

# Bound states in the continuum with high orbital angular momentum in a dielectric rod with periodically modulated permittivity

Evgeny N. Bulgakov<sup>1,2</sup> and Almas F. Sadreev<sup>1</sup>

<sup>1</sup>*Kirensky Institute of Physics, Federal Research Center KSC SB RAS, Krasnoyarsk 660036, Russia*

<sup>2</sup>*Siberian State Aerospace University, Krasnoyarsk 660014, Russia*

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We report bound states in the radiation continuum (BICs) in a single infinitely long dielectric rod with periodically stepwise modulated permittivity alternating from  $\epsilon_1$  to  $\epsilon_2$ . For  $\epsilon_2 = 1$  in air the rod is equivalent to a stack of dielectric disks with permittivity  $\epsilon_1$ . Because of rotational and translational symmetries the BICs are classified by orbital angular momentum  $m$  and the Bloch wave vector  $\beta$  directed along the rod. For  $m = 0$  and  $\beta = 0$  the symmetry protected BICs have definite polarization and occur in a wide range of the radius of the rod and the dielectric permittivities. More involved BICs with  $m \neq 0, \beta = 0$  exist only for a selected radius of the rod at a fixed dielectric constant. The existence of robust Bloch BICs with  $\beta \neq 0, m = 0$  is demonstrated. Asymptotic limits to a homogeneous rod and to very thin disks are also considered.

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## I. INTRODUCTION

Recently confined electromagnetic modes above the light line, bound states in the continuum (BICs) were shown to exist in (i) periodic arrays of long dielectric rods [1–19], (ii) photonic crystal slabs [20–24], and (iii) two-dimensional periodical structures [25–27] on the surface of material. Among these different systems the one-dimensional array of spheres is unique because of rotational symmetry that gives rise to the BICs with orbital angular momentum (OAM) [28]. That reflects in anomalous scattering of plane waves by the array resulting in scattered electromagnetic fields with OAM traveling along the array [29–32]. However, fabrication of an array of at least one hundred identical spheres is a complicated problem because of technological fluctuations of the shape of spheres [33,34]. Moreover, there is not much room for tuning parameters of the spheres to achieve BICs. The radius cannot exceed the half of the period of the array and the permittivity of the spheres has to be rather high [28]. In the present paper we consider a single dielectric rod with periodically modulated permittivity along the rod axis  $\epsilon(z) = \epsilon(z + lh)$ ,  $l = 0, \pm 1, \pm 2, \dots$

As shown in Fig. 1 for the stepwise behavior with  $\epsilon_2 = 1$  the rod is equivalent to a one-dimensional array of dielectric disks with permittivity  $\epsilon_1$ . Irrespectively, the rod with periodically modulated permittivity preserves rotational symmetry. Each dielectric disk has two geometrical parameters, the radius  $R$  and thickness  $d$ . That expands the domain of existence of the BICs to substantially lower permittivities compared to the case of dielectric spheres.

## II. EIGENMODES WITH OAM $m = 0$

In what follows we measure all length quantities in terms of the period  $h$  of the array, wave vectors in terms of the inverse of  $h$ , and the frequency  $k_0$  in terms of  $h/c$  where  $c$  is the light velocity. Because of rotational symmetry the solutions are classified by integer  $m = 0, \pm 1, \pm 2, \dots$ , OAM. At first, we consider TM modes with  $m = 0$  and  $H_r = 0, H_z = 0, E_\phi = 0$  in a cylindrical system of coordinates. For that case our consideration completely follows the approach by Li and

Engheta for plasmonic nanowire [35]. The solution is sought in two domains:  $r < R$  and  $r > R$  independently, and then matched by the continuity at the rod's boundary  $r = R$ . We introduce [36,37]

$$\begin{aligned} H_\phi(z, r) &= \epsilon(z)^{1/2} \psi_{TM}(z, r), \\ E_r &= -\frac{i}{k_0 \epsilon(z)} \frac{\partial \epsilon(z)^{1/2} \psi_{TM}}{\partial z}, \\ E_z &= \frac{i}{k_0 \epsilon(z) r} \frac{\partial \epsilon(z)^{1/2} r \psi_{TM}}{\partial r}, \end{aligned} \quad (1)$$

where the function  $\psi_{TM}$  obeys equation

$$\left[ \frac{\partial^2}{\partial r^2} + \frac{1}{r} \frac{\partial}{\partial r} - \frac{1}{r^2} + \frac{\partial^2}{\partial z^2} + U_{TM}(z) \right] \psi_{TM}(z, r) = 0, \quad (2)$$

where

$$U_{TM}(z) = \epsilon(z) k_0^2 - \frac{3}{4} \left( \frac{\epsilon'(z)}{\epsilon(z)} \right)^2 + \frac{1}{2} \frac{\epsilon''(z)}{\epsilon(z)}. \quad (3)$$

For the TE mode in sector  $m = 0$  we have  $E_r = E_z = H_\phi = 0$  and

$$E_\phi = \psi_{TE}, \quad H_r = \frac{i}{k_0} \frac{\partial \psi_{TE}}{\partial z}, \quad H_z = -\frac{i}{k_0 r} \frac{\partial r \psi_{TE}}{\partial r}, \quad (4)$$

where the equation for  $\psi_{TE}$  has the same form as Eq. (2) except that the effective potential  $U_{TM}$  is now replaced by

$$U_{TE}(z) = \epsilon(z) k_0^2. \quad (5)$$

Hence we can generalize Eq. (2) for both EM modes as follows:

$$\left[ \frac{\partial^2}{\partial r^2} + \frac{1}{r} \frac{\partial}{\partial r} - \frac{1}{r^2} + \frac{\partial^2}{\partial z^2} + U_\sigma(z) \right] \psi_\sigma(z, r) = 0, \quad (6)$$

where

$$\psi_\sigma = \begin{cases} E_\phi, & \sigma = TE, \\ H_\phi / \epsilon^{1/2}, & \sigma = TM. \end{cases} \quad (7)$$

Because of periodicity of the permittivity the effective potential  $U_\sigma(z)$  and the solution of Eq. (6) can be expanded in

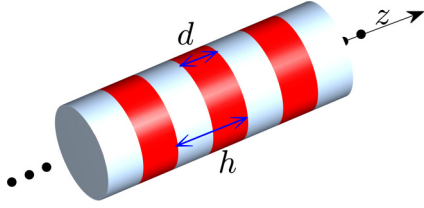


FIG. 1. Infinite circular dielectric rod with periodically alternating permittivity  $\epsilon_1$  (dark red) and  $\epsilon_2$  (light gray).

Bloch series as

$$U_\sigma(z) = k_0^2 \sum_n U_n^\sigma e^{iqnz}, \quad q = 2\pi/h,$$

$$\psi_\sigma(z, r) = \sum_n \psi_{n\sigma}(r) e^{i(qn+\beta)z}, \quad (8)$$

where  $\beta$  is the Bloch vector. Then substitution of these series into Eq. (6) gives

$$\left[ \frac{\partial^2}{\partial r^2} + \frac{1}{r} \frac{\partial}{\partial r} - \frac{1}{r^2} - (qn + \beta)^2 \right] \psi_{n\sigma} + k_0^2 \sum_{n'} U_{n-n'}^\sigma \psi_{n'\sigma} = 0. \quad (9)$$

Presenting the solution as [35]

$$\psi_\sigma(r, z) = \sum_{sn} g_{s\sigma} c_{sn\sigma} J_1(\lambda_{s\sigma} r) e^{i(qn+\beta)z}, \quad (10)$$

we rewrite Eq. (9) in the following form:

$$[-\lambda_\sigma^2 - (qn + \beta)^2] c_{n\sigma} + k_0^2 \sum_{n'} U_{n-n'}^\sigma c_{n'\sigma} = 0, \quad (11)$$

where the eigenvalues  $\lambda_\sigma$  and eigenvectors  $\mathbf{c}_\sigma$  are found from the eigenvalue problem

$$\widehat{L}^\sigma \mathbf{c}_\sigma = \lambda_\sigma^2 \mathbf{c}_\sigma \quad (12)$$

with the matrix

$$L_{nn'}^\sigma = -(qn + \beta)^2 \delta_{nn'} + k_0^2 U_{n-n'}^\sigma. \quad (13)$$

Owing to the equality  $\frac{d}{dx} J_1(x) = J_0(x) - \frac{1}{x} J_1(x)$  we have from Eq. (1) for the TM electric field inside the rod

$$E_z = \frac{i}{k_0 \sqrt{\epsilon}} \sum_{sn} \lambda_{s, TM} g_{s, TM} c_{sn, TM} J_0(\lambda_{s, TM} r) e^{i(qn+\beta)z}. \quad (14)$$

By the use of the following series:

$$\sqrt{\epsilon} = \sum_n a_n e^{iqnz}, \quad \frac{1}{\sqrt{\epsilon}} = \sum_n b_n e^{iqnz}, \quad (15)$$

we obtain for the components of EM fields at  $r \leq R$

$$H_\phi = \sum_{snl} g_{s, TM} c_{sl, TM} J_1(\lambda_{s, TM} r) a_{n-l} e^{i(qn+\beta)z},$$

$$E_z = \frac{i}{k_0} \sum_{snl} \lambda_{s, TM} g_{s, TM} c_{sl, TM} J_0(\lambda_{s, TM} r) b_{n-l} e^{i(qn+\beta)z}. \quad (16)$$

Outside the rod we have

$$H_\phi = \sum_n h_n H_1^{(1)}(\alpha_n r) e^{i(qn+\beta)z},$$

$$E_z = \frac{i}{k_0} \sum_n \alpha_n h_n H_0^{(1)}(\alpha_n r) e^{i(qn+\beta)z}, \quad (17)$$

where

$$\alpha_n = \sqrt{k_0^2 - (\beta + qn)^2} \quad (18)$$

and  $H_1^{(1)}$  and  $H_0^{(1)}$  are the Hankel functions. Sewing at the boundary  $r = R$  gives the following dispersion relation [35]:

$$\det(\widehat{S}\widehat{U}\widehat{B} - \widehat{D}\widehat{V}\widehat{T}) = 0, \quad (19)$$

where the matrix elements

$$S_{nn'} = \alpha_n H_n^{(0)}(\alpha_n R) \delta_{nn'},$$

$$U_{nn'} = a_{n-m}, \quad B_{nn'} = c_{nn', TM} J_1(\lambda_{n, TM} R),$$

$$D_{nn'} = H_n^{(1)}(\alpha_n R) \delta_{nn'}, \quad V_{nn'} = b_{n-m},$$

$$T_{nn'} = c_{nn', TM} \lambda_{n, TM} J_0(\lambda_{n, TM} R). \quad (20)$$

Respectively, for the TE modes, we have

$$E_\phi = \sum_{sn} g_{s, TE} c_{sn, TE} J_1(\lambda_{s, TE} r) e^{i(qn+\beta)z},$$

$$H_z = -\frac{i}{k_0} \sum_{sn} \lambda_{s, TE} g_{s, TE} c_{sn, TE} J_0(\lambda_{s, TE} r) e^{i(qn+\beta)z}. \quad (21)$$

Outside the rod we have

$$E_\phi = \sum_n h_n H_1^{(1)}(\alpha_n r) e^{i(qn+\beta)z},$$

$$H_z = -\frac{i}{k_0} \sum_n \alpha_n h_n H_0^{(1)}(\alpha_n r) e^{i(qn+\beta)z}, \quad (22)$$

Repeating the above algebra for the TE mode we obtain instead of (19) the following dispersion equation:

$$\det(\widehat{S}\widehat{B} - \widehat{D}\widehat{T}) = 0, \quad (23)$$

where the matrix elements for all quantities have the form given by Eq. (20) with replacement  $TM \rightarrow TE$ .

### III. SECTORS $m \neq 0$

Similar to the rod with the homogeneous permittivity for sectors with  $m \neq 0$  the TE and TM solutions are hybridized by the boundary conditions. Let us start with pure TE mode which can be expressed through the auxiliary function  $\psi_{TE}$ :

$$E_\phi = \frac{i}{m} \frac{\partial \psi_{TE}}{\partial r}, \quad E_r = \frac{\psi_{TE}}{r},$$

$$H_\phi = -\frac{i}{k_0 r} \frac{\partial \psi_{TE}}{\partial z},$$

$$H_r = -\frac{1}{k_0 m} \frac{\partial^2 \psi_{TE}}{\partial z \partial r},$$

$$H_z = \frac{1}{k_0 m} \left[ \frac{\partial^2}{\partial r^2} + \frac{1}{r} \frac{\partial}{\partial r} - \frac{m^2}{r^2} \right] \psi_{TE}. \quad (24)$$

Similarly for the TM mode we have the following:

$$\begin{aligned}
 H_\phi &= \frac{i\sqrt{\epsilon}}{m} \frac{\partial \psi_{TM}}{\partial r}, & H_r &= \frac{\sqrt{\epsilon} \psi_{TM}}{r}, \\
 E_\phi &= \frac{i}{k_0 \epsilon r} \frac{\partial \sqrt{\epsilon} \psi_{TM}}{\partial z}, \\
 E_r &= \frac{1}{k_0 \epsilon m} \frac{\partial \sqrt{\epsilon}}{\partial z} \frac{\partial \psi_{TM}}{\partial r}, \\
 E_z &= -\frac{1}{k_0 \sqrt{\epsilon} m} \left[ \frac{\partial^2}{\partial r^2} + \frac{1}{r} \frac{\partial}{\partial r} - \frac{m^2}{r^2} \right] \psi_{TM}, \quad (25)
 \end{aligned}$$

where the auxiliary functions obey the equation

$$\left[ \frac{\partial^2}{\partial r^2} + \frac{1}{r} \frac{\partial}{\partial r} - \frac{m^2}{r^2} + \frac{\partial^2}{\partial z^2} + U_\sigma(z) \right] \psi_\sigma(z, r) = 0. \quad (26)$$

The series (10) are modified as follows for both types of the modes:

$$\psi_\sigma(r, z) = \sum_{sn} g_{s,\sigma} c_{s\sigma} J_m(\lambda_{s,\sigma} r) e^{i(qn+\beta)z}. \quad (27)$$

Note, the eigenvalues  $\lambda_{s,\sigma}$  and eigenvector amplitudes  $c_{s\sigma}$  coincide with those introduced in the previous section for  $m = 0$ . Substituting (27) into Eq. (26) and satisfying the boundary conditions, after cumbersome algebra we obtain the following dispersion relation:

$$\begin{aligned}
 im(\widehat{A} - i\widehat{B} - \widehat{I}\widehat{D})\vec{\psi}_{TM} + k_0 R(\widehat{F} - i\widehat{J}\widehat{P})\vec{\psi}_{TE} &= 0, \\
 k_0 R(\widehat{K} - i\widehat{J}\widehat{P})\vec{\psi}_{TM} - im\widehat{I}(\widehat{C} - \widehat{D})\vec{\psi}_{TE} &= 0, \quad (28)
 \end{aligned}$$

where according to Eq. (27) the  $s$ th component of the vectors  $\vec{\psi}_\sigma$  is given by

$$(\vec{\psi}_\sigma)_s = g_{s,\sigma} J_m(\lambda_{s,\sigma} R). \quad (29)$$

The elements of matrices in Eq. (28) could be found as

$$\begin{aligned}
 A_{ns} &= \sum_l b_{n-l}(\beta + ql) c_{sl, TM}, \\
 B_{ns} &= \sum_l d_{n-l} c_{sl, TM}, \\
 I_{nm} &= \delta_{nm}(\beta + qn),
 \end{aligned}$$

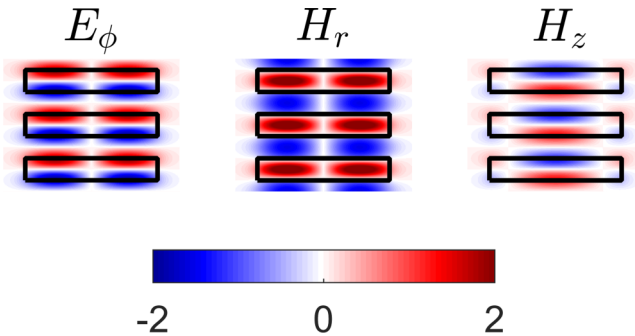


FIG. 2. Pattern of the symmetry protected TE BIC with zero OAM  $m = 0$ , frequency  $k_{0c} = 4.6063$ , and  $\beta = 0$  for parameters:  $\epsilon_1 = 3$ ,  $\epsilon_2 = 1$ ,  $R = 1.5$ , and  $d = 0.5$ .

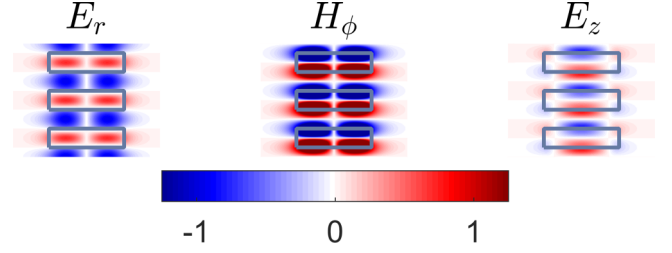


FIG. 3. Pattern of the symmetry protected TM BIC with zero OAM  $m = 0$ , frequency  $k_{0c} = 5.37652$ , and  $\beta = 0$  for parameters:  $\epsilon_1 = 3$ ,  $\epsilon_2 = 1$ ,  $R = 1$ , and  $d = 0.5$ .

$$\begin{aligned}
 J_{nm} &= \delta_{nm} \alpha_n \frac{H_m^{(1)}(\alpha_n R)}{H_m^{(1)}(\alpha_n)}, \\
 F_{ns} &= \lambda_{s, TE} c_{sl, TE} \frac{J'_m(\lambda_{s, TE} R)}{J_m(\lambda_{s, TE} R)}, \\
 K_{ns} &= \lambda_{s, TE} \frac{J'_m(\lambda_{s, TE} R)}{J_m(\lambda_{s, TE} R)} \sum_l a_{n-l} c_{sl, TE}, \\
 P_{ns} &= \frac{\lambda_{s, TE}^2}{\alpha_n^2} c_{ns, TE}, \\
 D_{ns} &= \frac{\lambda_s^2}{\alpha_n^2} \sum_l b_{n-l} c_{sl, TM}, \quad (30)
 \end{aligned}$$

where

$$\frac{\epsilon'(z)}{2\epsilon^{3/2}(z)} = \sum_n d_n e^{iqnz}. \quad (31)$$

In order to avoid discontinuities of the derivatives of the permittivity at the boundary of the disk  $z = \pm 1/2$ , following Ref. [35], we smooth the boundary by the function

$$\epsilon(z) = \epsilon_2 + \frac{1}{2}(\epsilon_1 - \epsilon_2)[1 - \tanh(\kappa(|z| - 1/2))]$$

with the control parameter  $\kappa$ . In what follows we take  $\kappa = 17$ .

#### IV. SYMMETRY CLASSIFICATION OF BICS

Similar to the periodic array of dielectric spheres the BICs in the single rod with periodically modulated permittivity are classified by the OAM  $m$  due to the rotational symmetry of the rod and the Bloch vector along the rod due to the translational symmetry. Moreover, there is the mirror symmetry  $z \rightarrow -z$ .

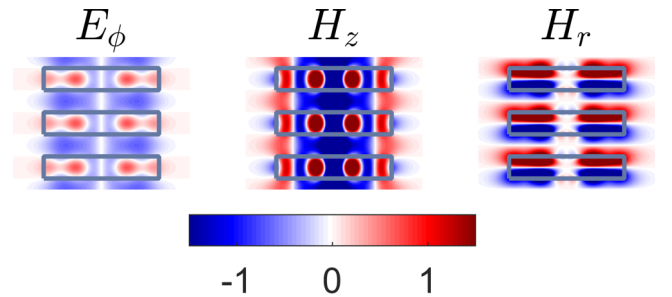


FIG. 4. Pattern of the nonsymmetry protected TE BIC with  $m = 0$ ,  $\beta = 0$ , and frequency  $k_{0c} = 4.63778$  for parameters:  $\epsilon_1 = 5$ ,  $\epsilon_2 = 1$ ,  $R = 1.3061$ , and  $d = 0.5$ .

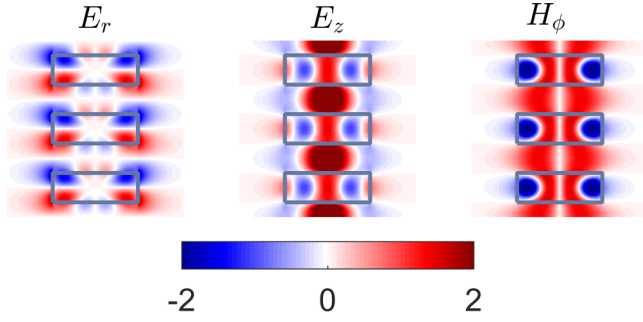


FIG. 5. Pattern of the nonsymmetry protected TM BIC with  $m = 0$ ,  $\beta = 0$ , and frequency  $k_{0c} = 4.855\,02$  for parameters:  $\epsilon_1 = 5$ ,  $\epsilon_2 = 1$ ,  $R = 0.724\,504$ , and  $d = 0.5$ .

That allows us to classify the BICs with  $\beta = 0$  by parity. These standing wave BICs are symmetry protected relative to either the TE diffraction continuum or the TM continuum. Introduce the operator  $\hat{O}f(z) = f(-z)$ . Respectively after the Fourier transformation we have  $\hat{O}f_n = f_{-n}$  and therefore  $O_{nn'} = \delta_{n+n',0}$ . The operator  $\hat{L}^\sigma$  with matrix elements given by Eq. (13) for  $\beta = 0$  commutes with the operator  $\hat{O}$ . Therefore, the eigenvectors of the operator  $\hat{L}^\sigma$  are classified as even and odd

$$c_{sn,\sigma} = \pm c_{s,-n,\sigma}. \quad (32)$$

Let us rewrite Eq. (28) as follows:

$$\begin{aligned} \hat{H}_{1,TM} \vec{\psi}_{TM} + \hat{H}_{1,TE} \vec{\psi}_{TE} &= 0, \\ \hat{H}_{2,TM} \vec{\psi}_{TM} + \hat{H}_{2,TE} \vec{\psi}_{TE} &= 0, \end{aligned} \quad (33)$$

where matrices  $\hat{H}_{k,\sigma}$ ,  $k = 1, 2$  are of the size  $(2N + 1) \times (2N + 1)$ . We arrange the matrices as follows:

$$\begin{aligned} \hat{H}_{1,TE} &= [\hat{H}_{1,TE}^e \{(2N + 1) \times (N + 1)\}, \hat{H}_{1,TE}^o \{(2N + 1) \times N\}], \\ \hat{H}_{1,TM} &= [\hat{H}_{1,TM}^e \{(2N + 1) \times N\}, \hat{H}_{1,TM}^o \{(2N + 1) \times (N + 1)\}], \end{aligned}$$

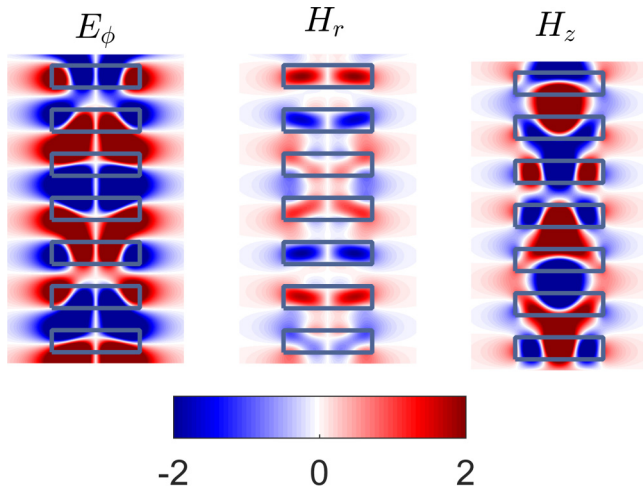


FIG. 6. Pattern of the Bloch TE BSIC with  $m = 0$ ,  $\beta_c = 2.373\,61$ , and frequency  $k_{0c} = 3.157\,25$  for parameters:  $\epsilon_1 = 5$ ,  $R = 1$ , and  $d = 0.5$ .

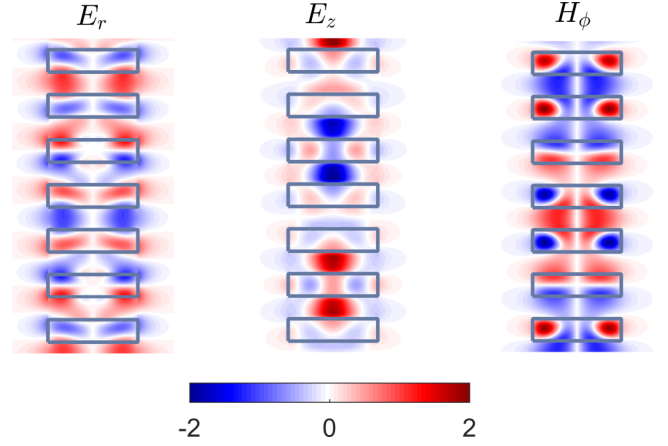


FIG. 7. Pattern of the Bloch TM BIC with  $m = 0$ ,  $\beta_c = 1.086\,76$ , and frequency  $k_{0c} = 3.884\,23$  for parameters:  $\epsilon_1 = 5$ ,  $R = 1$ , and  $d = 0.5$ .

$$\begin{aligned} \hat{H}_{2,TE} &= [\hat{H}_{2,TE}^o \{(2N + 1) \times (N + 1)\}, \hat{H}_{2,TE}^e \{(2N + 1) \times N\}], \\ \hat{H}_{2,TM} &= [\hat{H}_{2,TM}^o \{(2N + 1) \times N\}, \hat{H}_{2,TM}^e \{(2N + 1) \times (N + 1)\}], \end{aligned} \quad (34)$$

where expressions in curly brackets show the size of the matrices and the matrix elements are even or odd relative to  $n \rightarrow -n$ :

$$\hat{H}_{nn',\sigma}^e = \hat{H}_{-nn',\sigma}^e, \quad \hat{H}_{nn',\sigma}^o = -\hat{H}_{-nn',\sigma}^o. \quad (35)$$

Substituting relations (34) into Eq. (33) and splitting the vector

$$\vec{\psi}_{TE} = \begin{pmatrix} \vec{\psi}_{\uparrow TE} \{N + 1\} \\ \vec{\psi}_{\downarrow TE} \{N\} \end{pmatrix}, \quad \vec{\psi}_{TM} = \begin{pmatrix} \vec{\psi}_{\uparrow TM} \{N\} \\ \vec{\psi}_{\downarrow TM} \{N + 1\} \end{pmatrix},$$

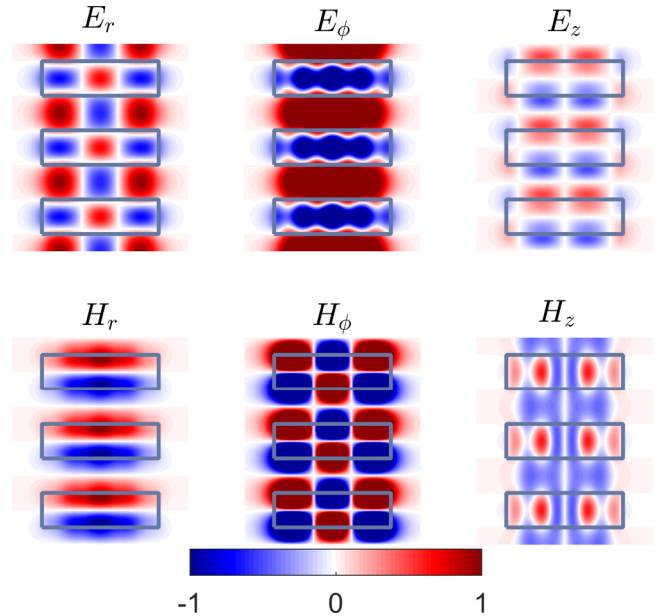


FIG. 8. Pattern of the BIC with  $m = 1$  symmetry protected in respect to the TM radiation continuum and frequency  $k_{0c} = 5.262\,84$  for tuned radius  $R = 1.652\,93$ .

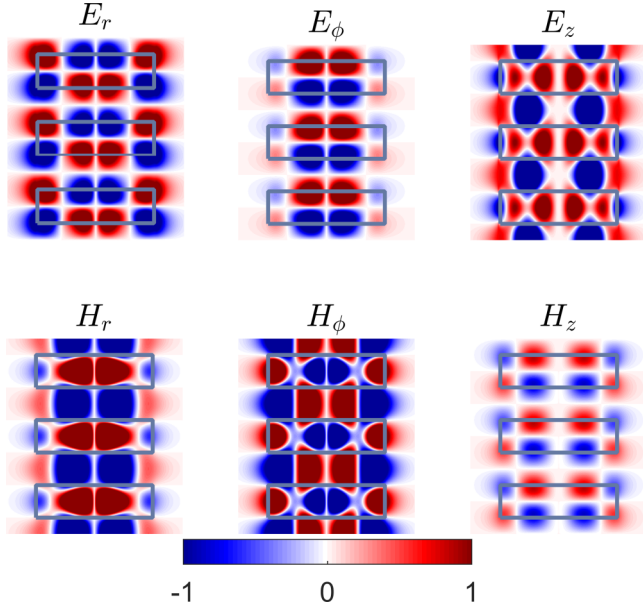


FIG. 9. Pattern of the BIC with  $m = 2$  symmetry protected in respect to the TE radiation continuum and frequency  $k_{0c} = 5.21418$  for tuned radius  $R = 1.7009$ .

we obtain the following equations:

$$\begin{aligned} \hat{H}_{1, TM}^e \vec{\psi}_{\uparrow TM} + \hat{H}_{1, TM}^o \vec{\psi}_{\downarrow TM} + \hat{H}_{1, TE}^e \vec{\psi}_{\uparrow TE} + \hat{H}_{1, TE}^o \vec{\psi}_{\downarrow TE} &= 0, \\ \hat{H}_{2, TM}^o \vec{\psi}_{\uparrow TM} + \hat{H}_{2, TM}^e \vec{\psi}_{\downarrow TM} + \hat{H}_{2, TE}^o \vec{\psi}_{\uparrow TE} + \hat{H}_{2, TE}^e \vec{\psi}_{\downarrow TE} &= 0. \end{aligned} \quad (36)$$

From Eqs. (35) and (36) it follows that there are two solutions. The first is  $\vec{\psi}_{\downarrow\sigma} = 0$  and  $\vec{\psi}_{\uparrow\sigma} \neq 0$  with  $H_z$ ,  $E_\phi$ , and  $E_r$  even and  $E_z$ ,  $H_\phi$ , and  $H_r$  odd relative to the inversion  $z \rightarrow -z$ . This solution gives us a TM symmetry protected BIC. The second solution  $\vec{\psi}_{\uparrow\sigma} = 0$  and  $\vec{\psi}_{\downarrow\sigma} \neq 0$  has odd field components  $H_z$ ,  $E_\phi$ , and  $E_r$  and even  $E_z$ ,  $H_\phi$ , and  $H_r$ . This solution is a TE symmetry protected BIC. By solving Eqs. (19), (23), and (28) numerically we obtain the following set of BICs. In particular, there are symmetry protected BICs with definite polarization which occur at arbitrary radius of the rod as follows:

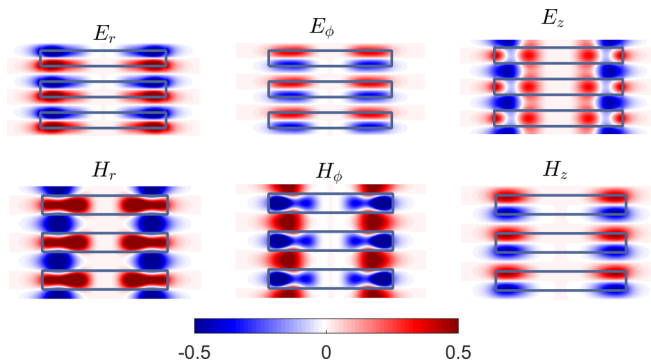


FIG. 10. Pattern of the BIC with  $m = 5$  symmetry protected in respect to the TE radiation continuum and frequency  $k_{0c} = 5.14387$  for tuned radius  $R = 1.87591$ .

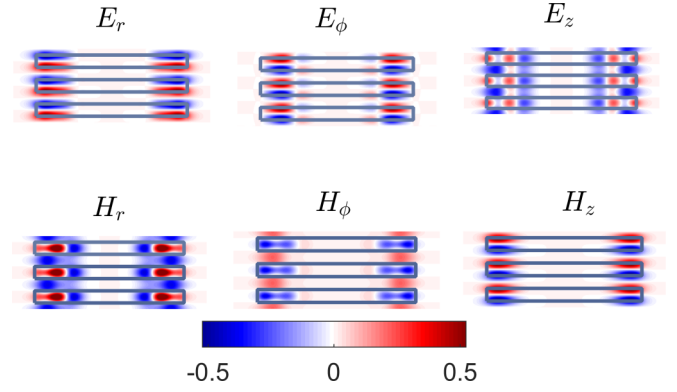


FIG. 11. Pattern of the BIC with  $m = 10$  symmetry protected in respect to the TE radiation continuum and frequency  $k_{0c} = 5.29052$  for tuned radius  $R = 3.07046$ .

(1) Symmetry protected TE BICs with  $\beta = 0$ ,  $m = 0$ , and  $H_z(-z) = -H_z(-z)$ .

(2) Symmetry protected TM BICs with  $\beta = 0$ ,  $m = 0$ , and  $E_z(-z) = -E_z(-z)$ .

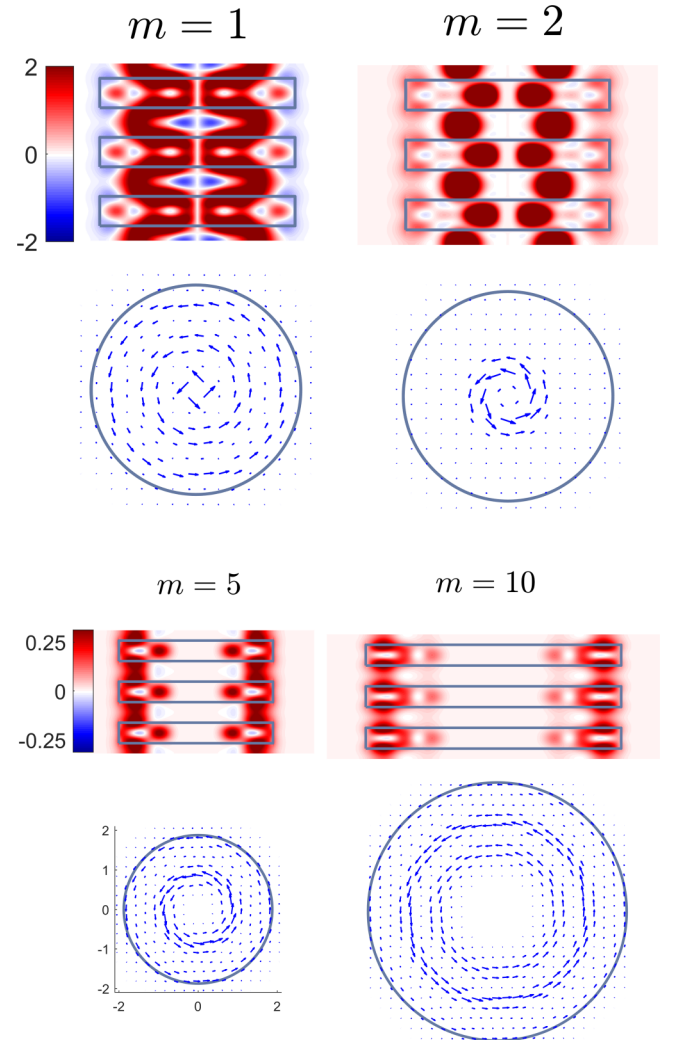


FIG. 12. Profile  $H_z$  and flows of Pointing vector at  $z = 0$  circulating around the core of the rod in the BICs shown in Fig. 11.



Examples of these symmetry protected BICs are shown in Fig. 2 and Fig. 3.

The next class of the BICs with definite polarization are nonsymmetry protected and require tuning the rod radius  $R$  as follows.

(3) Nonsymmetry protected TE BICs with  $\beta = 0$ ,  $m = 0$ , and  $H_z(-z) = H_z(z)$ .

(4) Nonsymmetry protected TM BICs with  $\beta = 0$ ,  $m = 0$ , and  $E_z(-z) = E_z(z)$ .

These BICs are shown in Fig. 4 and Fig. 5.

(5) Bloch BICs with  $\beta \neq 0$ ,  $m = 0$  with definite polarization shown in Fig. 6 and Fig. 7. They exist within a wide interval of the rod radius. Rigorously speaking the Bloch BICs cannot be considered as guided modes similar to those which exist below light line in the homogeneous dielectric rod [36]. However, those Bloch quasi-BICs in some small interval of  $\beta$  around the BIC point have the lifetimes exceeding the propagation time in the rod of finite length and thus can be considered as the guided modes above the light line [30].

(6) BICs with orbital angular momentum (OAM)  $m \neq 0$  and  $\beta = 0$  constitute the most interesting class. Whilst in the array of spheres we managed to find only BICs with  $m = 1$  and  $m = 2$  [29,33], in the array of disks we found BICs with higher OAM. However, in contrast to the array of spheres we did not find any Bloch BICs with  $m \neq 0$  and  $\beta \neq 0$ . The BICs with OAM are hybridized with respect to polarization. They are symmetry protected against decay into the TE/TM continuum as it was considered above but the radius has to be tuned

for the mode to be decoupled from the TM/TE continuum. Figures 8–11 show the solutions of Eq. (28) for BICs with  $m = 1, 2, 5, 10$ , and  $\beta = 0$ . All BICs with nonzero OAM were calculated for  $\epsilon_1 = 3$ ,  $\epsilon_2 = 1$ , and  $d = 0.5$ . One can see from Figs. 10 and 11 a tendency of light localization at the surface of the rod with growth of the OAM  $m$  limiting to whispering gallery modes. However, in contrast to the latter the BICs with OAM exist for any  $m$ .

The BIC with OAM is degenerate with respect to the sign of  $m$ . The sign controls the direction of spinning of the Poynting vector  $\vec{j} = j_0 \vec{E} \times \vec{H}$  as demonstrated in Fig. 12. We mention in passing that the spinning trapped modes in an acoustic cylindrical infinitely long waveguide which contains rows of large numbers of blades arranged around a central core was first reported by Duan and McIver [38].

## V. LIMITS OF THE BICs FOR $d \rightarrow 1$ AND $d \ll 1$

Until now we considered trapping of light by a stack of dielectric disks whose thickness equals half of the period. In this section we consider what happens with the BIC when the rod becomes homogeneous and when the disks become very thin.

The homogeneous rod can support only guided modes with  $k_z > 0$  below the light line. In the latter the Maxwell equations can be solved by separation of variables for the TE polarization with zero OAM  $m = 0$  [36],

$$H_z(r, z) = \begin{cases} e^{ik_z z} J_0(\sqrt{\epsilon k_0^2 - k_z^2} r), & r \leq R, \\ A e^{ik_z z} K_0(\sqrt{k_z^2 - k_0^2} r) = \frac{i\pi A}{2} e^{ik_z z} H_0^{(1)}(\sqrt{k_0^2 - k_z^2} r), & r > R, \end{cases} \quad (37)$$

to result in guided mode, bound state below the light line  $k_z < k_0$  after matching at  $r = R$ . The numerical result for the dispersion curve of the lowest TE mode in the homogeneous

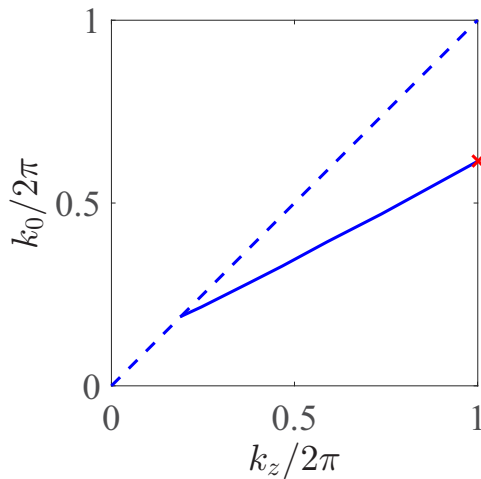


FIG. 13. Dispersion curve of the waveguide mode, bound state below the light line in the homogeneous rod with the radius  $R = 1.5$  and permittivity  $\epsilon_1 = 3$ .

cylindrical rod is shown in Fig. 13 where the frequency of this solution  $k_0 = 3.858$  at  $k_z = 2\pi$  is marked by cross.

As soon as the rod acquires a periodic modulation of the permittivity  $\epsilon(z) = \epsilon(z + l)$ ,  $l = 0, \pm 1, \pm 2, \dots$  the radiation

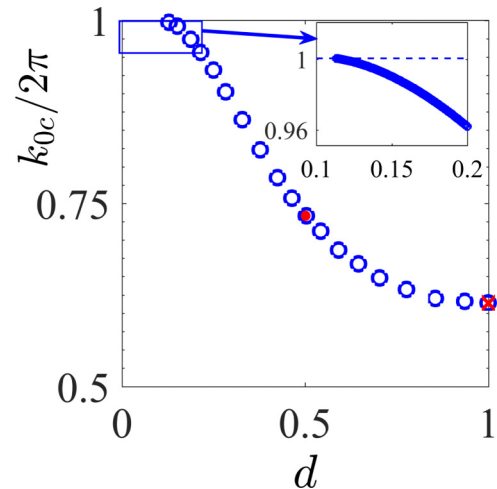


FIG. 14. Frequency of the TE symmetry protected BIC vs the thickness of disks in terms of the period  $h$  for  $R = 1.5$ . Closed circle notes the BIC shown in Fig. 2 for  $d = 0.5$ .

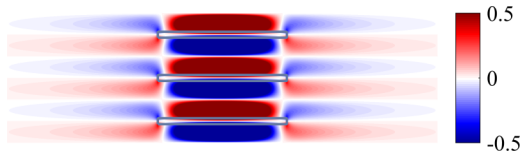


FIG. 15. Pattern of the TE symmetry protected BIC at  $d = 0.132, R = 1.5$ .

continua in the form of the Hankel functions (37) is quantized  $k_{z,n} = \beta + 2\pi n$ . In other words, the rod can be viewed as a one-dimensional cylindrical diffraction lattice [5,28]. Let us consider the TE BIC symmetry protected against the lowest diffraction continuum  $n = 0$  above the light line shown by the dashed line in Fig. 13. Its solution takes the following form for  $r > R$

$$H_z(r, z) = -\frac{i}{k_0} \sum_n h_n \alpha_n H_0^{(1)}(\alpha_n r) \sin(2\pi n z), \quad (38)$$

where  $a_n$  are given by Eq. (18). In particular this solution turns to the symmetry protected TE BIC shown in Fig. 2 if all  $h_n = 0$  except  $h_1$ . The dependence of the BIC frequency on the disk thickness is shown in Fig. 14, which limits to the value  $k_{0c} = 3.858$  which is just the frequency of the solution of the homogeneous rod (37) marked by cross in Figs. 13 and 14. The solution is very similar to that shown in Fig. 2 but is more localized.

In the second limit when the disk thickness  $d$  decreases the mean permittivity of the rod drops as well and respectively the BIC frequency grows as plotted in Fig. 14. The further decrease of the thickness  $d$  brings the BIC frequency to the bottom of the second diffraction continuum  $2\pi$  where the BIC is corrupted by leakage into that continuum. In the zoomed window in Fig. 14 we show it occurs at  $d = 0.112$  for  $\epsilon_1 = 3$ . Thus the thickness of disks is limited for the TE symmetry protected BIC to exist. The radius of localization of the BIC behaves as

$$R_c \approx \frac{1}{\sqrt{4\pi^2 - k_{0c}^2}}. \quad (39)$$

Figure 15 illustrates the  $H_z$  component of the BIC solution near the bottom of the second diffraction continuum at  $d = 0.137$ . One can see that the radius of localization is tremendously increased compared to the case  $d = 0.5$  shown in Fig. 2. According to Eq. (39) the radius of localization of the BIC goes to infinity when  $d \rightarrow 0.112$ .

## VI. SUMMARY

We considered light trapping in a single infinitely long dielectric rod with periodically modulated permittivity. We restrict ourselves with stepwise behavior of the permittivity intermittently changing from  $\epsilon_2 = 1$  to  $\epsilon_1 > 1$  that makes the rod equivalent to a stack of dielectric disks. Even in that particular case owing to the possibility of tuning two-dimensional parameters, the radius and thickness of the disks, and the permittivity, we have an abundance of BICs compared to the array of dielectric spheres [33]. Along with that the stack of disks preserves the rotational symmetry to give rise to BICs with definite OAM. However, in contrast to the array of spheres the rod with periodically modulated permittivity supports BICs with OAM up to  $m = 10$  for a sufficiently large radius as shown in Fig. 10. We also found Bloch BICs with both polarizations, however, only with zero OAM. Bloch BICs with nonzero OAM have not been found yet.

In the limit  $d \rightarrow 1$  when the disks mold into a single homogeneous rod we have shown that the symmetry protected BIC with  $m = 0$  transforms into the guided mode below the light line. In the limit of thin disks  $d \ll 1$  the BIC frequency reaches the bottom of the second diffraction continuum and is destroyed by leakage into that continuum. The problem of BICs can be also solved for sinusoidal behavior  $\epsilon(z) = \epsilon_0 + \lambda \sin 2\pi z$ .

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