

## Microscopic models of source and sink for atomtronics

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We analyze microscopic models of the particle source or sink which consist of a one- or two-site Bose-Hubbard model (the system) weakly coupled to a many-site Bose-Hubbard model (the reservoir). Assuming unequal filling factors for the system and reservoir, we numerically study equilibration dynamics and compare it with the solution of the master equation on the reduced density matrix of the system. Necessary conditions for the validity of the master equation approach are formulated.

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**Introduction.** Recently, much attention has been paid to the coherent and incoherent transport of cold atoms in optical lattices—the system which carries many common features with electrons in solid crystals. Among the coherent phenomena considered are Bloch oscillations of Bose and Fermi atoms [1–5], directed transport of atoms [6,7] and dynamical localization (band collapse) [8,9] in driven lattices, Anderson’s localization in disordered lattices [10,11], and so on. Incoherent transport assumes the presence of relaxation processes. Typical examples are the collisional transport of spin-polarized Fermi atoms colliding with Bose atoms [12] and the dissipative dynamics of Bose atoms in a quasi-one-dimensional lattice, where one of the lattice sites is depleted by an electron beam [13]. These studies of coherent and incoherent transport help us to establish cold-atom analogs of electron devices—a new research direction known as atomtronics [14].

In a narrower sense, atomtronics implies cold-atom setups with a source and sink, which are necessary ingredients of electronic devices. For example, Ref. [16] considered Bose atoms in a finite one-dimensional (1D) lattice, where the first and last sites of the lattice are coupled to reservoirs of cold atoms. Theoretically, this is done by introducing Lindblad operators, which supply atoms into the first site and withdraw them from the last site [17]. The interior part of the system is assumed to be described by the Bose-Hubbard (BH) Hamiltonian,

$$\hat{H} = -\frac{J}{2} \left( \sum_{l=1}^{L-1} \hat{a}_{l+1}^\dagger \hat{a}_l + \text{H.c.} \right) + \frac{U}{2} \sum_{l=1}^L \hat{n}_l(\hat{n}_l - 1) + \sum_{l=1}^L \delta_l \hat{n}_l, \quad (1)$$

which has proven to be an adequate model for Bose atoms in deep optical lattices. Naturally, this approach, which we shall refer to as the macroscopic model of the source and sink, leaves atom reservoirs unspecified. Furthermore, even if one formally specifies the reservoirs, it is not clear in advance to what extent the used Lindblad operators are justified. To clarify these questions we need a microscopic model of the source and sink.

In the present Rapid Communication, we analyze one of the possible microscopic models of the source or sink, which is based on the BH model (1). Namely, we consider the

$L$ -site system, where the hopping matrix element between two selected sites is  $\epsilon J$  instead of  $J$ . This divides the system into two subsystems and, to model the source, we assume that at  $t = 0$ , all  $N$  atoms are in the right-hand-side subsystem. We are interested in the equilibration process, i.e., in the population dynamics of the left (initially empty) subsystem, which we shall call the system from now on.

**One-site system.** First, we consider the case where the weak link is the first one. Denoting  $\hat{a}_2$  by  $\hat{b}$  and  $\hat{a}_1$  by  $\hat{a}$ , we have

$$\hat{H} = \hat{H}_a + \hat{H}_b + \epsilon \hat{H}_{\text{int}}, \quad (2)$$

where  $\hat{H}_a$  is the Hamiltonian of the one-site BH model,  $\hat{H}_b$  the Hamiltonian of the  $(L - 1)$ -site BH model, and

$$\hat{H}_{\text{int}} = -\frac{J}{2} (\hat{b}^\dagger \hat{a} + \hat{b} \hat{a}^\dagger). \quad (3)$$

In what follows, we set  $J = 1$ , i.e., all energy constants are measured in units of the hopping matrix element  $J$ .

For  $\epsilon = 0$ , the matrix of Hamiltonian (2) in the Fock basis has a block structure where the first block is defined by the condition that there are no atoms in the first site, the second block that there is one atom in the first site, and so on [see Fig. 1(a)]. Let us now adjust the parameters of  $\hat{H}_b$  to ensure quantum chaos (QC) [20,21]. This condition is controlled by calculating the level-spacing distribution for eigenenergies of every block, which should obey the Wigner-Dyson distribution for the Gaussian orthogonal ensemble of random matrices [22,23]. Throughout this Rapid Communication, we use  $N/L \sim 1$ ,  $U = 0.2$ , and  $|\delta_l| \leq 0.05$ , where the level-spacing distribution perfectly follows the Wigner-Dyson statistics [21]. This also implies that (almost all) eigenstates extend over the whole Hilbert space of the corresponding subspace [24] [see Fig. 1(a)].

Next, we specify the initial conditions. As those, we choose a random superposition of eigenstates belonging to the first block in Fig. 1(a). These initial conditions ensure zero occupation of the first site and practically equal occupations  $\bar{n} = N/(L - 1)$  of the remaining  $L - 1$  sites. Finally, we solve the Schrödinger equation with Hamiltonian (2) for nonzero  $\epsilon$  and calculate the reduced density matrix,

$$\mathcal{R}(t) = \text{Tr}_b[\mathcal{R}_{\text{tot}}(t)], \quad \mathcal{R}_{\text{tot}}(t) = |\Psi(t)\rangle\langle\Psi(t)|, \quad (4)$$

and the mean number of atoms  $N_s(t) = \sum_{n=0}^N n \mathcal{R}_{n,n}(t)$  in the first site. We found that the reduced density matrix relaxes to a diagonal matrix, where the diagonal matrix elements  $\mathcal{R}_{n,n}(t)$

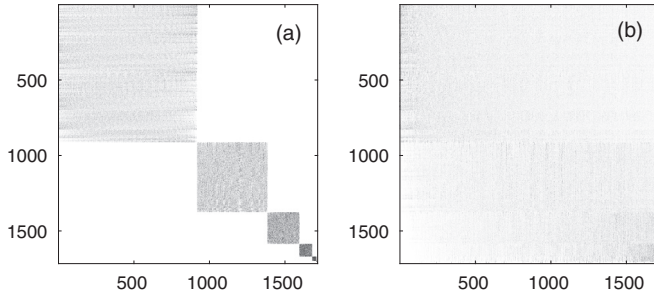


FIG. 1. Eigenstates of the total Hamiltonian for  $\epsilon = 0$  (left panel) and  $\epsilon = 0.2$  (right panel). The other parameters are  $N = 6$ ,  $L = 8$ ,  $J = 1$ ,  $U = 0.2$ , and  $|\delta_l| \leq 0.05$ . Squared moduli of the expansion coefficients of the eigenstates over the Fock basis are shown.

approach the values

$$P_n = \mathcal{N}_n / \mathcal{N}, \quad (5)$$

where  $\mathcal{N} = (N + L - 1)! / N!(L - 1)!$  is the total dimension of the Hilbert space and  $\mathcal{N}_n$  are dimensions of the corresponding subspaces [i.e., the sizes of the blocks in Fig. 1(a)]. Numerical results for the mean number of atoms  $N_s(t)$  are shown by solid lines in the main panel in Fig. 2 and the validity of Eq. (5) is illustrated in the inset of Fig. 2. The observed population dynamics indicates that the BH model can indeed serve as a microscopic model of the particle source [25]. Let us also mention that Eq. (5) implies the interaction Hamiltonian (3) to couple all blocks in Fig. 1(a), so that eigenstates of the total Hamiltonian (2) extend over the whole Hilbert space [see Fig. 1(b)]. As mentioned above, this is reflected in the Wigner-Dyson statistics for the energy spectrum. Thus, by analyzing the level-spacing distribution of the total Hamiltonian (which changes from Poisson to Wigner-Dyson as  $\epsilon$  is increased), one finds the critical  $\epsilon$  above which two subsystems do equilibrate.

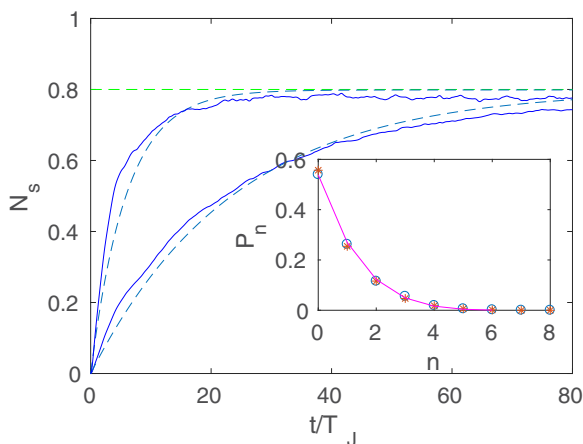


FIG. 2. The mean number of atoms in the first site as a function of time. Parameters are  $N = 8$ ,  $L = 10$  (dimension of the Hilbert space  $\mathcal{N} = 24310$ ), and  $\epsilon = 0.1$  (lower curve) and  $\epsilon = 0.2$  (upper curve). The dashed lines are solutions of the master equation (6). The inset shows diagonal elements of the reduced density matrix at the end of numerical simulations (symbols) as compared to Eq. (5) (solid line).

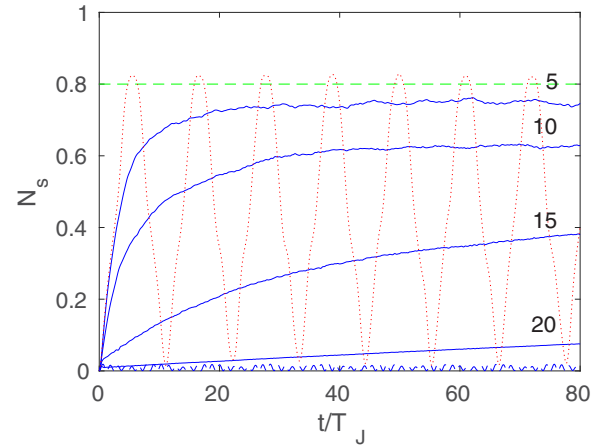


FIG. 3. The mean number of atoms in the first site for  $\epsilon = 0.2$  and nonzero  $\delta_1 = 5, 10, 15, 20$ . Additionally, the dotted line shows the case  $U = 0$  and the dashed line the case where the initial state of the right-hand-side subsystem is given by its ground state.

To conclude this section, we briefly discuss the other dynamical regimes of the system (2). First, we mention that equilibration does not take place if we violate the conditions of QC. This is illustrated in Fig. 3, where the dotted line corresponds to the case  $U = 0$ . Conditions of QC are also violated for  $U \neq 0$  if we chose the initial state of the right-hand-side subsystem to be close to the energy of the ground [21]. In this case we encounter a completely different problem of tunneling of a Bose-Einstein condensate through an obstacle, where  $N_s(t)$  oscillates about zero (see the dashed line in Fig. 3). For future reference, we also consider the case where the conditions of QC are satisfied but the on-site energy  $\delta_1$  of the first site strongly deviates from zero. It is intuitively expected that this energy mismatch will suppress the equilibration process. Numerical results depicted in Fig. 3 by solid lines fully confirm this expectation.

*Master equation.* It is interesting to compare the numerical results for the reduced density matrix  $\mathcal{R}(t)$  with solutions of the master equation,

$$\begin{aligned} \frac{d\mathcal{R}}{dt} &= -i[\widehat{H}_a, \mathcal{R}] + \mathcal{L}_{\text{loss}}(\mathcal{R}) + \mathcal{L}_{\text{gain}}(\mathcal{R}), \\ \mathcal{L}_{\text{loss}}(\mathcal{R}) &= \gamma(\bar{n} + 1)(\hat{a}^\dagger \hat{a} \mathcal{R} - 2\hat{a} \mathcal{R} \hat{a}^\dagger + \mathcal{R} \hat{a}^\dagger \hat{a}), \\ \mathcal{L}_{\text{gain}}(\mathcal{R}) &= \gamma \bar{n}(\hat{a} \hat{a}^\dagger \mathcal{R} - 2\hat{a}^\dagger \mathcal{R} \hat{a} + \mathcal{R} \hat{a} \hat{a}^\dagger), \end{aligned} \quad (6)$$

which is usually used to model the particle reservoirs [26–29]. In fact, providing the conditions of QC are satisfied, we can derive Eq. (6) from the microscopic Hamiltonian (2), in line with the derivation of the master equation in Ref. [30]. Then, the parameter  $\bar{n}$  in the Lindblad operators has the meaning of the mean number of particles in the second site and the relaxation rate  $\gamma = \epsilon^2 \tau$ , where  $\tau$  is the decay time of the correlation function

$$R(t - t') = \langle \hat{b}^\dagger(t) \hat{b}(t') \rangle \approx \langle \hat{b}^\dagger \hat{b} \rangle \exp(|t - t'| / \tau) \quad (7)$$

[here,  $\hat{b}^\dagger(t)$  and  $\hat{b}(t')$  are the creation and annihilation operators in the interaction representation of the Hamiltonian  $\widehat{H}_b$ ]. Solutions of the master Eq. (6) are depicted in Fig. 2 by the dashed lines. Nice agreement is noticed, where small

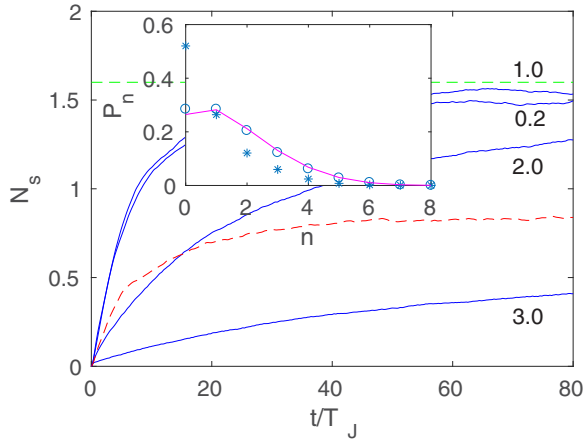


FIG. 4. The mean number of atoms in the first two sites as a function of time for different values of the hopping matrix element  $\tilde{J} = 0.2, 1, 2, 3$ . The other parameters are  $N = 8$ ,  $L = 10$ ,  $J = 1$ ,  $U = 0.2$ ,  $|\delta_l| < 0.05$ , and  $\epsilon = 0.2$ . The dashed line corresponds to the case  $\tilde{J} = 3$  where, however, the on-site energies for the first two sites are set to  $\delta_1 = \delta_2 = 1.5$ . The open circles and asterisks in the inset show probabilities  $P_n$  for  $\tilde{J} = 1$  and  $\delta_1 \approx \delta_2 \approx 0$  and  $\tilde{J} = 3$  and  $\delta_1 = \delta_2 = 1.5$ , respectively. The solid line is Eq. (5).

deviations are mainly due to the finite size of our BH system. In particular, according to Eq. (6), the stationary values of the diagonal matrix elements obey the relation  $P_{n+1}/P_n = \bar{n}/(\bar{n} + 1)$ , while for the microscopic model we have, according to Eq. (5),

$$\frac{P_{n+1}}{P_n} = \frac{\bar{n} + n/L}{\bar{n} + 1 + (n - 2)/L}. \quad (8)$$

It should be stressed that Eq. (6) is not justified for the parameters of Fig. 3 because we violate one or the other assumption used to derive it. Namely, for the dotted and dashed lines in Fig. 3, we violate the conditions of QC, so that the correlation function (7) does not decay. For the solid lines, we violate the Markovian approximation, which requires the correlation time  $\tau$  to be the smallest time in the problem (in particular, smaller than  $1/\delta_1$ ).

*Two-site system.* We proceed with a two-site system, where we additionally assume that the hopping matrix element between the first and second sites ( $\tilde{J}$ ) can be varied independently of  $J$ . The solid lines in Fig. 4 show the results of numerical simulations for different  $\tilde{J}$  where, as before, we depict the mean number of atoms  $N_s$  as a function of time. It is seen in Fig. 4 that, for  $\epsilon \leq \tilde{J} \leq J$ , the behavior of  $N_s$  is similar to that for the one-site system. In particular, in the stationary regime, the probability  $P_n$  to find  $n$  atoms in the system is again given by the ratio  $\mathcal{N}_n/\mathcal{N}$  (see the inset of Fig. 4).

Next, we address an important question about the degree of coherence between two sites. To answer this question, we calculate the one-particle density matrix (should not be mismatched with the reduced density matrix),

$$\rho_{l,m}^{(n)}(t) = \langle \Psi(t) | \hat{a}_l^\dagger \hat{a}_m | \Psi(t) \rangle, \quad (9)$$

where indices  $l$  and  $m$  take values 1 and 2. Notice that, since the product of the creation and annihilation operators conserves the number of particles, we actually have  $N$  density

matrices of size  $2 \times 2$ , where  $\text{Tr}[\hat{\rho}^{(n)}(t)]$  is the probability to find  $n$  particles in the system. We are mainly interested in the stationary regime when the equilibration process is over. Denoting eigenvalues of the equilibrium density matrices (9) by  $\lambda_i^{(n)}$  and noticing that  $\text{Tr}[\hat{\rho}^{(n)}] = P_n = \lambda_1^{(n)} + \lambda_2^{(n)}$ , we define the averaged weighted eigenvalues,

$$\Lambda_i = \frac{1}{N} \sum_{n=1}^N \frac{\lambda_i^{(n)}}{\lambda_1^{(n)} + \lambda_2^{(n)}}, \quad \Lambda_1 + \Lambda_2 = 1, \quad (10)$$

which characterize the coherence of our two-site system. It was found that for  $\tilde{J} \leq J$  the matrices  $\hat{\rho}^{(n)}$  are close to diagonal matrices and the quantities  $\Lambda_1 \approx \Lambda_2 \approx 0.5$ , which means that the equilibrium state of the system is incoherent.

It is commonly believed that larger  $\tilde{J}$  could enhance the coherence of the system. We indeed observed that for  $\tilde{J} = 3$  the equilibrium state is characterized by  $\Lambda_1 \approx 0.8$ . However, the price we paid for the enhanced coherence is essentially a longer relaxation time. (In this sense an increase of  $\tilde{J}$  has the same effect as an increase of  $\delta_1$  in the one-site problem; see the solid lines in Fig. 3.) A way around this problem is to compensate the increase of  $\tilde{J}$  by a proportional increase of the on-site energies  $\delta_1$  and  $\delta_2$  (see the dashed line in Fig. 4). Remarkably, for this setup,  $\Lambda_1$  reaches a value of 0.99, which means that the equilibrium state of the system is perfectly coherent. We also notice that probabilities  $P_n$  now obey the exponential law (see the asterisks in the inset of Fig. 4). This tells us that the currently considered setup can be mapped into a one-state bosonic system coupled to a particle reservoir, where the single-particle state of the system is given by the symmetric superposition of two Wannier functions associated with the first and second lattice sites. Needless to say, for negative  $\delta_1 = \delta_2 = -1.5$  we have a similar situation where, however, the single-particle state is given by the antisymmetric superposition of the Wannier functions.

*Conclusions.* We considered a microscopic model of the atom source based on the Bose-Hubbard Hamiltonian and compared it with the macroscopic model, which is currently used in atomtronics. In this macroscopic model, atoms in a lattice obey the master equation with the Lindblad operators acting on one lattice site which is directly coupled to a reservoir of cold atoms. As a result, the occupations of the lattice sites relax to some prescribed values, with no coherence between atoms in different sites (i.e., the one-particle density matrix is diagonal in the Wannier basis). We identified conditions under which the microscopic and macroscopic models give the same result, which can be viewed as justification for the macroscopic model from first principles.

The second result of this Rapid Communication is a demonstration of the fact that the microscopic model can show other dynamical regimes, which are not captured by the discussed macroscopic model. In particular, by adjusting the system parameters, we can populate the lattice by atoms which are in a coherent superposition of Wannier states. Furthermore, for the example considered here, the one-particle density matrix was found to have only one macroscopic eigenvalue and, hence, the equilibrium state of the system is a perfect Bose-Einstein condensate.

We would like to emphasize that in the present work we kept the atom-atom interactions as weak as possible. This leaves

aside a number of self-trapping effects, which are currently under active discussion in the context of atomtronics. It would be interesting to extend the present analysis beyond the two-site system, where one can study the self-trapping effects in a systematic way.

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