increase in the absolute values of the effective interaction. It was shown also that the allowance for the interlayer Coulomb interactions  $G_3$  and  $G_4$ , as well as for the distant electron hoppings  $t_2$ , leads to an additional increase in the effective interaction and, hence, to the higher superconducting transition temperatures in an idealized graphene bilayer.

Our calculation showed that the Kohn-Luttinger mechanism can lead to the superconducting transition temperatures  $T_c \sim 20 \div 40$  K in an idealized graphene bilayer. Contrary to these rather optimistic estimations, in real graphene, as it was mentioned in introduction, superconductivity has not been found yet. This material is only close to superconductivity.

For a few reasons, the results of the theoretical calculations reported here can differ from the experimental situation. First, we did not take into account the effect of the van der Waals potential of the substrate [57–59]. It seems that this effect should be weakened with the increase of number of layers. However, even in the multilayer systems the van der Waals forces can degrade the conditions for the development of the Cooper instability.

Second, as we mentioned in Section 4, there has been no agreement regarding the values of the parameters of the intraplane and interplanar Coulomb interactions in the graphene bilayer in the literature. In this work, we used the values of the intraplane Coulomb interactions that are close to those obtained from the ab initio calculation in reference [50] for graphite. The values of the interplanar Coulomb interactions were chosen to satisfy the hierarchy of the parameters of the Born weak-coupling approximation. Third, in our calculations, we considered a pure graphene bilayer with the ideal structure, whereas the real material contains numerous impurities and structural defects. It is well known that, in contrast to the traditional s-wave pairing, for the anomalous pairing with the f-wave, p + ip-wave, and d + id-wave symmetries of the order parameter, nonmagnetic impurities and structural defects can destroy the superconducting order [60].

In addition, we should mention one more possible reason for the discrepancy between the theoretical calculations on superconductivity in graphene and the experimentally observed situation. In a recent paper [61], the effect of quantum fluctuations (T = 0) on the graphene layers was investigated. It was shown that these fluctuations initiate the logarithmic corrections to the moduli of elasticity and bending of the layers. In other words, according to [61], the quantum fluctuations connected with the bending vibrations of the graphene layers can lead to the situation when the electrons do not move along the atomically smooth layers but along the strongly curved string-like trajectories, as in quantum chromodynamics. This situation requires further investigations, although in this case the superconductivity is not at all excluded and even can be enhanced by the exchange of bending vibration quanta between the pairing electrons.

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