



Crystal structure characterization and up-conversion luminescent properties of BaIn₂O₄ phosphor



Shusen Chen^a, Wenjiang Wang^a, Keli Wang^a, Ming Guan^a, Maxim S. Molokeev^{b,c}, Lefu Mei^{a,*}, Zhaohui Huang^{a,*}

^a School of Materials Science and Technology, Beijing Key Laboratory of Materials Utilization of Nonmetallic Minerals and Solid Wastes, National Laboratory of Mineral Materials, China University of Geosciences, Beijing 100083, China

^b Laboratory of Crystal Physics, Kirensky Institute of Physics, SB RAS, Krasnoyarsk 660036, Russia

^c Department of Physics, Far Eastern State Transport University, Khabarovsk 680021, Russia

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ABSTRACT

Er³⁺ / Yb³⁺ doped BaIn₂O₄ up-conversion (UC) phosphors are synthesized and their UC luminescent properties are characterized. BaIn₂O₄ has P21/c space group but Rietveld refinement suggests it has twice smaller cell parameter ($a = 10.3975 \text{ \AA}$, $b = 5.8295 \text{ \AA}$, $c = 14.4457 \text{ \AA}$) and volume than previous reported structure. Refinement also reveals Er³⁺/Yb³⁺ replaces In³⁺ ions in lattice because of the existence of InO₆ octahedra. In these BaIn₂O₄ phosphors, co-doping with Yb³⁺ ions changes the predominant UC emission from green (²H_{11/2}, ⁴S_{3/2} → ⁴I_{15/2} of Er³⁺) to red (about 665 nm, ⁴F_{9/2} → ⁴I_{15/2} of Er³⁺). By controlling of Er³⁺/Yb³⁺ concentrations, the BaIn₂O₄ phosphors have the potential of generating various UC spectra and color tunability. The pumping powers study shows two-photon process in these phosphors.

1. Introduction

Up-conversion (UC) phosphors have drawn lots of attentions recently due to their significant potential applications in light emitting displays, solid state lighting and biological labeling [1–3]. As spectral modification materials, UC phosphors show importance for converting photons with low energy to those of high energy by “merging” low energy photons [4–6]. Though fluoride-based compounds are considered as the most excellent UC phosphors due to their low phonon energy, oxide-based UC phosphors which have relative low phonon energy such as Y₂O₃ and Gd₂O₃ also are widely studied [7,8]. Nowadays highly efficient oxide UC phosphors are still demanded because they not only show good UC luminescent properties but also exhibit high chemical stability. Moreover, they always are easy to synthesize. Alkaline earth ions (Ca²⁺, Sr²⁺, and Ba²⁺) have close ionic radius to lanthanide ions, inorganic compounds containing these ions are frequently used as UC host materials [9–11].

Kalinina et al. [12] established the phase diagram for BaO–In₂O₃ system previously, however the structure of BaIn₂O₄ still have some mysteries [12,13]. As an oxide compound, BaIn₂O₄ has good mechanical durability, chemical and thermal properties. As a semiconducting compound, BaIn₂O₄ demonstrates large potential as UC oxide-based host. Previous reports showed the possible space group of P21/a (ICSD

#202986) for BaIn₂O₄ [14–16], means the crystallographic sites of In³⁺ ions in distorted InO₆ octahedra can be substituted by lanthanide ions such as Er³⁺ and Yb³⁺ [17–19]. As well known, Er³⁺ is important UC active ions which can emit green or red light [20–22], and Yb³⁺ is good sensitive ions due to its strong absorption in the near-infrared light region [23,24]. Therefore whether Er³⁺ and Yb³⁺ ions doped BaIn₂O₄ UC phosphors have low phonon energy and good UC luminescent properties is deserved to study. In this work, green and red emitting Er³⁺/Yb³⁺ doped BaIn₂O₄ phosphors were synthesized. Crystal structure characterization as well as UC luminescent properties of these Er³⁺/Yb³⁺ doped BaIn₂O₄ were studied in detail. The findings contribute to the complement of indate-based and oxide-based UC phosphors system, more importantly, open the possibility of Er³⁺/Yb³⁺ doped BaIn₂O₄ as novel phosphors which can generate various UC spectra and color tunability.

2. Experimental

2.1. Sample preparation

Samples were prepared via solid-state reaction method. In a typical synthesis procedure, raw materials of BaCO₃(A.R.), In₂O₃(99.995%), Er₂O₃ (99.995%), Yb₂O₃(99.995%) were weighted according to

* Corresponding authors.

E-mail addresses: mlf@cugb.edu.cn (L. Mei), huang118@cugb.edu.cn (Z. Huang).

stoichiometric ratio, and then mixed and ground thoroughly in an agate mortar. After that, the mixtures were transferred into alumina crucibles and sintered in muffle furnaces with different temperatures (1100–1500 °C, see Fig. S1). After these samples were cooled down to room temperature naturally, the resulted phosphors were fully ground again for the following measurements.

2.2. Characterization

The X-ray powder diffractometer (D8 Advance, Bruker Corporation, Germany, with Cu-K α and linear VANTEC detector, $\lambda = 0.15406$ nm, 40 kV, 100 mA) was used for examine the crystal structure. Rietveld refinement was performed by using TOPAS 4.2 program. Raman spectra were collected using Raman Microscope (Horiba Jobin Yvon). The UC luminescent spectra of the phosphors were recorded on a spectrophotometer (F-4600, Hitachi high technologies corporation, Tokyo, Japan) with an external power-controllable 980 nm semiconductor laser (Beijing Viasho Technology Company, Beijing, China) as the excitation source. The diffuse reflection spectra were measured on a UV-VIS-NIR spectrophotometer (Shimadzu UV-3600, Japan) attached to an integral sphere. All the tests were accomplished at room temperature.

3. Results and discussion

3.1. Crystal structure characterizations

Powder XRD Rietveld refinement was adopted to clarify the structure mysteries of these BaIn₂O₄ UC phosphors. First of all, crystal structure BaIn₂O₄ was solved previously in *P21/a* space group and it was further transformed into space group *P21/c* to get standard settings [25]. This structure was used as starting model for present Rietveld refinement which ended at $R_B = 3.72\%$ (Fig. 1a,b). Final crystal structure was checked by PLATON and by the internet service of IUCr which showed that there is nonspacegroup translation $a/2$. Therefore programs strongly recommended to halve cell parameter a , and to use

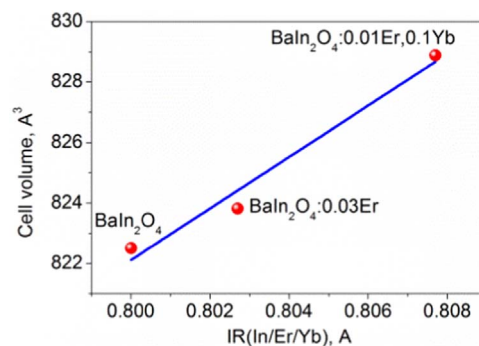


Fig. 2. Linear cell volume increasing per average ion radii IR(In/Er/Yb).

new crystal structure with the same space group *P21/c*. New refinement ended at lower $R_B = 3.49\%$ and accounts the same peaks on the pattern by using twice less number of peaks (Fig. 1c). Difference Rietveld plots of both model look very similar (Fig. 1a,c), but new suggested model has twice smaller cell parameter and volume (Fig. 1b,d), twice smaller number of refinement parameters and it can be concluded that new model is better. There are two Ba²⁺ ions, four In³⁺ ions and eight O²⁻ ions in asymmetric part of new unit cell and this structure was determined as *P21/c* space group ($a = 10.3975$ Å, $b = 5.8295$ Å, $c = 14.4457$ Å). It is important to note that some very small peaks at ~ 22 2θ cannot be fitted and this is because Renninger-effect leads to the appearance of some weak peaks due to multiple diffractions from different planes inside of crystal [26,27].

This solved model was used to make structure refinement of single Er³⁺ (0.03) doped and Er³⁺/Yb³⁺ (0.01 / 0.1) co-doped BaIn₂O₄ phosphors. Linear increasing of cell volume per increasing concentration of doping elements (Fig. 2) proved the replacement of In³⁺ ions with small ion radii IR(In³⁺, CN = 6) = 0.8 Å by bigger Er³⁺ and Yb³⁺ ions with IR(Er³⁺, CN = 6) = 0.89 Å and IR(Yb³⁺, CN = 6) = 0.868 Å, respectively. Therefore in models the sites of In³⁺ ion were occupied by random In³⁺ / Er³⁺ / Yb³⁺ ions with fixed occupations according to suggested chemical formulas (if Ba²⁺ were replaced, cell

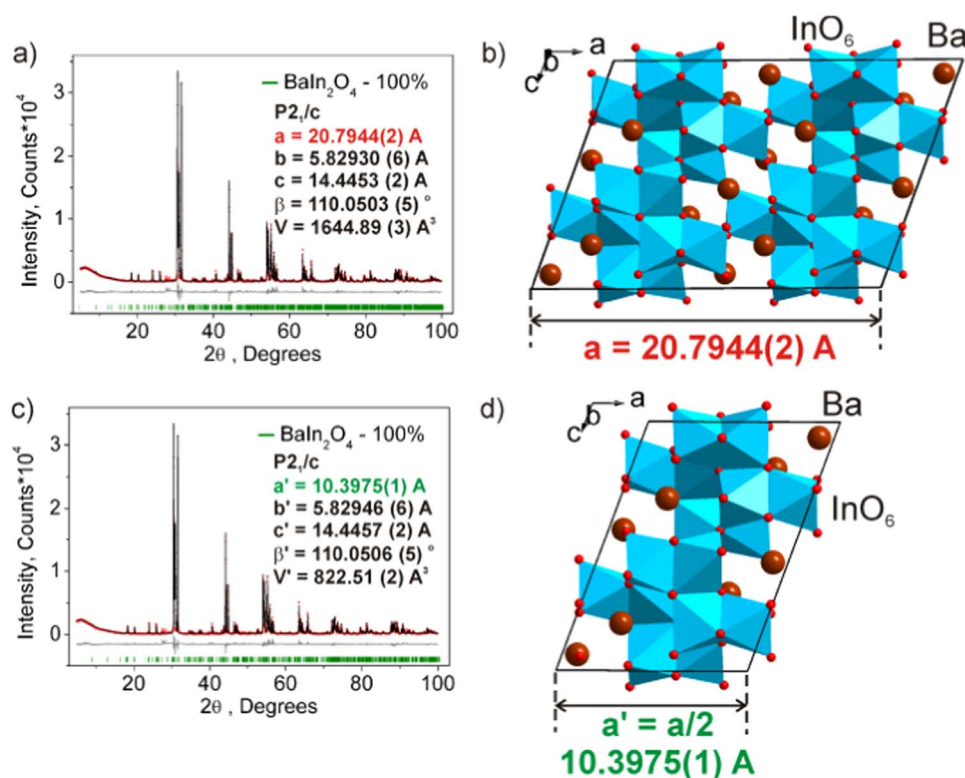


Fig. 1. XRD patterns and Rietveld plots of initial model (a) and new suggested model (c), and comparison initial crystal structure of BaIn₂O₄ (b) with new proposed structure (d).

Table 1
Main parameters of processing and refinement of the samples.

| Compound | Phase | Sp.gr. | Cell parameters (\AA , $^\circ$), Volume (\AA^3) | R_p , R_{wp} (%), χ^2 | R_B (%) |
|---|---|----------|---|--------------------------------|-----------|
| BaIn_2O_4 | BaIn_2O_4 | $P2_1/c$ | $a = 10.3975$ (1) $b = 5.82946$ (6) $c = 14.4457$ (2) $\beta = 110.0506$ (5) $V = 822.51$ (2) | 7.06, 11.23, 2.78 | 3.49 |
| $\text{BaIn}_2\text{O}_4:0.03\text{Er}$ | $\text{BaIn}_2\text{O}_4:0.03\text{Er}$ | $P2_1/c$ | $a = 10.4064$ (2) $b = 5.8319$ (1) $c = 14.4490$ (3) $\beta = 110.038$ (1) $V = 823.81$ (3) | 4.39, 6.67, 2.29 | 2.48 |
| $\text{BaIn}_2\text{O}_4:0.01\text{Er}, 0.1\text{Yb}$ | $\text{BaIn}_2\text{O}_4:0.01\text{Er}, 0.1\text{Yb}$ | $P2_1/c$ | $a = 10.4357$ (2) $b = 5.84541$ (8) $c = 14.4654$ (2) $\beta = 110.0572$ (6) $V = 828.88$ (2) | 3.96, 6.70, 2.68 | 2.48 |

volume should decrease since Ba have bigger radii than $\text{Er}^{3+} / \text{Yb}^{3+}$. Refinements were stable and gave low R-factors (Table 1). Coordinates of atoms and main bond lengths also are shown in Table S1 and S2. Further details of the crystal structures can be obtained from FIZ Karlsruhe, 76344 Eggenstein-Leopoldshafen, Germany (deposition number CSD-433000–433002).

3.2. UC luminescent properties

Fig. 3 displays the UC spectrum of prepared $\text{BaIn}_2\text{O}_4: x\text{Er}^{3+} / 0.1\text{Yb}^{3+}$ ($x = 0.005, 0.007, 0.01, 0.03, 0.05, 0.07$) phosphors upon 980 nm laser excitation. Non-doped BaIn_2O_4 and single Er^{3+} (0.03) doped BaIn_2O_4 also are shown. It is clear that the main emission peaks are centered at 665 nm (red light) in these $\text{Er}^{3+} / \text{Yb}^{3+}$ co-doped samples, which are assigned to the $^4\text{F}_{9/2} \rightarrow ^4\text{I}_{15/2}$ transitions of Er^{3+} ions [9,28]. Green emissions around 555 nm are weak but still can be observed from these spectra, and these emissions are attributed to $^2\text{H}_{11/2} (^4\text{S}_{3/2}) \rightarrow ^4\text{I}_{15/2}$ of Er^{3+} . No UC emissions can be observed in pure BaIn_2O_4 sample. Single Er^{3+} doped BaIn_2O_4 shows very weak UC emissions because of the absence of Yb^{3+} sensitizer, indicating the adding of Yb^{3+} ions greatly facilitate the UC emissions of BaIn_2O_4 phosphors. Because of concentration quench of Er^{3+} , UC emission intensity increases firstly, arrives maximum when Er^{3+} was determined as 0.03, and then decreases with the increasing Er^{3+} content (see inset). When Er^{3+} concentration is fixed as 0.03 and then change Yb^{3+} concentration, the optimal Yb^{3+} concentration is determined as 0.1, as seen in Fig. S2.

Interestingly, we observe the emissions of single Er^{3+} doped BaIn_2O_4 samples are close to green light region (555 nm and 665 nm

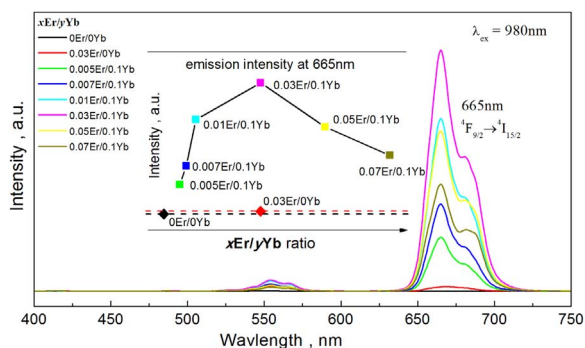


Fig. 3. UC spectra of pure, single Er^{3+} doped, and $\text{Er}^{3+} / \text{Yb}^{3+}$ co-doped $\text{BaIn}_2\text{O}_4: x\text{Er}^{3+} / 0.1\text{Yb}^{3+}$ ($x = .005, 0.007, 0.01, 0.03, 0.05, 0.07$) phosphors, the inset shows variation of emission intensities upon 665 nm. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

comprise of the UC emission patterns). On the other hand, the emissions of $\text{Er}^{3+} / \text{Yb}^{3+}$ co-doped BaIn_2O_4 sample are mainly concentrated at red light region (emission of 665 nm is predominant). Fig. 4 shows the comparison of UC emissions between single Er^{3+} doped and $\text{Er}^{3+} / \text{Yb}^{3+}$ co-doped BaIn_2O_4 samples.

To study the reason why these phosphors show two different kinds of emissions, absorbance spectrum (Fig. 5a) and Raman spectrum (Fig. 5b) of pure BaIn_2O_4 , single Er^{3+} doped BaIn_2O_4 , single Yb^{3+} doped and $0.03\text{Er}^{3+} / 0.1\text{Yb}^{3+}$ co-doped BaIn_2O_4 samples are detected and analyzed. From Fig. 5a, $\text{Er}^{3+} / \text{Yb}^{3+}$ co-doped sample shows obvious absorption band at 900 and 980 nm, which is $^2\text{F}_{7/2} \rightarrow ^2\text{F}_{5/2}$ absorption of Yb^{3+} ions. Absorption band around 520, 650 and 800 nm are detected in both of single Er^{3+} doped and $\text{Er}^{3+} / \text{Yb}^{3+}$ co-doped samples, which can be assigned to the ground absorption of Er^{3+} . Therefore intensive UC emissions of $\text{Er}^{3+} / \text{Yb}^{3+}$ co-doped BaIn_2O_4 should originate from energy transfer from Yb^{3+} to Er^{3+} . From Fig. 5b, pure BaIn_2O_4 has its maximum phonon energy of 675 cm^{-1} , indicating BaIn_2O_4 has potential to be an excellent UC host because it just slight higher than the maximum phonon energy ($\sim 600 \text{ cm}^{-1}$) of the typical and efficient oxide host of Y_2O_3 . The doped Er^{3+} ions do not change the maximum phonon energy in synthesized Er^{3+} doped BaIn_2O_4 phosphor. However, the maximum phonon energy increase to 1090 cm^{-1} in Yb^{3+} single doped and $0.03\text{Er}^{3+} / 0.1\text{Yb}^{3+}$ co-doped BaIn_2O_4 phosphor. It should be the large phonon energy of $\text{Er}^{3+} / \text{Yb}^{3+}$ co-doped BaIn_2O_4 that resulted in the predominant red emissions. Anyway, $\text{Er}^{3+} / \text{Yb}^{3+}$ doped BaIn_2O_4 shows excellent UC luminescent properties though it has higher phonon energy.

The presence of UC lifetimes is essential evidence to know the inner UC energy transfer process as well as the reason why $\text{Er}^{3+} / \text{Yb}^{3+}$ co-doped samples show predominant red emissions. Fig. 6a–f demonstrate the UC decay curves and calculated lifetimes of $\text{Er}^{3+} \ ^2\text{H}_{11/2} (^4\text{S}_{3/2}) \rightarrow ^4\text{I}_{15/2}$ (555 nm green emissions) and $^4\text{F}_{9/2} \rightarrow ^4\text{I}_{15/2}$ (665 nm red emissions) transitions under 980 nm excitation for these Er^{3+} single doped and $\text{Er}^{3+} / \text{Yb}^{3+}$ co-doped BaIn_2O_4 samples. Each decay curve is fitted into a mono-exponential function to extract the lifetime value (Fig. 6c, f). For the single Er^{3+} doped samples, lifetimes of both green emissions and red emissions fluctuate, and did not show regular with a rise Er^{3+} concentration from 0.01 to 0.2 (Fig. 6a, b, c). Specifically, the lifetimes of typical 0.03Er^{3+} doped sample are determined as $\tau(555 \text{ nm}) = 45.8 \mu\text{s}$ and $\tau(665 \text{ nm}) = 28.5 \mu\text{s}$. After that, when Er^{3+} concentration was fixed as 0.03 in the $\text{Er}^{3+} / \text{Yb}^{3+}$ co-doped BaIn_2O_4 , it is easy to see the lifetimes of either green emissions or red emission decrease obviously with the increasing Yb^{3+} concentration (Fig. 6e, f, g). The lifetimes of $\tau(555 \text{ nm}) = 51.4 \mu\text{s}$ and $\tau(665 \text{ nm}) = 40 \mu\text{s}$ of typical $0.03\text{Er}^{3+} / 0.01\text{Yb}^{3+}$ doped sample are longer than those of single 0.03Er^{3+} doped sample, testifying the presence of Yb^{3+} facilitated UC

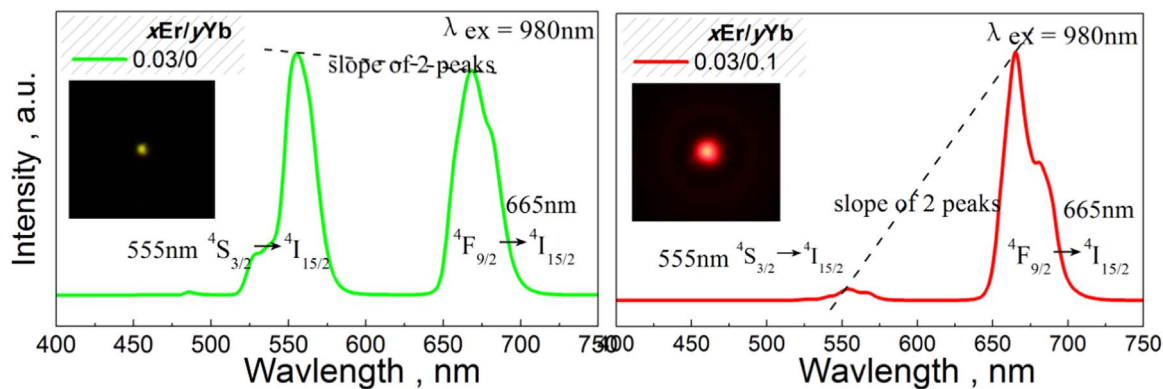


Fig. 4. Comparison of UC luminescent spectra between single Er³⁺ doped and Er³⁺/Yb³⁺ co-doped BaIn₂O₄ phosphor. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

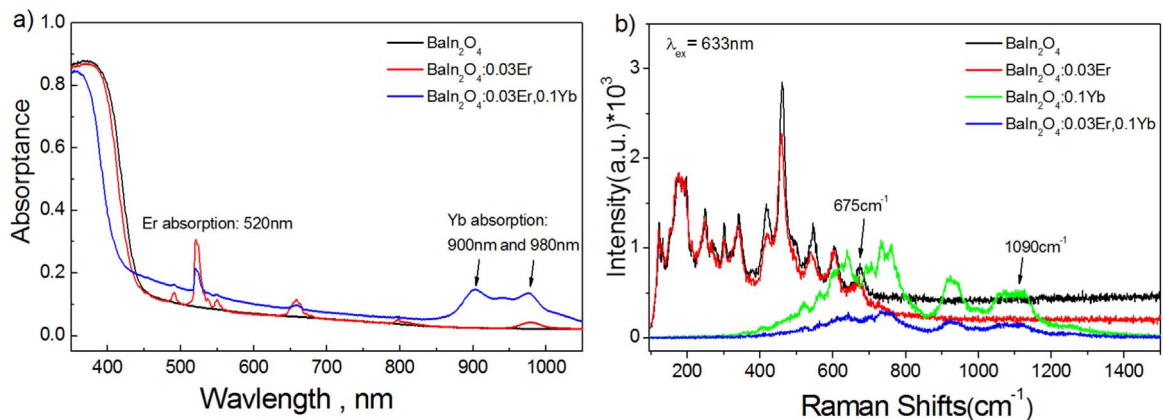


Fig. 5. Absorbance spectrum (a) and Raman spectrum (b) of pure BaIn₂O₄, single 0.03Er³⁺ doped BaIn₂O₄, single 0.1Yb³⁺ doped BaIn₂O₄ and 0.03Er³⁺ / 0.1Yb³⁺ co-doped BaIn₂O₄. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

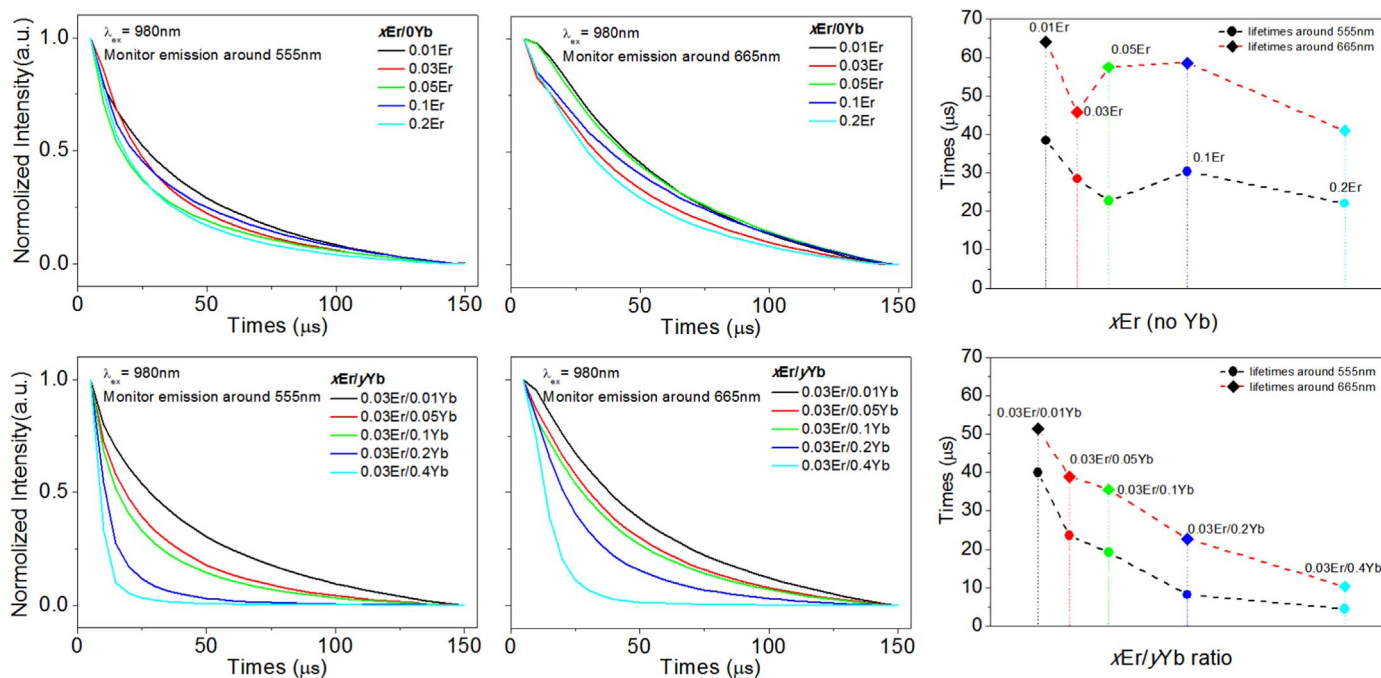


Fig. 6. UC decays of different Er³⁺ concentration doped BaIn₂O₄: xEr³⁺ (x = 0.01, 0.03, 0.05, 0.1, 0.2) samples under monitoring 555 nm red emissions (a), 665 nm green emissions (b), and calculated effective lifetimes (c); UC decays of different 0.03Er³⁺ / yYb³⁺ concentration co-doped BaIn₂O₄: 0.03Er³⁺ / yYb³⁺ (y = 0.01, 0.05, 0.1, 0.2, 0.4) samples under monitoring 555 nm red emissions (d), 665 nm green emissions (e), and calculated effective lifetimes (f). (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

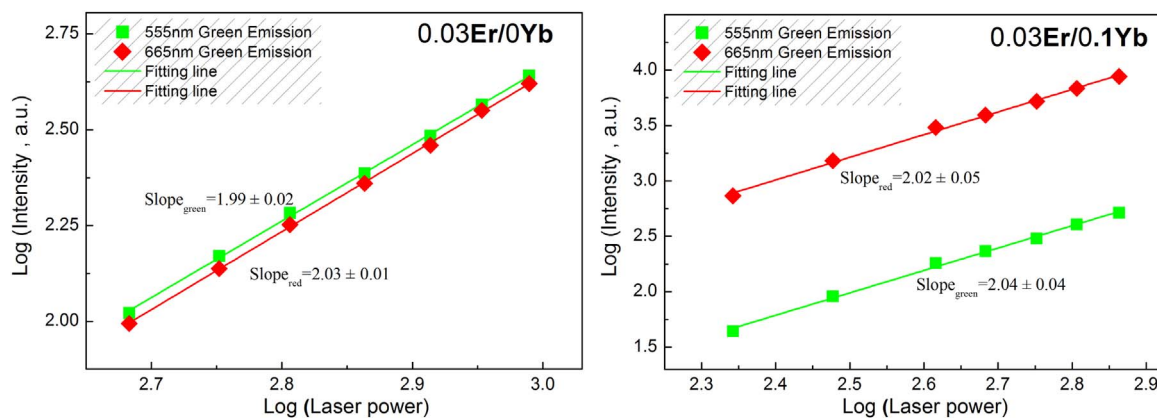


Fig. 7. Dependence of green and red UC emission intensities upon pumping power for single Er^{3+} doped and $\text{Er}^{3+}/\text{Yb}^{3+}$ co-doped BaIn_2O_4 phosphor. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

emissions of Er^{3+} active ions. On the other hand, decreased lifetimes with a rise Yb^{3+} concentration (from 0.01 to 0.4) indicate back energy transfer processes are participated from the Er^{3+} activators to Yb^{3+} sensitizers in these $\text{Er}^{3+}/\text{Yb}^{3+}$ co-doped BaIn_2O_4 samples. Because lifetime of $\text{Er}^{3+} \ ^2\text{H}_{11/2} \ (^4\text{S}_{3/2}) \rightarrow \ ^4\text{I}_{15/2}$ transition (555 nm green emissions) decrease more faster than that of $\ ^4\text{F}_{9/2} \rightarrow \ ^4\text{I}_{15/2}$ transition (555 nm emission dot line has larger slope in Fig. 6f), more energy on $\ ^2\text{H}_{11/2} \ (^4\text{S}_{3/2})$ instead of $\ ^4\text{F}_{9/2}$ level of Er^{3+} is transferred back to Yb^{3+} , finally leading to a predominant red UC emission in $\text{Er}^{3+}/\text{Yb}^{3+}$ co-doped BaIn_2O_4 samples.

Fig. 7 shows the dependence of green and red UC emission intensities upon pumping power for single Er^{3+} doped and $\text{Er}^{3+}/\text{Yb}^{3+}$ co-doped BaIn_2O_4 phosphors. UC emission intensity (I_{em}) depending on the pumping laser power (P_{pump}) follows the relation of $I_{em} \propto (P_{pump})^n$, where n (slope of $\log I_{em}$ versus $\log P_{pump}$) is the required number of pump photons for the transition from ground state to the upper emitting state [29]. In single Er^{3+} doped BaIn_2O_4 sample, the calculated slopes were 2.03 ± 0.01 for the red emission and 1.99 ± 0.02 for the green emission. In $\text{Er}^{3+}/\text{Yb}^{3+}$ co-doped BaIn_2O_4 phosphor, the slopes were determined as 2.02 ± 0.05 for the red and 2.04 ± 0.04 for the green emission. Accordingly, the UC luminescent process in the novel Er^{3+} or/and Yb^{3+} doped BaIn_2O_4 phosphors is two-photon process.

4. Conclusions

$\text{Er}^{3+}/\text{Yb}^{3+}$ doped BaIn_2O_4 phosphors are synthesized at $1400\text{ }^\circ\text{C}$ by a solid-state reaction method. In this work, Rietveld refinement is employed to characterize the crystal structure, and it shows BaIn_2O_4 belongs to the space group of $P21/c$ and has twice smaller cell parameter and volume ($a = 10.3975\text{ \AA}$, $b = 5.82946\text{ \AA}$, $c = 14.4457\text{ \AA}$, $V = 822.51\text{ \AA}^3$) than the previous reported structure. In^{3+} ions are replaced by bigger Er^{3+} and Yb^{3+} ions, leading to linear enlargement of cell volume with increasing rare-earth ions. In these $\text{Er}^{3+}/\text{Yb}^{3+}$ doped BaIn_2O_4 phosphors, increasing Yb^{3+} ions concentration changed the predominant UC emission from green ($\ ^2\text{H}_{11/2}$, $\ ^4\text{S}_{3/2} \rightarrow \ ^4\text{I}_{15/2}$ of Er^{3+}) to red (about 665 nm, $\ ^4\text{F}_{9/2} \rightarrow \ ^4\text{I}_{15/2}$ of Er^{3+}). This is attributed to Yb^{3+} changed maximum phonon energy, and then part energy on $\ ^2\text{H}_{11/2}$ ($\ ^4\text{S}_{3/2}$) instead of $\ ^4\text{F}_{9/2}$ level of Er^{3+} is transferred to Yb^{3+} through back energy transfer processes. Anyway, $\text{Er}^{3+}/\text{Yb}^{3+}$ doped BaIn_2O_4 present excellent UC luminescent properties, and these novel BaIn_2O_4 phosphors show great potential to generate various UC spectra and color tunability by controlling of $\text{Er}^{3+}/\text{Yb}^{3+}$ concentrations.

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Appendix A. Supplementary material

Supplementary data associated with this article can be found in the online version at <http://dx.doi.org/10.1016/j.jlumin.2017.06.034>.

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